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# **Carbon isotope effects in carbonate systems**

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**Abstract—Global carbon cycle models require a complete understanding of the**  $\delta^{13}$ **C variability of the Earth's** C reservoirs as well as the C isotope effects in the transfer of the element among them. An assessment of  $\delta^{13}C$ changes during  $CO<sub>2</sub>$  loss from degassing magmas requires knowledge of the melt- $CO<sub>2</sub>$  carbon isotope fractionation. In order to examine the potential size of this effect for silicate melts of varying composition,  $^{13}$ C reduced partition functions were computed in the temperature range 275 to 4000 K for carbonates of varying bond strengths (Mg, Fe, Mn, Sr, Ba, Pb, Zn, Cd, Li, and Na) and the polymorphs of calcite. For a given cation and a given pressure the  $13<sup>C</sup>$  content increases with the density of the carbonate structure. For a given structure the tendency to concentrate  $^{13}$ C increases with pressure. The effect of pressure (‰/10 kbar) on the size of the reduced partition function of aragonite varies with temperature; in the pressure range 1 to  $10<sup>5</sup>$  bars the change is given by:

$$
\Delta^{13}C_{p_{average}} = -0.01796 + 0.06635 * \frac{10^3}{T} + 0.006875 * \frac{10^6}{T^2}
$$
 (1)

For calcite III the pressure effect is on average  $1.4 \times$  larger than that for aragonite at all temperatures. The nature of the cation in a given structure type has a significant effect on the carbon isotope fractionation properties. The tendency to concentrate  $^{13}$ C declines in the series magnesite, aragonite, dolomite, strontianite, siderite, calcite, smithonite, witherite, rhodochrosite, otavite, cerrusite. For divalent cations a general expression for an estimation of the reduced partition function ( $\beta$ ) from the reduced mass ( $\mu = [M_{\text{Cational}} \times M_{\text{Cational}}]$  $[M_{\text{Cation}} + M_{\text{Carbonate}}]$ ) is:

$$
1000 \ln \beta = \left(0.032367 - 0.072563 * \frac{10^3}{T} - 0.01073 * \frac{10^6}{T^2}\right) * \mu
$$
  
- 14.003 + 29.953 \*  $\frac{10^3}{T}$  + 9.4610 \*  $\frac{10^6}{T^2}$  (2)

For Mg-calcite the <sup>13</sup>C content varies with the Mg concentration. The fractionation between Mg-calcite (X = mole fraction of  $MgCO<sub>3</sub>$ ) and calcite is given by:

$$
1000 \ln (\alpha_{M_{g_{\text{cons}}}-\text{Calcite}}) = \left[ 0.013702 - 0.10957 \times \frac{10^3}{T} + 1.35940 \times \frac{10^6}{T^2} -0.329124 \times \frac{10^9}{T^3} + 0.0304160 \times \frac{10^{12}}{T^4} \right] \times X^{1.5} \quad (3)
$$

The results of the computations were used together with previously published experimental vaporous  $CO_2$ -silicate melt fractionations to determine, at 1200°C, a relationship between melt- $CO_2$ <sup>13</sup>C fractionation and melt composition, expressed as molecular proportions of the cations Mg, Fe, Mn, Ca, Na, K and Si and Al:

1000 ln 
$$
\alpha_{\text{Melt-CO}_2}
$$
 = 5.14 ×  $\frac{\text{Mg} + \text{Fe} + \text{Mn} + \text{Ca} + \text{Na} + \text{K}}{ \text{Si} + \text{Al}}$  + 0.86 (4)

A conceptual model to understand this relationship was developed. The results of the computations approximate closely the experimentally determined vaporous  $CO<sub>2</sub>-CaCO<sub>3</sub>$  fractionations at high temperatures. Empirically derived dolomite-calcite and calcite-graphite 13C isotope geothermometers agree with results of the present work. *Copyright © 2004 Elsevier Ltd*

### **1. INTRODUCTION**

The carbon isotope record of volcanic gases provides an important input for the quantification of the Earth's carbon cycle. To interpret the volcanic gas record properly, carbon isotope effects in the degassing of silicate magmas need to be well understood. Available experimental results [\(Javoy et al.,](#page-13-0) [1978; Mattey et al., 1990; Mattey, 1991; Trull et al., 1992;](#page-13-0) [Blank and Stolper, 1993\)](#page-13-0) indicate that above 1000 $^{\circ}$ C, CO<sub>2</sub> in equilibrium with silicate melts may be enriched in  $^{13}$ C anywhere between 0 and 4‰. The data also indicate that this

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<span id="page-1-0"></span>

Fig. 1. Bonding of carbonate group in silicate melt structure after [Stolper and Fine \(1985\)](#page-13-0) (A) and [Kohn et al. \(1991\)](#page-13-0) (B).

fractionation is, in some way, related to the silicate melt composition; however, how to choose a fractionation factor for a melt of, e.g., a given silica content or other compositional constraints (e.g., the Mg/Fe ratio), remains unclear.

In the review of [Blank and Brooker \(1994\)](#page-12-0) it is demonstrated that the C solubility depends on melt composition including melt structure, cation and water content, pressure and temperature. The dissolved C is thought to be accommodated in silicate melts mainly in the form of the species  $CO<sub>2</sub>$  and  $CO_3^2$ <sup>-</sup>. Since the C isotope fractionation properties of the melt species  $CO_2$  and  $CO_3^2$  are expected to differ, factors affecting their proportions will cause differences in the melt-vapor C isotope effects.

Molecular CO<sub>2</sub> can occur in the structural voids of melts, similar to the occurrence of noble gases; however, there is NMR evidence that the molecular  $CO<sub>2</sub>$  is not free to rotate in a random fashion in the melt structure and that there must be an interaction, albeit weak, between the C atom of the  $CO<sub>2</sub>$  molecule and oxygen of the Si/Al tetrahedra. The strength of this interaction varies with melt composition, suggesting a possible C-isotope effect between the gaseous  $CO<sub>2</sub>$  and "CO<sub>2</sub>" dissolved in silicate melts. Other dissolution mechanisms proposed suggest that the carbonate group can be part of the silicate network of the melt. Two modes have been considered (Fig. 1), in which one or two bridging oxygens may be shared among Si/Al tetrahedra and the carbonate group. In view of the expected differences in force constants of the two forms of C-O bonding, differences in the C isotope fractionation behavior between the two configurations can be expected as well. The formation of Ca and Na carbonate complexes, in the dissolution of  $CO<sub>2</sub>$  in silicate melts, has also been proposed. One can expect that carbonate complexes in melts will differ in their C fractionation properties. The observation that water can increase the solubility of CO<sub>2</sub> significantly suggests possible interactions between  $H<sub>2</sub>O$  and  $CO<sub>2</sub>$  in the melt with attendant C isotope effects

between C dissolved in the melt and a C-bearing vapor, which would differ from the effects considered so far.

The solubility of C in silicate melts in the presence of methane-dominated fluids was investigated by [Taylor and](#page-13-0) [Green \(1987\).](#page-13-0) Although the form in which the C occurs in the melt under reducing conditions remains to be established, no spectroscopic evidence for the presence of dissolved  $CH<sub>4</sub>$  or other C-H groups has been reported. One can expect, however, that reduced forms of dissolved C would be characterized by distinct isotope effects. If melts are sufficiently reducing, CO may be present in them as well and would show still different C isotope fractionation characteristics.

We can hence conclude that a variety of C-O interactions can occur in silicate melts, and that their nature is melt-composition dependent. One can expect that each of these interactions is associated with a specific isotope effect. The overall isotope fractionation characteristics of a silicate melt will hence depend on: 1. the isotope effects associated with the different C-melt interactions; and 2. the fraction of dissolved C atoms characterized by a particular interaction. The  $^{13}$ C fractionation between a C-containing vapor phase and C dissolved in a silicate melt will be a function of: 1. temperature, 2. pressure, 3. melt composition, and 4. oxygen fugacity. The latter would not only affect the form in which C dissolves in the melt, but also the composition of the fluid, i.e., its  $CO_2/CO/CH_4$  ratios.

This paper examines one aspect of the larger problem, i.e., the possible effect of cations in silicate melts on their C isotope fractionation properties. Because the cation-carbonate bonding differs among carbonates and affects their C isotope fractionation characteristics, one can argue that if there is a systematic dependence of the 13C-fractionation on cation-carbonate interactions in carbonates that this dependence ought to carry over, to some extent, into silicate melts. An estimate of the size of the cation effect could hence be obtained by examining the  $^{13}$ C fractionation among carbonates. For this purpose the methodology for computing isotope effects of calcite, developed by [Chacko et al. \(1991\)](#page-12-0) was used. Since the interest here is to examine the isotope fractionation behavior of one carbonate with respect to another it is imperative that the computation. which includes the selection of the IR frequencies to be used in them, be done consistently for all carbonates considered. In earlier computations [\(Bottinga, 1968; Chacko et al., 1991\)](#page-12-0) IR frequencies for calcite were selected which produced a best fit to available experimental data for the calcite/ $CO<sub>2</sub>$  carbon isotope fractionation. Because such experimental data are not available for other carbonates, this approach to choose frequencies could not be used. In the present study we selected frequencies central to the range of values reported for a particular vibrational mode. For consistency sake the reduced partition function for calcite was therefore re-computed. The results differ from those reported by [Chacko et al. \(1991\).](#page-12-0) This does not mean that the current results for calcite supercede those of the earlier computations, but rather that they are internally consistent with those obtained for the other carbonates. The important point to note is that we are interested in the difference in fractionation of one carbonate with respect to another. If the results are affected by the manner in which the frequencies were selected, the effect will be similar for all carbonates. Hence, while the absolute values of the reduced partition functions might show an effect, this is less likely to be the case for the differences among them. Therefore, it was very gratifying to find that the selection process used led, in the case of calcite, to a close match between the computed and experimentally observed fractionations at high temperatures i.e., the temperature range of interest. Because IR data are also available for high pressure  $CaCO<sub>3</sub>$  polymorphs, the effect of pressure on the C fractionation is examined as well.

## **2. COMPUTATIONS**

To assess the potential effect of cation/carbonate group interactions in melts on the size of the melt/vapor C isotope fractionation, reduced partition functions were computed for polymorphs of CaCO<sub>3</sub> and Mg, Fe, Mn, Sr, Ba, Pb, Zn, Cd, Li, and Na carbonates. The computational procedures involve the following steps: 1. choice of the internal and external vibrational frequencies; 2. computation of the frequency shifts which occur when  ${}^{13}C$  is substituted for  ${}^{12}C$  in the mineral lattice; 3. evaluation of a Debye temperature, used to approximate the effects of acoustic modes; 4. computation of the reduced partition function. The last step includes the choice of a frequency shift for the modes represented by the Debye term. This frequency shift is selected such that the reduced partition function becomes unity at infinite temperatures.

The results of the computations depend on the vibrational frequencies and their shifts with isotopic substitution, as well as the assumptions made about the contributions of other than the internal vibrational modes to the partition function. Because the results presented here for calcite, deviate from those of earlier computations at low temperatures, the basis of the present work is presented in detail in the Appendix, which includes an analysis of the causes for the differences between the present and earlier results.

While the computations of the reduced partition functions for calcite of [Urey and Greiff \(1935\), Urey \(1947\), Rubinson and](#page-13-0) [Clayton \(1969\)](#page-13-0) and [Chacko et al. \(1991\)](#page-12-0) treated explicitly only the vibration of the carbonate ion (internal modes), [Bottinga](#page-12-0) [\(1968\)](#page-12-0) and [Golyshev et al. \(1981\)](#page-12-0) considered, in addition, vibrations of the carbonate ion with respect to the rest of the calcite lattice explicitly. One might hence expect differences in the results of the two sets of computations. A direct comparison of the published results to assess the effect of an explicit consideration of the lattice vibrations is not very fruitful, however, because both computations considering the external vibrations do not extrapolate to a reduced partition function of unity at infinite temperatures (as theoretically expected). To examine the effect of taking external modes into account explicitly, the vibrational model for the calcite lattice, developed by [Giulotto and Loinger \(1951\),](#page-12-0) was used (see the Appendix). Force constants, frequencies and frequency shifts were computed, assuming that five external frequencies were known. This approach differs slightly from that taken in the original publication which uses an IR intensity ratio in place of one of the frequencies (note that there is a typographical error in the intensity ratio equation of [Giulotto and Loinger, 1951.](#page-12-0) The correct version can be found in the paper by [Loinger, 1950\)](#page-13-0).

A summary of the external modes for calcite used in previous studies and in this work is given in [Table A5.](#page-19-0) A Debye temperature was evaluated by accounting for the contributions

of the internal as well as the external modes to the heat capacity and fitting the remainder of the heat capacity with a Debye term. The Debye temperature was evaluated by minimizing the deviation of the experimental data from the computed heat capacity from zero to 298 K. The temperature found for calcite was 261 K.

The reduced partition function of calcite at 300 K is 0.31‰ larger when internal as well as external modes are explicitly considered, than that found when only the internal modes are accounted for explicitly, and all other modes are approximated by a single Debye term.

It is of interest to make a similar comparison for aragonite, the second major structure type of carbonates. However, a detailed analysis of the vibrations within aragonite has not been completed and a force constant model, analogous to that developed for calcite [\(Giulotto and Loinger, 1951\)](#page-12-0), is not available. The work of [Yamamoto et al. \(1974\)](#page-14-0) was used to select those external frequencies that could be likened to motions in the Giulotto model, and the frequency shifts computed for calcite were applied. The unit cell of aragonite contains four molecules of  $CaCO<sub>3</sub>$ . The reduced partition function is calculated for a unit cell containing 20 atoms so that there are 60 degrees of freedom. There are four internal modes, two of which are doubly degenerate, leading to six internal modes per carbonate group. The internal frequency shifts were computed according to the model of [Heath and Linnett \(1948\).](#page-13-0) Because there are four carbonate groups, 24 internal modes are present. This leaves 36 external and acoustic modes. Twenty of these were considered explicitly, which leaves 16 to be represented by the Debye term. In the evaluation of the Debye temperature (430 K) the contribution of the external frequencies to the low temperature heat capacity was considered. Four atoms are exchanged. The reduced partition function for aragonite found on the basis of this very approximate model is, at 300 K, 0.54‰ larger that the reduced partition function obtained when only the internal frequencies are treated explicitly and all other frequencies are represented by a single Debye term.

For both carbonate structures, the difference between reduced partition functions computed considering internal as well as the external modes and those which consider only the internal modes explicitly is small at 300 K. The difference declines with increasing temperature. For this reason, and because the external frequencies have not been properly assigned to all carbonates considered here, the simplified model was used for the computations.

The literature IR spectra for carbonates were reviewed and the frequencies used in the computation of the reduced partition functions for the various carbonates are summarized in [Table 1.](#page-3-0)

### **3. RESULTS**

Computations were carried out from 275 K to 4000 K in steps of 25°C, and tabulated. For efficiency of reporting the results and their usage, the data were then recast into polynomial form using a regression procedure. Various polynomials were fitted to the data and the one predicting the computational results with the smallest variance was chosen. It has the form:

Table 1. Internal frequencies of carbonates used for computations.

<span id="page-3-0"></span>

Mineral	Composition	Structure	Cation Radius	$\nu_1$ $^{-1}$ cm	$\nu_{2_{-1}}$ cm	$v_3$ $\text{cm}^{-1}$	$\nu_{3a}$ $^{-1}$ cm	$\nu_4$ $^{-1}$ cm	$v_{4a}$ $\text{cm}^{-1}$	Debye Temp K	Data Source for $\nu^a$
Calcite I	CaCO <sub>3</sub>	Calcite	1.00	1083	875	1433	1434	713	713	369	1
Calcite II	CaCO <sub>3</sub>			1096	866	1471	1445	721	715	400	$\overline{\mathbf{c}}$
Calcite III 20 kbar	CaCO <sub>3</sub>			1100	868	1537	1513	745	685	400	3
Calcite III 30 kbar	CaCO <sub>3</sub>			1101.4	867.92	1540	1516.5	746.8	686.7	400	3
Calcite III 40 kbar	CaCO <sub>3</sub>			1102.8	867.84	1543	1520	748.6	688.4	400	3
Calcite III 50 kbar	CaCO <sub>3</sub>			1104.2	867.76	1546	1523.5	750.4	690.1	400	3
Calcite III 100 kbar	CaCO <sub>3</sub>			1111.2	867.36	1561	1541	759.4	698.6	400	3
Aragonite	CaCO <sub>3</sub>	Aragonite	1.18	1084	862	1472	1481	712	700	383	$\overline{4}$
Aragonite 10 kbar	CaCO <sub>3</sub>	Aragonite	1.18	1086	862	1474	1484	713	702	383	5
Aragonite 20 kbar	CaCO <sub>3</sub>	Aragonite	1.18	1088	862	1476	1487	715	703	383	5
Aragonite 30 kbar	CaCO <sub>3</sub>	Aragonite	1.18	1090	862	1479	1490	717	705	383	5
Aragonite 40 kbar	CaCO <sub>3</sub>	Aragonite	1.18	1092	861	1481	1493	719	706	383	5
Aragonite 50 kbar	CaCO <sub>3</sub>	Aragonite	1.18	1094	861	1483	1495	721	708	383	5
Aragonite 100 kbar	CaCO <sub>3</sub>	Aragonite	1.18	1104	859	1494	1510	730	715	383	5
Vaterite	CaCO <sub>3</sub>	Ca 8 fold	1.12	1083	847	1490	1426	748	748	383	6
Magnesite	MgCO <sub>3</sub>	Calcite	0.72	1098	885	1465	1465	753	753	530	$\overline{7}$
Dolomite	CaMg(CO <sub>3</sub> ) <sub>2</sub>	Calcite		1092	881	1441	1441	724	724	453	8
Cerussite	PbCO <sub>3</sub>	Aragonite	1.35	1053	842	1417	1417	680	680	274	9
Otavite	CdCO <sub>3</sub>	Calcite	0.74	1090	862	1462	1392	724	728	400	10
Rhodochrosite	MnCO <sub>3</sub>	Calcite	0.83	1084	866	1426	1426	726	726	405	11
Siderite	FeCO <sub>3</sub>	Calcite	0.78	1082	868	1447	1445	736	738	372	12
Smithonite	ZnCO <sub>3</sub>	Calcite	0.74	1093	870	1440	1412	743	733	422	13
Strontianite	SrCO <sub>3</sub>	Aragonite	1.26	1072	859	1465	1453	704	704	349	14
Witherite	BaCO <sub>3</sub>	Aragonite	1.47	1062	858	1440	1440	695	695	294	15
	Li <sub>2</sub> CO <sub>3</sub>	Li 4 fold	0.59	1089	859	1430	1430	740	713	475	16
	Na <sub>2</sub> CO <sub>3</sub>	Na 4 fold	0.99	1082	883	1425	1413	701	694	292	17

<sup>a</sup> References are as follows:

(1) Mean of data from: [Adler et al. \(1950\),](#page-12-0) [Hunt et al. \(1950\),](#page-12-0) [Louisfert \(1951\),](#page-12-0) [Louisfert and Pobeguin \(1952\),](#page-12-0) [Miller and Wilkens \(1952\),](#page-12-0) [Huang](#page-12-0) [and Kerr \(1960\),](#page-12-0) [Weir and Lippincott \(1961\),](#page-12-0) [Adler and Kerr \(1962\),](#page-12-0) [Adler and Kerr \(1963a,](#page-12-0) [1963b\)](#page-12-0), [Ross and Goldsmith \(1964\),](#page-12-0) [Chester and](#page-12-0) [Elderfield \(1967\),](#page-12-0) [Hellwege et al. \(1970\),](#page-12-0) [Donoghue et al. \(1971\),](#page-12-0) [Nyquist and Kagel \(1971\),](#page-12-0) [White \(1974\),](#page-12-0) [Scheetz and White \(1977\),](#page-12-0) [Golyshev et](#page-12-0) [al. \(1981\),](#page-12-0) [Chacko et al. \(1991\),](#page-12-0) Böttcher et al. (1993), [Jones and Jackson \(1993\).](#page-12-0)

(2) From data cited by [White \(1974\).](#page-12-0)

(3) Mean of data cited by [White \(1974\)](#page-12-0) and [Williams et al. \(1992\).](#page-12-0)

(4) Mean of data from: [Adler et al. \(1950\),](#page-12-0) [Hunt et al. \(1950\),](#page-12-0) [Louisfert \(1951\),](#page-12-0) [Keller et al. \(1952\),](#page-12-0) [Louisfert and Pobeguin \(1952\),](#page-12-0) [Huang and](#page-12-0) [Kerr \(1960\),](#page-12-0) [Weir and Lippincott \(1961\),](#page-12-0) [Adler and Kerr \(1962\),](#page-12-0) [Schroeder et al. \(1962\),](#page-12-0) [Adler and Kerr \(1963a,](#page-12-0) [1963b\)](#page-12-0), [Chester and Elderfield](#page-12-0) [\(1967\),](#page-12-0) [Rubinson and Clayton \(1969\),](#page-12-0) [White \(1974\),](#page-12-0) [French et al. \(1980\),](#page-12-0) [Golyshev et al. \(1981\).](#page-12-0)

(5) Based on the work of [Kraft et al. \(1991\).](#page-12-0)

(6) Mean of data from: [White \(1974\),](#page-12-0) [Jones and Jackson \(1993\).](#page-12-0)

(7) Mean of data from: [Hunt et al. \(1950\),](#page-12-0) [Louisfert \(1951\),](#page-12-0) [Huang and Kerr \(1960\),](#page-12-0) [Weir and Lippincott \(1961\),](#page-12-0) [Adler and Kerr \(1963a,](#page-12-0) [1963b\)](#page-12-0), [Ross and Goldsmith \(1964\),](#page-12-0) [Chester and Elderfield \(1967\),](#page-12-0) [White \(1974\),](#page-12-0) [Golyshev et al. \(1981\),](#page-12-0) Böttcher et al. (1992) Böttcher et al. (1993).

(8) Mean of data from: [Hunt et al. \(1950\),](#page-12-0) [Louisfert \(1951\),](#page-12-0) [Huang and Kerr \(1960\),](#page-12-0) [Weir and Lippincott \(1961\),](#page-12-0) [Adler and Kerr \(1963a,](#page-12-0) [1963b\)](#page-12-0), [Chester and Elderfield \(1967\),](#page-12-0) [White \(1974\),](#page-12-0) [Golyshev et al. \(1981\),](#page-12-0) [Jones and Jackson \(1993\).](#page-12-0)

(9) Mean of data from: [Louisfert \(1951\),](#page-12-0) [Huang and Kerr \(1960\),](#page-12-0) [Schroeder et al. \(1962\),](#page-12-0) [Adler and Kerr \(1963a,](#page-12-0) [1963b\)](#page-12-0), [Ross and Goldsmith](#page-12-0) [\(1964\),](#page-12-0) [Chester and Elderfield \(1967\),](#page-12-0) [Golyshev et al. \(1981\).](#page-12-0)

(10) [White \(1974\).](#page-12-0)

(11) Mean of data from: [Louisfert \(1951\),](#page-12-0) [Hunt et al. \(1950\),](#page-12-0) [Huang and Kerr \(1960\),](#page-12-0) [Weir and Lippincott \(1961\),](#page-12-0) [Adler and Kerr \(1963a,](#page-12-0) [1963b\)](#page-12-0), [Ross and Goldsmith \(1964\),](#page-12-0) [Chester and Elderfield \(1967\),](#page-12-0) [Agiorgitis \(1969\),](#page-12-0) [White \(1974\),](#page-12-0) [Golyshev et al. \(1981\),](#page-12-0) Böttcher et al. (1992), Böttcher [et al. \(1993\),](#page-12-0) [Jones and Jackson \(1993\).](#page-12-0)

(12) Mean of data from: [Hunt et al. \(1950\),](#page-12-0) [Louisfert \(1951\),](#page-12-0) [Weir and Lippincott \(1961\),](#page-12-0) [Adler and Kerr \(1963a,](#page-12-0) [1963b\)](#page-12-0), [Ross and Goldsmith](#page-12-0) [\(1964\),](#page-12-0) [White \(1974\),](#page-12-0) [Golyshev et al. \(1981\).](#page-12-0)

(13) Mean of data from: [Adler and Kerr \(1963a,](#page-12-0) [1963b\)](#page-12-0), [White \(1974\),](#page-12-0) [Golyshev et al. \(1981\).](#page-12-0)

(14) Mean of data from: [Adler et al. \(1950\),](#page-12-0) [Adler and Kerr \(1963a,](#page-12-0) [1963b\)](#page-12-0), [Chester and Elderfield \(1967\),](#page-12-0) [White \(1974\),](#page-12-0) [Gadsden \(1975\),](#page-12-0) [Golyshev](#page-12-0) [et al. \(1981\),](#page-12-0) [Jones and Jackson \(1993\).](#page-12-0)

(15) Mean of data from: [Louisfert \(1951\),](#page-12-0) [Huang and Kerr \(1960\),](#page-12-0) [Schroeder et al. \(1962\),](#page-12-0) [Adler and Kerr \(1963a,](#page-12-0) [1963b\)](#page-12-0), [Ross and Goldsmith](#page-12-0) [\(1964\),](#page-12-0) [Chester and Elderfield \(1967\),](#page-12-0) [White \(1974\),](#page-12-0) [Golyshev et al. \(1981\).](#page-12-0)

(16, 17) Data from [White \(1974\).](#page-12-0) Ionic radii are from [Shannon \(1976\).](#page-12-0)

$$
1000 \times \ln \beta = C_0 + C_1 \frac{10^3}{T} + C_2 \frac{10^6}{T^2} + C_3 \frac{10^9}{T^3} + C_4 \frac{10^{12}}{T^4}
$$
\n(5)

The polynomial coefficients are compiled in [Table 2.](#page-4-0) Between the limits of 275 K and 4000 K the relationship reproduces the computed reduced partition function to better than 0.1‰. To achieve this good fit, a constant term had to be included in the polynomial. Regression analyses forcing the relationship to pass through zero at an infinite temperature led to significantly larger deviations of the predicted from the originally computed reduced partition functions. To be sure, however, the reduced

Table 2. Results of computations.

<span id="page-4-0"></span>

Mineral				Frequency shift fs = $\nu_i^* / \nu_i$						Polynomial constants		
	fs <sub>1</sub>	$\mathbf{fs}_2$	fs <sub>3</sub>	$fs_{3a}$	$fs_4$	$fs_{4a}$	$f_{\text{S}}$	$C_0$	$C_1$	$C_2$	$C_3$	$C_4$
Calcite I		0.96865	0.97242	0.97242	0.99612	0.99612	0.99724	0.45557	$-2.81622$	29.9835	$-5.02228$	0.325816
Calcite II		0.97312	0.97312	0.97312	0.97312	0.97312	0.97312	0.46393	$-2.87923$	30.6567	$-5.23505$	0.348594
Calcite III 20 kbar		0.968646	0.97293	0.97293	0.995597	0.995597	0.997239	0.48197	$-3.05729$	32.9190	$-5.92864$	0.420719
Calcite III 30 kbar		0.968646	0.972948	0.972948	0.995578	0.995578	0.997239	0.48319	$-3.06948$	33.0442	$-5.96817$	0.424965
Calcite III 40 kbar		0.968646	0.972967	0.972967	0.995559	0.995559	0.997239	0.48497	$-3.07723$	33.1435	$-5.99919$	0.428313
Calcite III 50 kbar		0.968646	0.972985	0.972985	0.99554	0.99554	0.997239	0.48816	$-3.09845$	33.2807	$-6.04173$	0.432740
Calcite III 100 kbar		0.968646	0.973076	0.973076	0.995447	0.995447	0.997239	0.49124	$-3.13196$	33.8067	$-6.20736$	0.450491
Aragonite 1 bar		0.968646	0.97263	0.97263	0.995904	0.995904	0.997239	0.46953	$-2.92915$	31.2497	$-5.42536$	0.368506
Aragonite 10 kbar		0.968646	0.972641	0.972641	0.995892	0.995892	0.997239	0.47017	$-2.93919$	31.3427	$-5.45458$	0.371666
Aragonite 20 kbar		0.968646	0.97266	0.97266	0.995874	0.995874	0.997239	0.46787	$-2.93872$	31.4208	$-5.47890$	0.374288
Aagonite 30 kbar		0.968646	0.97268	0.97268	0.995853	0.995853	0.997239	0.47274	$-2.95991$	31.5314	$-5.51234$	0.377747
Aragonite 40 kbar		0.968646	0.972707	0.972707	0.995825	0.995825	0.997239	0.46946	$-2.95006$	31.5794	$-5.52759$	0.379278
Aragonite 50 kbar		0.968646	0.972725	0.972725	0.995806	0.995806	0.997239	0.47083	$-2.95600$	31.6430	$-5.54614$	0.381117
Aragonite 100 kbar		0.968646	0.97283	0.97283	0.995699	0.995699	0.997239	0.47420	$-2.98767$	32.0412	$-5.67180$	0.394501
Vaterite		0.968646	0.973077	0.973077	0.995447	0.995447	0.997239	0.45676	$-2.83203$	30.2205	$-5.15141$	0.343185
Magnesite		0.968646	0.972691	0.972691	0.995841	0.995841	0.997239	0.46927	$-2.92580$	31.3429	$-5.35141$	0.356228
Dolomite		0.968646	0.972458	0.972458	0.99608	0.99608	0.997239	0.46250	$-2.86130$	30.4260	$-5.11618$	0.333885
Cerussite		0.968646	0.972474	0.972474	0.996063	0.996063	0.997239	0.44167	$-2.71490$	28.8308	$-4.77557$	0.305748
Otavite		0.968646	0.972651	0.972651	0.995882	0.995882	0.997239	0.44213	$-2.72040$	29.1409	$-4.79216$	0.304076
Rhodochrocite		0.968646	0.972589	0.972589	0.995946	0.995946	0.997239	0.44875	$-2.76583$	29.5542	$-4.90156$	0.314405
Siderite		0.968646	0.972693	0.972693	0.995839	0.995839	0.997239	0.45599	0.45599	30.2197	$-5.10227$	0.334594
Smithonite		0.968646	0.972713	0.972713	0.995819	0.995819	0.997239	0.45012	$-2.77186$	29.5765	$-4.89827$	0.313710
Strontianite		0.968646	0.972588	0.972588	0.995947	0.995947	0.997282	0.45870	$-2.85960$	30.5541	$-5.23244$	0.349382
Witherite		0.968646	0.972479	0.972479	0.996059	0.996059	0.997239	0.45240	$-2.80428$	29.8711	$-5.04177$	0.330130
Li <sub>2</sub> CO <sub>3</sub>		0.968646	0.972783	0.972783	0.995747	0.995747	0.997239	0.44853	$-2.76570$	29.5777	$-4.90732$	0.315760
Na <sub>2</sub> CO <sub>3</sub>		0.968646	0.972237	0.972237	0.996306	0.996306	0.997239	0.45274	$-2.78742$	29.5785	$-4.90677$	0.313380

partition functions computed between 275 K and 4000 K (which served as a basis for the regression polynomials) were carried out such that they smoothly approach zero at infinite temperatures. Extending the computations to 15000 K and fitting a polynomial to the extended data set did not result in a better predictive relationship. In Figure 2 the fractionation between various carbonates and calcite are shown and one observes significant differences among carbonates which vary with temperature.

With increasing temperature the reduced partition functions

decline and reach one at infinite temperatures. At a particular temperature, the size of the partition function increases with the vibrational frequencies that are attained within a given carbonate (compare [Table 1](#page-3-0) and Fig. 2). One can also expect that the reduced partition function increases with the force constants characterizing the carbonate lattice, and that it should decrease with the reduced mass across the cation-carbonate bond.

# **3.1. Effects of Structure**

Calcium carbonate has several polymorphs (Fig. 3) showing significantly different carbon isotope effects [\(Fig. 4\)](#page-5-0). For aragonite this has been demonstrated previously by Rubinson and



Fig. 2. Carbon isotope fractionation between alkali and alkali earth carbonates and calcite.



Fig. 3. Phase relationship in the unary system  $CaCO<sub>3</sub>$  after [Carlson](#page-12-0) [\(1983\).](#page-12-0) Metastable equilibria are shown as dashed lines.

<span id="page-5-0"></span>

Fig. 4. Carbon isotope fractionation between calcite polymorphs at various pressures compared to calcite I at 1 bar.

[Clayton \(1969\)](#page-13-0) whose computations indicated a slight (0.9‰) enrichment in  ${}^{13}C$  in aragonite compared to calcite at  $25^{\circ}C$ . The computed fractionation is significantly smaller than the 1.8‰ fractionation observed experimentally. The enrichment of 4.12‰ found here is significantly larger than the experimental value. The difference in the computations results from the different choice of vibrational frequencies. The effect of structure on the C isotope fractionation characteristics of  $CaCO<sub>3</sub>$ (Fig. 4) is elucidated further through computations for the polymorphs, vaterite, calcite II and calcite III, which are metastable with respect to calcite I. It is apparent from Figure 5. that at ambient pressure, the increase in the reduced partition function and hence, the tendency to concentrate the heavy isotope, is directly related to the density of the structure.

Because the carbonate group is fairly rigid and the C-O bond length does not vary significantly between structural types (calcite I, 1.282 Å, [Effenberger et al., 1981;](#page-12-0) calcite II, 1.284 Å;



Fig. 5. Relationship between the reduced partition function of  $CaCO<sub>3</sub>$  polymorphs and their density in the temperature range 300 to 1000 K at 1 bar.

Table 3. Pressure induced internal mode shifts of carbonates, based on the work of [Kraft et al. \(1991\)](#page-12-0) (1) and [Williams et al. \(1992\)](#page-12-0) (2).

Phase Frequency	Aragonite (1) $d\nu/dP$ $cm^{-1}/GPa$	Dolomite (1) $d\nu/dP$ $cm^{-1}/\text{GPa}$	Calcite III (2) $d\nu/dP$ $cm^{-1}/\text{GPa}$	Magnesite (2) $d\nu/dP$ $cm^{-1}/GPa$
$\nu$ 1	2.3	3.5	1.4	2.3
$\nu$ 1	2.0			
$\nu$ 2	$-0.3$		$-0.08$	
$\nu$ 2	$-0.4$			
$\nu$ 3	2.9		3.0	
$\nu$ 3	2.2		3.5	
$\nu$ 3			1.5	
$\nu$ 4	1.4	1.1	1.8	1.5
$\nu$ 4	1.5		1.7	
$\nu$ 4	1.8			

aragonite,  $1.285 \text{ Å}$ ; [Reeder, 1983\)](#page-13-0), the differences in density among the structures must be the result of differences in the distances between the Ca ions and the carbonate groups. Hence, we may conclude that the carbon isotope fractionation properties vary with the proximity of cations to the carbonate group, and we can expect a similar dependence in silicate melts.

## **3.2. Effects of Pressure**

Based on the observation that there is a direct relationship between in the reduced partition function and the density of the structure, one might expect that the reduced partition function of a particular carbonate mineral would increase with increasing pressure for a given structure type. This is indeed observed. The effect of pressure on the carbon isotope fractionation behavior was investigated by examining the reduced partition function of a particular structure for different pressures. Changes in the IR and Raman spectra with pressure have been observed for aragonite, calcite III, dolomite and magnesite [\(White, 1974; Kraft et al., 1991; Williams et al., 1992\)](#page-14-0). Table 3 summarizes the observed pressure dependence of internal modes, the only modes considered in the computations reported here (see above). [Kraft et al. \(1991\)](#page-13-0) note that in the case of aragonite the effect of pressure is larger for the low frequency external modes than for the internal modes. The pressure dependence of the C isotope fractionation was evaluated based on the pressure-dependent IR frequency shifts observed by [Kraft](#page-13-0) [et al. \(1991\)](#page-13-0) and [Williams et al. \(1992\).](#page-14-0) Figure 4 demonstrates the effect for aragonite and calcite III for which more detailed data are available (Table 3).

For a given structure the pressure effect  $\Delta^{13}C_p$  = 1000 ln  $\beta_{\text{kbar}}$  – 1000 ln  $\beta_{\text{kbar-10}}$  (i.e., the change of the reduced partition function/10k bar change in pressure) shows a weak dependence on pressure but varies significantly with temperature. For aragonite, the average pressure effect (‰/10 Kbar) in the pressure range one to  $10<sup>5</sup>$  bars can be expressed as a function of temperature (K) as follows:

$$
\Delta^{13}C_{p_{average}} = -0.01796 + 0.06635 * \frac{10^3}{T} + 0.006875 * \frac{10^6}{T^2}
$$
 (6)

Table 4. Effect of pressure on carbon isotope fractionation (‰ per 10 kbar).

T in K	Aragonite	Calcite III	Polyakov and Kharlashina (1994)
500	0.149	0.2075	0.62
750	0.083	0.1163	0.17
1000	0.052	0.0725	0.07
1250	0.035	0.0488	

For calcite III, the pressure effect is found to be on average 1.4 times as large as for aragonite. In view of the similar size of the pressure effect on the frequencies of dolomite and magnesite [\(Table 3\)](#page-5-0) one can anticipate that these minerals will show similar changes in their reduced partition function with pressure as aragonite and calcite III. The increased pressure leads to a concentration of the heavy isotope in the high pressure polymorph compared to the low pressure form of the mineral. Because lattice frequencies, which show a larger pressure dependence, were not considered explicitly in the computations reported here, the pressure effect on the isotope fractionation could be larger than shown in [Figure 4.](#page-5-0) The computed effects vary from between 0.15 to 0.2‰/10 kbar at 500 K to 0.04 to 0.05‰ at 1250 K and are similar in size to the pressuredependence for calcite computed by [Polyakov and Kharlashina](#page-13-0) [\(1994\)](#page-13-0) (Table 4).

[Kraft et al. \(1991\)](#page-13-0) observed an increased site group splitting of  $v_3$  and  $v_4$  with increasing pressure and suggested that it could arise from either increased asymmetry of the local crystal field surrounding the carbonate ion, or a distortion of the carbonate group. The authors inferred from the observed pressure-enhanced splitting of  $v_3$  that the carbonate group becomes increasingly distorted with increasing pressure. They also suggested that the increase in frequency  $v_4$  results from an increase in the strength of the Ca-O bond and that the change in energy of  $v<sub>2</sub>$  in response to pressure reflects changes in the intercarbonate coupling. [Williams et al. \(1992\)](#page-14-0) interpret the increasing splitting of  $v_3$ , the asymmetric stretching mode, in calcite III with increasing pressure also to be the result of an increasing distortion of the carbonate group with increasing pressure. These observations suggest that the pressure effect on the carbon isotope fractionation is a result of distortion of the carbonate group and changes in Ca-O distance, as well as an increase in the intercarbonate coupling. Concerning dolomite, [Kraft et al. \(1991\)](#page-13-0) note that the frequencies of the two lowest energy vibrations, which result from rotations and translations of the carbonate group with respect to the Mg and Ca cation (see Fig. A2 in Appendix) depend on the largely ionic interaction between the carbonate group and its neighboring divalent cation. The increased energy of these vibrations with pressure indicates that the force constant between neighboring cations and anions increases with increasing pressure. This argues then for a dependence of the C isotope fractionation on the strength of the carbonate-cation interaction. [Williams et al. \(1992\)](#page-14-0) suggest that it is probable that in magnesite the C-divalent force constant increases more rapidly with pressure than it does within dolomite, hence the former should show a greater dependence of the carbon isotope fraction on pressure than the latter. These considerations lead to the conclusion that silica melt- $CO<sub>2</sub>$  isotope fractionations should also show a pressure



Fig. 6. Dependence of the calcite <sup>13</sup>C fractionation on Mg content and temperature at ambient conditions.

dependence and that this pressure dependence may vary with melt composition.

## **3.3. Effects of Composition**

The computations for different carbonates, summarized in [Figure 2,](#page-4-0) demonstrate that significant differences in the carbon isotope fractionation exist among them and that these vary with temperature. A systematic increase in the  ${}^{13}C/{}^{12}C$  ratio from calcite through dolomite to magnesite is suggested by the data. Using the computed reduced partition function for calcite and magnesite, a relationship for the fractionation between Mgcalcite and calcite was sought (using a least squares method) that would accurately predict the computed dolomite-calcite fractionation for a Mg mole fraction of 0.5. The following relationship was determined:

1000 ln (
$$
\alpha_{Mg_{\text{calic}}- \text{Calculate}}
$$
)

$$
= \left[ 0.013702 - 0.10957 \times \frac{10^3}{T} + 1.35940 \times \frac{10^6}{T^2} - 0.329124 \times \frac{10^9}{T^3} + 0.0304160 \times \frac{10^{12}}{T^4} \right] \times X^{1.5} \quad (7)
$$

where X is the mole fraction of  $MgCO<sub>3</sub>$  in the carbonate. There is extensive solid solution between calcite and dolomite at elevated temperatures (see review of [Goldsmith, 1983\).](#page-12-0) One can expect carbon isotopic composition differences between coexisting Mg-calcite and dolomite pairs that will be a function of both temperature and Mg content of the system. High Mgcarbonates are formed at low temperatures as well (see review of [McKenzie et al., 1983\)](#page-13-0). Figure 6 demonstrates their carbon isotope fractionation behavior as a function of both temperature and Mg concentration. Sr can show significant concentrations in aragonite. Based on the computations summarized in [Figure](#page-4-0) [2, a](#page-4-0) significant difference in carbon isotopic composition between pure aragonite and high Sr aragonites can be expected at low temperatures.

Within a given (calcite or aragonite) structure, the reduced partition function tends to decrease with size of the cation [\(Fig.](#page-7-0) [7A\)](#page-7-0) and the molar volume of the carbonate [\(Fig. 7B\)](#page-7-0). Both of

<span id="page-7-0"></span>

Fig. 7. Dependence of the reduced partition at 298 K and 1 bar on structure, cation radius (A) and molar volume (B).

these trends point to the importance of the nature of the cation on the carbon isotope fractionation property of a carbonate. This notion is confirmed by the positive correlation between the reduced partition function for the carbonates and the charge/ radius<sup>2</sup> ratio, which characterizes the electrical field strength of the cation (Fig. 8A).

The reduced mass for the carbonate group-cation interaction provides another measure for the effect of cations on the  $^{13}$ C fractionation properties of carbonates. The energy stored in a bond depends inversely on the square root of the reduced mass. The reduced partition function, and hence the tendency to concentrate the  $^{13}$ C, should increase with decreasing reduced mass characterizing the cation-carbonate bond. This is indeed observed (Fig. 8B) in which the results for divalent carbonates have been presented. The observed trend can be approximated by a linear relationship whose slope and intercept will change with temperature. Regression analyses were carried out on the  $\mu$ -1000ln $\beta$  relationships from 300 to 1400 K at 50 K intervals to determine slopes and intercepts. The resultant set of slopes and intercepts formed smooth relationships with temperature which were fitted to polynomials in  $1/T$  and  $1/T<sup>2</sup>$ . The results permit one to write an expression for the reduced partition function as a function of reduced mass and temperature as follows:



Fig. 8. Dependence of the reduced partition function at 298 K and 1 bar, on the charge/radius (A) ratio and the reduced mass (B).

$$
1000 \ln \beta = \left(0.032367 - 0.072563 * \frac{10^3}{T}\right)
$$

$$
- 0.01073 * \frac{10^6}{T^2}\right) * \mu - 14.003
$$

$$
+ 29.953 * \frac{10^3}{T} + 9.4610 * \frac{10^6}{T^2}
$$
(8)

$$
\mu = \frac{M_{\text{Cation}} * M_{\text{CO}_3^{2-}}}{M_{\text{Cation}} + M_{\text{CO}_3^{2-}}} \tag{9}
$$

The value of the reduced partition function predicted by this relationship was compared to the 1000ln  $\beta$  values computed for the carbonates for temperatures from 300 to 1400 K at 50 K intervals (230 comparisons). The relationship predicts the com-putations based on [Table 2](#page-4-0) on average to within  $\pm 2.4\%$ . The largest deviations observed were  $+7$  and  $-6\%$ , which occurred at the highest temperatures. The relationship is thus useful for the prediction of the reduced partition function of divalent carbonates. If carbon occurs in silicate melts in the form of carbonate complexes, similar dependencies, and hence effects on the melt- $CO<sub>2</sub>$  carbon isotope fractionation, can be expected.



Fig. 9. Comparison of carbon isotope fractionations with respect to CO<sub>2</sub> based on the computed reduced partition function of [Chacko et al.](#page-12-0) [\(1991\)](#page-12-0)  $(CO<sub>2</sub>, CaCO<sub>3</sub>)$ , carbonates (this study), [Bottinga \(1969\)](#page-12-0) (graph-ite) and [Richet et al. \(1977\)](#page-13-0) (CH<sub>4</sub>, CO), and experimentally measure silica melt- $CO<sub>2</sub>$  fractionations. The results of [Chacko et al. \(1991\),](#page-12-0) [Bottinga \(1969\)](#page-12-0) and [Richet et al. \(1977\)](#page-13-0) were fitted to a polynomial of the form 1000 ln  $\beta = C_0 + C_1/T + C_2/T^2 + C_3/T^3 + C_4/T^4$ , so that intermediate values could be obtained, and the computations could be extrapolated to higher temperatures.

### **4. DISCUSSION**

# 4.1. Comparison with Experimentally Determined CO<sub>2</sub>/ **Silicate Melt Fractionations**

The few available experimentally determined  $^{13}$ C fractionations between silicate melts and vaporous  $CO<sub>2</sub>$  are compared in Figure 9 with the computations of [Chacko et al. \(1991\)](#page-12-0) and this work. The reduced partition function for  $CO<sub>2</sub>$  reported by [Chacko et al. \(1991\)](#page-12-0) was used. Measurements by [Mattey et al.](#page-13-0) [\(1990\)](#page-13-0) and [Mattey \(1991\)](#page-13-0) fall close to the fractionations computed here, and are systematically larger than the fractionations computed by [Chacko et al. \(1991\).](#page-12-0) The results by [Blank and](#page-12-0) [Stolper \(1993\)](#page-12-0) for rhyolites obviously differ significantly for fractionations expected for carbonate ions or carbonate complexes, and can be understood by appealing to C solution in the melt as molecular  $CO<sub>2</sub>$ . It should be noted that the pressures at which the experiments were carried out varied from 0.25 to 30 kbar. Assuming that the pressure effect discussed above applies, the pressure correction for the measurements above 1000°C would be below 0.1‰; however, it may be significantly larger for the 800°C determination. The measurements of [Javoy](#page-13-0) [et al. \(1978\)](#page-13-0) and [Trull et al. \(1992\)](#page-13-0) are inconsistent with fractionations expected, if C dissolves in silicate melts only as molecular  $CO<sub>2</sub>$ , carbonate ion or carbonate complexes. The size of the isotopic composition differences observed is close to the fractionation expected between graphite and  $CO<sub>2</sub>$ . The question whether, under the particular experimental condition used, C dissolved in a reduced form, or whether the experiments are flawed in some way can be answered only through additional experimental studies. In view of this question, we set these measurements aside for the moment.

Based on our computations we have concluded that in carbonate lattices there are interactions between the  $CO_3^2$  group and cations such as Mg, Ca, Fe etc. which influence the carbonate/ $CO<sub>2</sub>$  carbon isotope fractionation, and that we can expect that such effects will occur in silicate melts as well. On the other hand it has been proposed [\(Stolper and Fine, 1985;](#page-13-0)



Fig. 10. Dependence of melt- $CO<sub>2</sub>$  carbon isotope fractionation on melt composition. While the 1300°C data have been indicated for comparison, they were not used in the computation of the regression line. The regression is based on the data from [Mattey et al. \(1990\),](#page-13-0) [Mattey \(1991\)](#page-13-0) and [Blank and Stolper \(1993\)](#page-12-0) at 1200°C.

[Kohn et al., 1991\)](#page-13-0) that there is an interaction between the Si/Al tetrahedral structure of the melt and the dissolved carbon [\(Fig.](#page-1-0) [1\)](#page-1-0). The force constants, and hence the carbon isotope effects governing the cation-carbonate and carbonate-Si/Al tetrahedral structure interaction, will differ significantly and one can expect that there should be a relationship between the relative importance of these two chemical variables and the melt/ $CO<sub>2</sub>$ carbon isotope fractionation. The molar ratio of the sum of the cations of Mg, Fe, Mn, Ca, Na, K, characterizing the cation interaction, to the sum of  $Si + Al$ , characterizing the interaction with the silicate melt, is a measure of the relative importance of the two interactions. Figure 10 shows this relationship. Because the fractionations measured by [Javoy et al. \(1978\)](#page-13-0) and [Trull](#page-13-0) [\(1992\)](#page-13-0) cannot be understood on the basis of oxidized carbon species but require the presence of a reduced form of C, they were not considered in the computation of the regression for 1200°C, which is represented by:

1000 ln 
$$
\alpha_{\text{Melt-CO}_2}
$$
 = 5.14 ×  $\frac{Mg + Fe + Mn + Ca + Na + K}{Si + Al}$   
+ 0.86 (10)

This relationship is interpreted as reflecting the overall effect of the presence of cations. It is to be noted, however, that [Figure 2](#page-4-0) also indicates that the nature of the cation can be expected to affect the size of the fractionation, which could vary, depending on the relative abundances of Mg, Fe, Mn, Ca and Na by as much as 0.8‰ at 1000°C. More careful experimental determinations of the melt- $CO<sub>2</sub>$  fractionation as a function of melt composition are necessary to isolate the size of the isotope effects of various C dissolution mechanisms. The available very scanty data provide, however, an indication of the nature of the dependence of melt- $CO<sub>2</sub>$  isotope fractionations on melt composition.

The complexity of the dependence of the carbon isotope fractionation between a vapor and a melt can be best explained by writing a general expression for this fractionation:

2668 P. Deines

$$
\alpha_{v-m} = \frac{\sum_{i=1}^{n_v} x_i^v \times \alpha_{i-r}^v}{\sum_{j=n}^{n_v} x_j^m \times \alpha_{j-r}^m}
$$
(11)

where

 $\alpha_{v-m}$  = fractionation factor between vapor and melt,

 $\alpha_{i-r}^{v}$  = fractionation factor between vapor species i and a reference species (e.g., gaseous  $CO<sub>2</sub>$ ),

 $\alpha_{j-r}^m$  = fractionation factor between melt species j and the reference species (e.g., gaseous  $CO<sub>2</sub>$ ),

 $x_i^v$  = mole fraction of C present in vapor as species i,

 $x_j^m$  = mole fraction of C present in melt as species j,  $n_v$  = number of C species in vapor,  $n_m$  = number of C species in melt.

The vapor-melt fractionation, for a vapor, containing potentially carbon dioxide, methane and carbon monoxide, and a melt, containing potentially dissolved carbon dioxide, C interacting with the silicate melt structure, carbonate complexes of various types, as well as C dissolved in some reduced form (s), can be written as:

$$
\alpha_{v-m} = \frac{1 \times x_{CO_2} + \alpha_{CH_4} \times x_{CH_4} + \alpha_{CO} \times x_{CO}}{\alpha_{mCO_2} \times x_{mCO_2} + \alpha_{Si-St} \times x_{Si-St} + \sum_{jCO_3-Complexs} \alpha_{jCO_3} \times x_{jCO_3} + \sum_{jkeduced} \alpha_{jRed} \times x_{jRed}
$$
\n(12)

where

 $mCO<sub>2</sub> = CO<sub>2</sub>$  dissolved in melt in molecular form,

 $Si-St = C$  interacting with silicate structure,

 $jCO<sub>3</sub>-Complexes = Mg$ , Ca, Fe, Na etc. carbonate complexes,

 $j$ Reduced = carbon in reduced chemical forms, e.g., CO

All fractionation factors,  $\alpha$ , are expressed with respect to gaseous CO<sub>2</sub>. The fractionation factor  $\alpha_{\text{Melt-CO2}}$  discussed above represents the integrated effect of  $\alpha_{\text{mCO2}}$ ,  $\alpha_{\text{Si-St}}$ , and  $\alpha_{\text{jCO3}}$ . The mole fractions,  $x^{\text{v}}$ , in the vapor are affected by pressure and temperature and oxygen fugacity. The effect of these three variables on the vapor composition and its isotope fractionation behavior have been explored in some detail by [Deines \(1980\).](#page-12-0) The temperature dependence of the reduced partition functions of  $CO<sub>2</sub>$ , CH<sub>4</sub> and CO have been well established through theoretical computations (e.g., [Richet et al.,](#page-13-0) [1977\)](#page-13-0); their pressure dependence has not been explored in detail. Considering the C species in the melt, one can expect a pressure-, temperature—as well as composition—dependence

of the mole fractions  $x^m$ . The oxygen fugacity will have significant effects on the  $x<sub>jRed</sub>$  term (s).

Although progress has been made in the understanding of the C speciation in silicate melts, a quantification of the various  $x_{j}^{m}$ terms is not possible at this time. Likewise one cannot quantify all of the necessary isotope fractionation properties of the various melt species to be able to evaluate  $\alpha_{v-m}$ . However, even the exceedingly limited experimental data, in combination with the present computation, can provide some insight into the compositional dependence of the vapor melt fractionation.

At 1200°C the vapor/melt fractionation has been determined for three significantly different melt compositions. As these experiments were carried out under sufficiently oxidizing conditions, the reduced species can be neglected in the vapor/melt fraction expression above. The relationship can be further simplified by noting that at 1200°C the fractionation properties of the Ca, Fe and Na carbonates are reasonably similar and can be approximated by a single fractionation ( $\alpha_{\text{CatCO3}}$ ). This is not true for magnesium. With these simplifying assumptions the vapor/melt fractionation becomes:

$$
\alpha_{v-m} = \frac{x_{CO_2}}{\alpha_{mCO_2} \times x_{mCO_2} + \alpha_{Si-St} \times x_{Si-St} + \alpha_{CatCO_3} \times x_{CatCO_3} + \alpha_{MgCO_3} \times x_{MgCO_3}}
$$
(13)

The mole fractions  $X_{Si-St}$ ,  $X_{CaCO3}$ ,  $X_{MgCO3}$  can be estimated from the melt composition. The fractionation factors  $\alpha_{\text{mCO2}}$ ,  $\alpha_{\text{CatCO3}}$ ,  $\alpha_{\text{MgCO3}}$  can be characterized by the computed reduced partition functions but  $\alpha_{Si-St}$  and  $X_{CO2}$  are unknown. In view of the size of the fractionations observed for the sodamellite and basalt melt compared to the rhyolite melt, and the observation that the fraction of C dissolved as  $CO<sub>2</sub>$  declines from rhyolitic to basaltic melt compositions, it was assumed that for the former two,  $X_{CO2}$  was small (less than 10%) compared to  $X_{Si-St}$  $+ X_{\text{CatCO3}} + X_{\text{MgCO3}}$ . With these simplifications and assumptions one can find an estimate for the reduced partition function for the C interacting with the Si/Al tetrahedra of the melt. The vapor-melt fractionations measured for sodamellite and basalt melts, both yield an estimate of  $\sim$ 11 for 1000 ln  $\beta$ , which varies by a bout 0.2 when  $X_{CO2}$  is varied from zero to 10%.

The rhyolite melt-vapor fractionation appears to be dominated by the fractionation characteristics of  $CO<sub>2</sub>$ . A melt-vapor fractionation of exactly zero‰, as reported, could imply that: *a.* vaporous and dissolved  $CO<sub>2</sub>$  behave identically and that dissolved C does not interact with the melt or *b.* the model above applies, but that there is a compensation of isotope effects, i.e., the  $CO<sub>2</sub>$  in the melt does not behave isotopically identically to the vaporous  $CO<sub>2</sub>$ . While proposition *a* is less satisfactory than proposition *b,* one needs to consider also the most likely possibility that the vapor-melt fractionation is actually not identical to zero. One can use the estimated reduced partition function for the C-Si/Al tetrahedra interaction, and Eqn. 13 to examine the relationship between the rhyolite melt-vapor fractionation and the fraction of C present as dissolved  $CO<sub>2</sub>$ . One finds that if the melt-vapor fraction were  $-0.2\%$ , in stead of zero, 10%

<span id="page-10-0"></span>

Fig. 11. Experimentally determined and computed carbon isotope fractionation between calcite and  $CO<sub>2</sub>$ .

of C in the melt could be interacting with the silicate structure and or be present in the form of carbonate complexes.

Although the data permit some insights, they are obviously too limited to come to firm conclusions. The analysis of the dependence of  $\alpha_{v-m}$  on physical and chemical parameters is fruitful, however, because it demonstrates what the variables are that need to be controlled, or measured in laboratory experiments aimed at a complete understanding of the carbon isotope fractionation properties of silicate melts.

# 4.2. Comparison with CO<sub>2</sub>/Calcite Fractionations

A larger number of experimental determinations, over a wider temperature range, are available for the  $CaCO<sub>3</sub>-CO<sub>2(vapor)</sub>$  fractionation. They are compared with the theoretical computations in Figure 11. The results of the present computations provide a better fit to the data above 700°C than the computations of [Chacko et al. \(1991\)](#page-12-0) which are seen to provide a better fit for the low temperature measurements, a result of the particular choice of frequencies and frequency-shift factors made by the authors (see the Appendix). The result of simply changing the wave number for  $v_3$  in the computation of these authors to a more commonly observed value, is shown in the figure as well. The rather large effect on the computed fractionation is apparent. If all of the parameters are adjusted as discussed in the Appendix, the computations of [Chacko et al. \(1991\)](#page-12-0) and the present computations coincide, and the good fit at low temperatures disappears. The good fit of the high temperature experiments for  $CaCO<sub>3</sub>$  with the high temperature computations of this study gives us confidence that at *high temperatures* the results of this work represent closely the C-isotope fractionation properties of carbonates. The mismatch at lower temperatures is likely to be related to the incompleteness of the vibrational model used for the computations, a limitation that was recognized earlier by [Urey \(1947\)](#page-13-0) and [Rubinson and](#page-13-0) [Clayton \(1969\)](#page-13-0) and is not significantly improved upon by considering lattice modes vibrations using the model of [Giu](#page-12-0)[lotto and Loinger \(1951\).](#page-12-0)

# **4.3. Calcite-Graphite 13C Fractionation**

The carbon isotope fractionation between calcite and graphite is of considerable interest as it has the potential to provide



Fig. 12. 13C fractionation between calcite and graphite. Comparison of empirical fractionations:  $VO =$  [Valley and O'Neil \(1981\),](#page-13-0)  $WS =$ [Wada and Suzuki \(1983\),](#page-14-0)  $M =$  [Morikiyo \(1984\),](#page-13-0)  $DV =$  [Dunn and](#page-12-0) [Valley \(1992\),](#page-12-0)  $KV =$  [Kitchen and Valley \(1995\);](#page-13-0) experimental determinations:  $SH =$  [Scheele and Hoefs \(1992\);](#page-13-0) previous computations:  $CMCG = Chacko et al. (1991); this study: TS-B = this study's calcite$  $CMCG = Chacko et al. (1991); this study: TS-B = this study's calcite$  $CMCG = Chacko et al. (1991); this study: TS-B = this study's calcite$ reduced partition function combined with the graphite partition func-tion for graphite of [Bottinga \(1969\),](#page-12-0)  $TS-PK = this study's calcite$ reduced partition function combined with the graphite partition function for graphite of [Polyakov and Kharlashina \(1995\);](#page-13-0) and fractionations observed in metamorphic rocks (solid circles): data from [Valley](#page-13-0) [and O'Neil \(1981\), Wada and Suzuki \(1983\), Kreulen and Beek \(1983\)](#page-13-0) [Morikiyo \(1984\), Kitchen and Valley \(1995\), Rathmell et al. \(1999\).](#page-13-0) The temperature estimates for the metamorphic rocks were taken from the original publications and were based on element distributions and mineral stability data.

temperature information about metamorphic processes. Valley and coworkers have attempted to calibrate this isotope thermometer empirically, using mineral stability and composition data. Theoretical computations by [Bottinga \(1968, 1969\)](#page-12-0), Chacko et al. [\(1991\)](#page-12-0) and [Polyakov and Kharlashina \(1995\)](#page-13-0) have provided a second method of calibration and [Scheele and](#page-13-0) [Hoefs \(1992\)](#page-13-0) have measured the fractionation as a function of temperature experimentally. The available information has been compiled in Figure 12. Also shown are two calibration curves based on the calcite-reduced-partition function computed in this study and the computations for graphite of [Bot](#page-12-0)[tinga \(1969\)](#page-12-0) and [Polyakov and Kharlashina \(1995\).](#page-13-0) The latter authors combined their computations for graphite with those of [Chacko et al. \(1991\)](#page-12-0) for calcite to find a  $\Delta^{13}$ C<sub>calcite-graphite</sub> temperature calibration. The resulting relationship coincides with the empirical calibration of [Valley and O'Neil \(1981\).](#page-13-0) [Polyakov and Kharlashina \(1995\)](#page-13-0) therefore suggested that this coincidence indicates that their computations reflect more properly the  $^{13}$ C fractionation properties of graphite than the results of [Bottinga \(1969\).](#page-12-0) Aside from the well-known difficulty to use observed isotope fractionations between mineral pairs to argue for or against the validity of isotope thermometers, the dependence of the results of [Chacko et al. \(1991\)](#page-12-0) on the chosen IR frequency discussed above should be noted. Valley and coworkers have pointed out the fact that the calibration of [Chacko](#page-12-0) [et al. \(1991\)](#page-12-0) yields too large fractionations at a particular temperature and this has been also discussed by [Chacko et al.](#page-12-0) [\(1991\).](#page-12-0) The comparison of the computations of the present

<span id="page-11-0"></span>Table 5. Sensitivity of calcite-graphite 13C isotope thermometer.

	Slope	
	2.4	2.8
t °C	%% $/100^{\circ}$ C	%% $/100$ °C
500	1.04	1.21
600	0.72	0.84
700	0.52	0.61
800	0.39	0.45
900	0.30	0.35
1000	0.23	0.27

work with experimental data for the  $CaCO<sub>3</sub>-CO<sub>2</sub>$  fractionation [\(Fig. 12\)](#page-10-0) leads to the same conclusion, i.e., that the reduced partition function for calcite reported by [Chacko et al. \(1991\)](#page-12-0) is too large. Hence the fit of the [Polyakov and Kharlashina's](#page-13-0) [\(1995\)](#page-13-0) calibration with the empirical calibration of [Valley and](#page-13-0) [O'Neil \(1981\)](#page-13-0) may be fortuitous. The combination of the calcite reduced partition of this study with the results of [Bot](#page-12-0)[tinga \(1969\)](#page-12-0) yields fractionations falling closer to the empirical calibration of [Valley and O'Neil \(1981\),](#page-13-0) while the combination with the work of [Polyakov and Kharlashina \(1995\)](#page-13-0) yields smaller fractionations. While the question of which of the results for the graphite-reduced-partition function are more reliable remains to be settled, it is interesting to note, in [Figure](#page-10-0) [12,](#page-10-0) that the slopes of the "calibrations"  $VO$  (slope  $= 2.8$ ), TS-B  $(slope = 2.5)$  and TS-PK (slope = 2.4) as well as SH (slope = 2.4) are very similar in the temperature range shown. Hence, temperature *sensitivity* of the thermometer has been reasonably well established (Table 5).

## **4.4. The Dolomite-Calcite Carbon Isotope Fractionation**

[Schwarcz \(1966\)](#page-13-0) pointed out the potential use of the  $^{13}$ C fractionation between dolomite and calcite as a geothermometer, and the possibility of calibrating it empirically through temperature estimates based the Mg content of magnesian calcite and the solvus in the system calcite-dolomite [\(Gold](#page-12-0)[smith and Graf, 1958; Graf and Goldsmith, 1958; Goldsmith](#page-12-0) [and Heard, 1961; Goldsmith and Newton, 1969; Anovitz and](#page-12-0) [Essene, 1987\)](#page-12-0). In Figure 13 measured  $\Delta^{13}C_{\text{dolomite-calcite}}$  and estimated temperatures, mainly from solvus data, are shown along with the empirical calibrations of [Wada and Suzuki](#page-14-0) [\(1983\)](#page-14-0) and [Sheppard and Schwarcz \(1970\).](#page-13-0) [Sheppard and](#page-13-0) [Schwarcz \(1970\)](#page-13-0) also reported the results of an experimental determination of this fractionation as a function of temperature, which deviates rather significantly from the empirical calibrations. While some of the naturally observed data follow the experimental fractionation line, most data scatter around the calibration curve of [Sheppard and Schwarcz \(1970\).](#page-13-0) [Rathmell](#page-13-0) [et al. \(1999\)](#page-13-0) have pointed out that the calcite-dolomite thermometer is sensitive to resetting during deformation and retrogression, and therefore the use of solvus temperature estimates may not be the best tool to establish a calibration for the  $\Delta^{13}C_{\text{Dolomite-Calcite}}$  thermometer. It is therefore gratifying to note the close agreement between the results of the present computations and the empirical calibration of [Sheppard and](#page-13-0)



Fig. 13. Carbon isotope fractionation between coexisting dolomitecalcite pairs as a function of temperature of metamorphic hydrothermal, and carbonatite carbonates. Data are from [Jia and Kerrich \(2000\),](#page-13-0) [Richards et al. \(1996\), Knudsen and Buchardt \(1991\), Wada and Suzuki](#page-13-0) [\(1983\), Wada and Oana \(1975\), Sheppard and Schwarcz \(1970\),](#page-13-0) and [Schwarcz \(1966\).](#page-13-0) The temperature information was derived mainly from the solvus temperature of magnesian calcite. The empirical calibrations of Wada and Suzuki (1983) (WS) and [Sheppard and Schwarcz](#page-13-0) [\(1970\)](#page-13-0) (SS<sub>em</sub>), the experimental determination of [Sheppard and](#page-13-0) [Schwarcz \(1970\)](#page-13-0) ( $SS_{ex}$ ), and the fractionation computed in this work (TW) are also shown.

[Schwarcz \(1970\),](#page-13-0) lending support for the validity of the sample selection criteria used by these authors in their calibration.

# **5. CONCLUSIONS**

The results of the computations of the reduced partition function for carbonates suggest that the carbon isotope fractionation properties of silicate melts will depend on the melt structure, its density, the proximity of the cations to the carbonate group, distortion of the carbonate group, the strength of the cation-carbonate group interaction, as well as any intercarbonate coupling. They also suggest that the fractionation should be pressure dependent and that the size of this pressure dependence could vary with melt composition.

The nature of the cations present in the melt can be expected to have an effect on the carbon isotope fractionation property of a silicate melt. In particular one can anticipate differences in the fractionation that depend on the size of the melt's Mg/Fe, Mg/Ca and Mg/Na ratios. A second important chemical parameter influencing the melt/vapor carbon isotope fractionation is the melt's cation/(Si + Al) ratio. The<sup>13</sup>C fractionation between melt and  $CO<sub>2</sub>$  increases systematically with increasing  $\sum$ Cation/(Si + Al) ratio. Overall, the silicate melt-vapor <sup>13</sup>C fractionation will be a function of P, T, silicate melt composition and oxygen fugacity.

The dependence of the C isotope fractionation properties of silicate melts on these chemical parameters is complicated and can be elucidated only through carefully conducted experimental studies. The fractionation model that has been developed in this paper can be used to demonstrate how the effects of individual variables could be isolated in such experiments.

The results for the individual carbonates demonstrate that

<span id="page-12-0"></span>their C isotope fractionation is related to the nature of the cation, its size, charge density and reduced mass. The computed pressure effects on the reduced partition function of carbonates vary from between 0.15 to 0.2‰/10kbar at 500 K to 0.04 to 0.05‰ at 1250 K and are similar in size to the pressure dependence for calcite computed by [Polyakov and Kharlashina](#page-13-0) [\(1994\).](#page-13-0) The  $^{13}$ C fractionation of carbonates, showing the phenomenon of solid solution, varies systematically with the solid solution composition. At all temperatures the  $^{13}$ C fractionation between Mg-calcite and pure  $CaCO<sub>3</sub>$  increases with increasing Mg content and a quantitative relationship for the dependence was derived. Sr can show significant concentrations in aragonite. Based on the present computations significant difference in carbon isotopic composition between pure aragonite and high Sr aragonites can be expected at low temperatures.

The  $CaCO<sub>3</sub>-CO<sub>2</sub><sup>13</sup>C$  fractionation deduced from the present computations matches closely experimental data at high temperatures, but not at low temperatures. This is in contrast to earlier computations that fit the experimental data better at low temperatures than at high temperatures. The difference in results is traced to the choice of vibrational frequencies and frequency shifts used in the earlier computations.

The fractionation between calcite and graphite deduced by combining the calcite reduced partition function of this study with the results of Bottinga (1969) for graphite yields fractionations falling close to the empirical calibration of [Valley and](#page-13-0) [O'Neil \(1981\),](#page-13-0) however the combination with the computations for graphite of [Polyakov and Kharlashina \(1995\)](#page-13-0) yields smaller fractionations. While the absolute 13C fractionation between calcite and graphite needs to be determined with greater accuracy, the temperature *sensitivity* of the thermometer has been reasonably well established.

There is good agreement between the dolomite-calcite  $^{13}$ C fractionation computed in this study with the empirical temperature calibration of the thermometer by [Sheppard and](#page-13-0) [Schwarcz \(1970\).](#page-13-0)

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<span id="page-14-0"></span>Table A1. Herzberg's labeling convention.

Frequency	Mode of motion
$\nu_{1}$	Symmetric stretch
$\nu_{2}$	Out-of-plane bend
$\nu_{3}$	Asymmetric stretch
$\nu_{\scriptscriptstyle{A}}$	In-plane bend

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### **APPENDIX**

#### **A1. Vibrations of the Carbonate Ion and the Carbonate Lattice**

The vibrational modes of calcite are conventionally described with two  $CaCO<sub>3</sub>$  units in the primitive cell. As there are 10 atoms per unit cell there will be 30 degrees of vibrational freedom. Twelve of these are taken up by the vibrations of the  $CO_3^2$  group (internal modes) and 18 by vibrations of the carbonate group with respect to the cations (external modes). The carbonate ion in carbonates can be expected to exhibit vibrational frequencies that are very similar to those of the free carbonate ion. Following the labeling convention established by [Herz](#page-13-0)[berg \(1945\)](#page-13-0) these are given in Table A1. Frequencies  $\nu_3$ ,  $\nu_4$  are doubly degenerate, which then accounts for 6 degrees of freedom per carbonate ion, i.e., 12 in the carbonate lattice.

Different approaches have been taken in order to determine the effect of isotopic substitution on the vibrational properties and it is of interest to examine how large the differences between different approaches are. For the free carbonate ion [Herzberg \(1945\)](#page-13-0) developed relationships between the vibrational frequencies and force constants as follows:

$$
\lambda_1 = \frac{k_1}{m_o} \tag{A1}
$$

$$
\lambda_2 = \left(1 + \frac{3 \times m_o}{m_c}\right) \times \frac{k_{\Delta}}{m_o \times r^2}
$$
 (A2)

$$
\lambda_3 + \lambda_4 = \left(1 + \frac{3 \times m_o}{2 \times m_c}\right) \times \left(\frac{k_1}{m_o} + \frac{3 \times k_\delta}{m_o \times r^2}\right) \tag{A3}
$$

$$
\lambda_3 \times \lambda_4 = 3 \times \left( 1 + \frac{3 \times m_o}{m_c} \right) \times \frac{k_1 \times k_\delta}{m_o^2 \times r^2} \qquad \qquad \text{(A4)}
$$

The  $\lambda$ 's are related to the vibrational frequencies as follows:

$$
\lambda_i = \frac{4 \times \pi^2 \times c^2 \times \nu_i^2}{N_o}
$$
 (A5)

where

 $k_1$  = bond stretching force constant

 $k_A$  = out-of-plane bond bending force constant

 $k_{\delta}$  = in-plane bond bending force constant

 $\overline{N}_o$  = Avogadro's number

 $c =$  the speed of light  $r =$  the carbon-oxygen bond length

 $m_c$  = the mass of the carbon atom

 $m<sub>o</sub>$  = the mass of the oxygen atom

Using these equations and the observed frequencies, the force constants can be computed. Under the assumption that the force constants are the same for isotopically substituted carbonate ions, the frequencies for the isotopically substituted species can be computed by making the appropriate changes in the masses of the C or O atoms. The computed isotope shift, i.e., the ratio of the  ${}^{13}CO_3^{2-}/{}^{12}CO_3^{2-}$  frequencies is recorded in Table A2.

A somewhat different treatment of the relationships between vibrational frequencies and the force constants of the carbonate molecule was developed by [Heath and Linnett \(1948\).](#page-13-0) The relationship for the totally symmetrical vibration is given by:

$$
\lambda_1 = (\mathbf{k'}_1 + \mathbf{6} \times \mathbf{A}) \times \frac{1}{\mathbf{m}_0}
$$
 (A6)

The relationship for the out-of-plane vibration is:

$$
\lambda_2 = (\mathbf{k}_{\beta} + 3 \times \mathbf{B}') \times \left(\frac{1}{\mathbf{m}_o} + \frac{3}{\mathbf{m}_c}\right) \tag{A7}
$$

The two degenerate planar vibrations follow the relationships:

$$
\lambda_3 + \lambda_4 = \left(k'_{1} - \frac{3}{4} \times B' + \frac{3}{2} \times A\right) \times \left(\frac{1}{m_o} + \frac{3}{2 \times m_c}\right)
$$

$$
+ \left[k_{\beta} + \frac{9}{4} \times B' + \frac{3}{2} \times A\right] \times \left(\frac{1}{m_o} + \frac{3}{2 \times m_c}\right)
$$

$$
- (2 \times A - B') \times \frac{9}{4 \times m_c} \quad (A8)
$$

and

$$
\lambda_3 \times \lambda_4 = \left\{ \left( k'_1 - \frac{3}{4} \times B' + \frac{3}{2} \times A \right) \times \left( k_\beta + \frac{9}{4} \times B' + \frac{3}{2} \times A \right) - \frac{9}{16} \times (2 \times A - B')^2 \right\} \times \left( \frac{1}{m_o^2} + \frac{3}{m_c \times m_2} \right) \tag{A9}
$$

A, B', k'<sub>1</sub> and k<sub> $\beta$ </sub> are constants.  $\lambda$  is defined as above but it should

### Table A2. Frequency shifts for internal modes used in previous studies and this work.





Fig. A1. The internal modes of the carbonate ion in calcite.

be noted that in the paper by [Heath and Linnett \(1948\)](#page-13-0)  $\lambda_2$  and  $\lambda_3$ correspond to  $\lambda_3$  and  $\lambda_4$  of [Herzberg \(1945\).](#page-13-0)

The constants in these equations can be evaluated from the observed vibrational frequencies, and once these are known, the frequency shifts, which occur as the result of isotopic substitution, can be computed. The computed frequency shifts are recorded in [Table A2.](#page-14-0)

Since there are two  $CO_3^2$  groups in the unit cell there are two sets of internal vibrations which vibrate either in phase or anti-phase with respect to the center of symmetry (see Fig. A1). Their numerical values are distinct from those of the free carbonate ion because the carbonate group occurs in a crystal lattice. Also, the carbonate groups as a whole will vibrate against the cations in the lattice, producing a set of low-frequency lattice modes, shown in Figure A2. Since five of these modes are doubly degenerate (Table A3), these modes account for 15 degrees of freedom. This leaves 3 degrees of freedom that are attributed to acoustic modes.



Fig. A2. The lattice modes of the calcite structure.

The notation for the lattice modes has not been as standardized as for the internal modes. In Table A3 the notation of [White \(1974\)](#page-14-0) of [Giulotto and Loinger \(1951\)](#page-12-0) and that adopted in this work are shown.

Not all of the external modes have been observed, but [Giulotto and](#page-12-0) [Loinger \(1951\)](#page-12-0) have developed relationships between the frequencies of the lattice modes and their force constants which permitted them to evaluate unobserved frequencies. The model of [Giulotto and Loinger](#page-12-0) [\(1951\)](#page-12-0) was used here also to determine the frequency shifts which occur upon isotopic substitution. We define:

$$
\omega_{i}^{2} = (\nu e_{i} \times c \times 2 \times \pi)^{2}
$$
 (A10)

where i denotes the *i*th frequency, and the reduced mass is:

$$
\mu = \frac{M_p \times M}{M_p + M}
$$
 (A11)

 $M_p$  is the mass of the Ca<sup>2+</sup> ion, and M the mass of the carbonate ion. Four of the force constants involved  $(c_1, c_2, c_3, c_{13})$  can be found from four frequencies by solving simultaneously the relationships [\(Giulotto](#page-12-0) [and Loinger, 1951\)](#page-12-0) below.

Table A3. Notation for lattice modes.

	Giulotto and Loinger notation	White notation			
Frequency				Symmetry Frequency Symmetry Degeneracy	Figure A2
$\boldsymbol{\nu}$	(t) 1u	$\nu_{5}$	$A_{1u}$		ve
$\nu_1$	(t) $\mathbf{E}_{\mathrm{g}}$	$v_{13}$	$E_{\rm g}$		$ve_1$
v <sub>2</sub>	(p) $E_g$	$v_{14}$	$E_{\rm g}$	2	$ve_2$
$v_1$	(v) $A_{2u}$	$\nu_{7}$	$A_{2u}$		$ve_1$
$\nu_{2}$	(t) $A_{2u}$	$\nu_{6}$	$A_{2u}$		ve <sub>2</sub>
$^{\prime\prime}$ $\nu_1$	(t) $E_{u(1)}$	$\nu_{\rm g}$	$E_{u}$		$ve_2$
$^{\prime\prime}$ $\nu_2$	(p) $E_u$	$v_{10}$	$E_{u}$	2	$ve_2$ "
$^{\prime\prime}$ $v_3$	$E_{u}^{(v)}$	$\nu_{\rm o}$	E.,	2	$ve_{3}$ "
$\nu''_1$	(t) $A_{2g}$ <sub>(v)</sub>	$\nu_{11}$	$A_{2g}$		$ve_1$ "'
$v''_2$	$A_{2g}$	$v_{12}$	$A_{2g}$		$^{\prime\prime\prime}$ $ve_2$

$$
\omega_1^2 = \frac{1}{2} \times \left[ \frac{2}{m_o} \times c_3 + \frac{3}{M} \times (c_1 + c_2) \right] + \frac{1}{2} \times \sqrt{\left[ \frac{2}{m_o} \times c_3 - \frac{3}{M} \times (c_1 + c_2) \right]^2 + 4 \times \left( \frac{\sqrt{3}}{2 \times m_o} \times c_{13} \right) \times \left( \frac{\sqrt{3}}{M} \times c_{13} \right)} \tag{A12}
$$

$$
\omega_2^2 = \frac{1}{2} \times \left[ \frac{2}{m_o} \times c_3 + \frac{3}{M} \times (c_1 + c_2) \right] - \frac{1}{2} \times \sqrt{\left[ \frac{2}{m_o} \times c_3 - \frac{3}{M} \times (c_1 + c_2) \right]^2 + 4 \times \left( \frac{\sqrt{3}}{2 \times m_o} \times c_{13} \right) \times \left( \frac{\sqrt{3}}{M} \times c_{13} \right)} \tag{A13}
$$

$$
\omega_1^2 = \frac{1}{2} \times \left[ \frac{6}{\mu} \times c_3 + \frac{2}{m_o} \times c_1 \right] + \frac{1}{2}
$$
  
 
$$
\times \sqrt{\left( \frac{6}{\mu} \times c_3 - \frac{2}{m_o} \times c_1 \right)^2 + 4 \times \left( \frac{3}{\mu} \times c_{13} \right) \times \left( \frac{1}{m_o} \times c_{13} \right)}
$$
(A14)

$$
\omega'^{2} = \frac{1}{2} \times \left[ \frac{6}{\mu} \times c_{3} + \frac{2}{m_{o}} \times c_{1} \right] - \frac{1}{2}
$$
  
 
$$
\times \sqrt{\left( \frac{6}{\mu} \times c_{3} - \frac{2}{m_{o}} \times c_{1} \right)^{2} + 4 \times \left( \frac{3}{\mu} \times c_{13} \right) \times \left( \frac{1}{m_{o}} \times c_{13} \right)}
$$
(A15)

A fifth force constant can be found from the frequency  $v_2$ <sup>"</sup>. For convenience of determining the fifth force constant,  $c_{34}$ , the constants C1, C2, and C3 are defined:

$$
C1 = \left[\frac{3}{\mu} \times (c_1 + c_2) + \frac{3}{M_p} \times (c_1 + c_2) + \frac{2}{m_o} \times c_3\right] \times \omega_{2}^{"2}
$$
 (A16)

$$
C2 = \frac{3}{\mu} \times (c_1 + c_2) \times \frac{3}{M_p} \times (c_1 + c_2) + \frac{3}{\mu} \times (c_1 + c_2) \times \frac{2}{m_o} \times c_3 + \frac{3}{M_p} \times (c_1 + c_2) \times \frac{2}{m_o} \times c_3 - \frac{\mu}{2 \times m_o} \times \left(\frac{\sqrt{3}}{\mu} \times c_{13}\right)^2
$$
 (A17)

$$
C3 = \frac{-3}{\mu} \times (c_1 + c_2) \times \frac{3}{M_p} \times (c_1 + c_2) \times \frac{2}{m_o} \times c_3
$$

$$
+ \frac{3}{M_p} \times (c_1 + c_2) \times \frac{\mu}{2 \times m_o} \times \left(\frac{\sqrt{3}}{\mu} \times c_{13}\right)^2 \tag{A18}
$$

With these definitions the fifth force constant can be found from:

$$
c_{34} = \sqrt{\frac{C1 - (\omega_2^{\text{''}})^3 - C2 \times \omega_2^{\text{''}} - C3}{\frac{3}{\mu} \times (c_1 + c_2) - 1} \times \frac{2 \times m_o}{M_p} \times \frac{M_p^2}{4}} \quad \text{(A19)}
$$

It should be noted that the approach taken here differs from that of [Giulotto and Loinger \(1951\)](#page-12-0) who evaluated the force constant from four frequencies and an IR intensity ratio, in that here only vibrational frequencies were used to find the force constants. Once the force constants are known, the frequencies of the lattice modes (see Fig. A2) of the isotopically substituted form of  $Ca^{13}CO_3$ , can be computed as follows.

$$
\nu \mathbf{e} = \frac{\sqrt{\omega^2}}{2 \times \mathbf{c} \times \pi} \tag{A20}
$$

where

$$
\omega^2 = \frac{6}{M_p} \times c_3 \tag{A21}
$$

The frequencies  $ve_1$  and  $ve_2$  are computed from:

$$
\nu \mathbf{e}_1 = \frac{\sqrt{\omega_1^2}}{2 \times \mathbf{c} \times \pi} \tag{A22}
$$

and

$$
ve_2 = \frac{\sqrt{\omega_2^2}}{2 \times c \times \pi}
$$
 (A23)

where:

$$
\omega_1^2 = \frac{\frac{2}{m_o} \times c_3 + \frac{3}{M} \times (c_1 + c_2)}{2} + \frac{\sqrt{\left[\frac{2}{m_o} \times c_3 - \frac{3}{M} \times (c_1 + c_2)\right]^2 + 4} \times \left(\frac{\sqrt{3}}{2 \times m_o} \times c_{13}\right) \times \left(\frac{\sqrt{3}}{M} \times c_{13}\right)}{2}
$$
(A24)

$$
\omega_2^2 = \frac{\frac{2}{m_o} \times c_3 + \frac{3}{M} \times (c_1 + c_2)}{2} - \frac{\sqrt{\left[\frac{2}{m_o} \times c_3 - \frac{3}{M} \times (c_1 + c_2)\right]^2 + 4} \times \left(\frac{\sqrt{3}}{2 \times m_o} \times c_{13}\right) \times \left(\frac{\sqrt{3}}{M} \times c_{13}\right)}{2}
$$
(A25)

The frequencies  $ve_1$ ' and  $ve_2$ ' are found from:

and 
$$
\nu \mathbf{e}_1' = \frac{\sqrt{\omega_1^2}}{2 \times \mathbf{c} \times \pi}
$$
 (A26)  

$$
\nu \mathbf{e}_2' = \frac{\sqrt{\omega_2^2}}{2 \times \mathbf{c} \times \pi}
$$
 (A27)

where

and

$$
\omega'_{1}^{2} = \frac{\frac{6}{\mu} \times c_{3} + \frac{2}{m_{o}} \times c_{1}}{2}
$$
  
+ 
$$
\frac{\sqrt{\left(\frac{6}{\mu} \times c_{3} - \frac{2}{m_{o}} \times c_{1}\right)^{2} + 4 \times \left(\frac{3}{\mu} \times c_{13}\right) \times \left(\frac{1}{m_{o}} \times c_{13}\right)}}{2}
$$
(A28)

$$
\omega_2^2 = \frac{\frac{6}{\mu} \times c_3 + \frac{2}{m_o} \times c_1}{2}
$$

$$
-\frac{\sqrt{\left(\frac{6}{\mu} \times c_3 - \frac{2}{m_o} \times c_1\right)^2 + 4 \times \left(\frac{3}{\mu} \times c_{13}\right) \times \left(\frac{1}{m_o} \times c_{13}\right)}}{2}
$$
(A29)

The frequencies  $ve_1$ ",  $ve_2$ ", and  $ve_3$ " are obtained as the three roots,  $x_1$ ,  $x_2$ ,  $x_3$ , of the cubic equation:

$$
a_3 \times x^3 + a_2 \times x^2 + a_1 \times x + a_0 = 0 \tag{A30}
$$

$$
\nu \mathbf{e}_{1}'' = \frac{\sqrt{\mathbf{x}_{1}}}{2 \times \mathbf{c} \times \pi}
$$
 (A31)

$$
\nu \mathbf{e''}_2 = \frac{\sqrt{\mathbf{x}_2}}{2 \times \mathbf{c} \times \pi}
$$
 (A32)

$$
\nu \mathbf{e''}_3 = \frac{\sqrt{\mathbf{x}_3}}{2 \times \mathbf{c} \times \boldsymbol{\pi}} \tag{A33}
$$

The constants  $a_0$ ,  $a_1$ ,  $a_2$ ,  $a_3$  are defined as follows:

$$
a_0 = -\frac{3}{\mu} \times (c_1 + c_2) \times \frac{3}{M_p} \times (c_1 + c_2) \times \frac{2}{m_o} \times c_3
$$
  
+ 
$$
\frac{3}{\mu} \times (c_1 + c_2) \times \frac{M_p}{2 \times m_o} \times \left(\frac{2}{M_p} \times c_{34}\right)^2
$$
  
+ 
$$
\frac{3}{M_p} \times (c_1 + c_2) \times \frac{\mu}{2 \times m_o} \times \left(\frac{\sqrt{3}}{\mu} \times c_{13}\right)^2 \quad (A34)
$$
  

$$
a_1 = \frac{3}{\mu} \times (c_1 + c_2) \times \frac{3}{\mu} \times (c_1 + c_2) + \frac{3}{\mu} \times (c_1 + c_2) \times \frac{2}{\mu} \times c_3
$$

$$
-\mu \times (c_1 + c_2) \times M_p \times (c_1 + c_2) + \mu \times (c_1 + c_2) \times m_o \times c_3
$$
  
+  $\frac{3}{M_p} \times (c_1 + c_2) \times \frac{2}{m_o} \times c_3 - \frac{\mu}{2 \times m_o} \times \left(\frac{\sqrt{3}}{\mu} \times c_{13}\right)^2$   
-  $\frac{M_p}{2 \times m_o} \times \left(\frac{2}{M_p} \times c_{34}\right)^2$  (A35)

$$
a_2 = -\left[\frac{3}{\mu} \times (c_1 + c_2) + \frac{3}{M_p} \times (c_1 + c_2) + \frac{2}{m_o} \times c_3\right]
$$
 (A36)  

$$
a_3 = 1
$$
 (A37)

$$
= 1 \tag{A37}
$$

The remaining two frequencies,  $ve''_1$  and  $ve''_2$  can be computed from:

$$
\nu e''_1 = \frac{\sqrt{\omega''_1}^2}{2 \times c \times \pi}
$$
 (A38)

$$
\nu e''_2 = \frac{\sqrt{\omega''_2}^2}{2 \times c \times \pi}
$$
 (A39)

where:

$$
\omega_{1}^{m}{}_{1}^{2} = \frac{\frac{6}{M} \times c_{3} + \frac{2}{m_{o}} \times c_{1}}{2} + \frac{\sqrt{\left(\frac{6}{M} \times c_{3} - \frac{2}{m_{o}} \times c_{1}\right)^{2} + 4 \times \frac{3}{M} \times c_{13} \times \frac{1}{m_{o}} \times c_{13}}}{2}
$$
(A40)

and

$$
\omega_{1}^{m}{}_{1}^{2} = \frac{\frac{6}{M} \times c_{3} + \frac{2}{m_{o}} \times c_{1}}{2}
$$

$$
-\frac{\sqrt{\left(\frac{6}{M} \times c_{3} - \frac{2}{m_{o}} \times c_{1}\right)^{2} + 4 \times \frac{3}{M} \times c_{13} \times \frac{1}{m_{o}} \times c_{13}}}{2}
$$
(A41)

#### **A2. Estimation of Debye Temperature from Heat Capacity Data**

In the computation of the reduced partition function a Debye term is used to account for the isotope effect of those modes that are not represented by specific frequencies. This requires knowledge of a Debye temperature which can be determined from heat capacity data. The heat capacity is made up of contributions from the internal modes plus lattice and acoustic modes of vibration. The contributions from the internal and external modes (when treated explicitly) are computed assuming Einstein oscillators:

$$
C_E = \sum_{i=1}^{i=n} \frac{3 \times N \times k \times \left(\frac{\theta_{E_i}}{T}\right)^2 \times e^{\frac{\theta_{E_i}}{T}}}{(e^{\frac{\theta_{E_i}}{T}}-1)^2}
$$
(A42)

where

 $h =$  Planck's constant

 $k = Boltzmann's constant$  $N = Avogadro's number$ 

 $T =$  absolute temperature

$$
\theta_{E_i} = \frac{h \times v_i}{k}
$$

 $n =$  the number of frequencies, considering each j times degenerate frequency j times

 $v_i$  = the *i*<sup>th</sup> frequency

A unit cell of  $CaCO<sub>3</sub>$  of 10 atoms is considered which leads to 30 degrees of freedom 12 of them are taken up by the internal modes which leaves 18 to be assigned to lattice and acoustic modes. Ten lattice modes are computed using the method of [Giulotto and Loinger](#page-12-0) [\(1951\),](#page-12-0) 5 of them are doubly degenerate, (i.e.,  $n = 27$ ). This leaves 3 acoustic modes which are approximated by a Debye oscillator as follows:

$$
C_D=9\times N\times k\times \left(\frac{T}{\theta_D}\right)^3\times \int_0^{\frac{\theta_D}{T}}\frac{x^4\times e^x}{(e^x-1)^2}dx \qquad \quad \text{(A43)}
$$

where  $\theta_{\rm D}$  is the Debye temperature. The sum of the two contributions to the heat capacity is computed from:

$$
C_v = C_E + \frac{30 - n}{30} \times C_D
$$
 (A44)

In those instances in which only the internal frequencies were considered, the lattice modes and acoustic modes were lumped in the Debye term; in this case n = 12. Assuming a value for  $\theta_{D}$ , the heat capacity was computed according to Eqn. A44 and for temperatures below 298 K the sum of the mean squared deviation between the computed and observed heat capacity was determined. By trial and error  $\theta_{\rm D}$  was chosen to minimize this sum.

#### **A3. Computation of the Reduced Partition Functions**

The reduced partition function is calculated for a unit cell containing two molecules of  $CaCO<sub>3</sub>$  (30 degrees of freedom). There are 10 optical and librational modes, five of which are doubly degenerate (15 modes), and two acoustic modes one of which is degenerate (3 modes) which leads to 18 external modes. There are 4 internal modes two of which are doubly degenerate, leading to 6 internal modes per carbonate group and since there are two carbonate groups 12 internal modes are present. Two atoms are exchanged. The frequencies of the isotopically substituted unit cell the and isotope shifts were computed using the model of [Heath and Linnett \(1948\)](#page-13-0) when only the internal modes were considered explicitly, in addition, the [Giulotto and Loinger \(1951\)](#page-12-0) model was used when both internal and external modes were treated explicitly. The observed frequencies were used to compute the portion of the heat capacity attributable to them. The remainder of the heat capacity was fitted up to 298 K by choosing a Debye contribution for 3 acoustic frequencies and selecting a Debye temperature, by trial and error, such that the best fit up to  $T = 298$  K was obtained.

The reduced partition function is thus made up of three components and was computed (see [Becker, 1971\)](#page-12-0) from:

$$
\ln (\beta) = 2 \times \sum_{i=1}^{6} \left\{ \left( \frac{h \times c}{k \times T} \right) \times \frac{1}{2} \times (\nu i l_i - \nu i h_i) + \ln \left( \frac{1 - e^{\frac{-h \times c}{k \times T} \times \nu i l_i}}{1 - e^{\frac{-h \times c}{k \times T} \times \nu i h_i}} \right) \right\} + \sum_{i=1}^{15} \left\{ \left( \frac{h \times c}{k \times T} \right) \times \frac{1}{2} \right\}
$$

Table A4. Summary of wave numbers  $(cm<sup>-1</sup>)$  of internal modes used in previous studies.

<span id="page-18-0"></span>

	Chacko et al. (1991)	Golyshev et al. (1981)	<b>Bottinga</b> (1968)	Urey and Greiff (1935)	Urey (1947)	McCrea (1950)
$\nu_1$	1070	1070	1070	1087	1087	1086
$\nu_2$	881	855	881	878	878	879
$\nu_{3}$	1460	1460	1460	1437	1437	1434
$\nu_4$	712	712	712	712	714	712

$$
\times (\nu el_i - \nu eh_i) + \ln \left( \frac{1 - e^{\frac{-h \times c}{k \times T} \times \nu eh_i}}{1 - e^{\frac{-h \times c}{T}}}\right)
$$
  
+ 
$$
3 \times \ln \left( \frac{1 - e^{\frac{-\theta l}{T}}}{1 - e^{\frac{-\theta h}{T}}} \right) + \frac{3}{2} \times \frac{\theta l - \theta h}{T}
$$
  
- 
$$
\frac{1}{20} \times \frac{\theta l^2 - \theta h^2}{T^2} + \frac{3 \times 2}{2} \times \ln \left( \frac{m_{12}}{m_{13}} \right) \tag{A.45}
$$

where

 $vil$  = are the internal vibrational modes of the <sup>12</sup>C substituted unit cell

 $v$ ih = are the internal vibrational modes of the <sup>13</sup>C substituted unit cell

 $vel =$  are the external vibrational modes of the <sup>12</sup>C substituted unit cell

 $\nu$ eh = are the external vibrational modes of the <sup>13</sup>C substituted unit cell

 $\theta$  = the Debye temperature evaluated from fitting the low temperature heat capacity data to the heat capacity model

 $\theta$ h = IE\* $\theta$ l, where IE = isotope effect evaluated by forcing the fractionation to be zero at infinite temperatures

 $m_{12}$  = mass of the <sup>12</sup>C atom

 $m_{13}$  = mass of the <sup>13</sup>C atom

The first term represents the summation over the internal modes of the two carbonate groups, the second term the summation over the external mode, the third term the modes represented by the Debye term (one such term for every three modes) and the last the partition function ratio for the monatomic C gas. Two carbon atoms are exchanged. To find the reduced partition function for the single C atom exchange the value for ln  $(\beta)$  computed from the equation above is divide by two.

When only one carbonate molecule and only the internal modes (6) are considered and all other modes (9) are lumped in to the Debye term the expression simplifies to:

$$
\ln (\beta) = \sum_{i=1}^{6} \left\{ \left( \frac{h \times c}{k \times T} \right) \times \frac{1}{2} \times (i\eta_{i} - i\eta_{i}) + \ln \left( \frac{1 - e^{\frac{-h \times c}{k \times T} \times i\eta_{i}}}{1 - e^{\frac{-h \times c}{k \times T} \times i\eta_{i}}} \right) \right\}
$$
  
+  $3 \times 3 \times \ln \left( \frac{1 - e^{\frac{-\theta_{1}}{T}}}{1 - e^{\frac{-\theta_{1}}{T}}} \right) + \frac{3 \times 3}{2} \times \frac{\theta_{1} - \theta_{1}}{T} - \frac{3}{20}$   
 $\times \frac{\theta_{1}^{2} - \theta_{1}^{2}}{T^{2}} + \frac{3}{2} \times \ln \left( \frac{m_{12}}{m_{13}} \right) \quad (A46)$ 

### **A4. Examination of Vibrational Frequencies and Their Isotope Shift Used in Earlier Studies**

Several of the earlier computations of reduced partition function for calcite have considered only the internal modes [\(Urey and Greiff, 1935;](#page-13-0) [Urey, 1947; McCrea, 1950; Chacko et al., 1991\)](#page-13-0), while [Bottinga \(1968\)](#page-12-0) and [Golyshev et al. \(1981\)](#page-12-0) have included also external modes. The frequencies used in the earlier publications are summarized in Table

A4, and it is apparent, in particular in the case of  $\nu_3$ , that there is a significant range in the wave numbers that have been selected.

An overview of the published frequencies is provided in Figure A3. A significant range of values has been reported for individual frequencies. The values used in the previous computations of [Bottinga \(1968\), Golyshev et al. \(1981\)](#page-12-0) and [Chacko et al. \(1991\)](#page-12-0) have been indicated and it is evident that for  $\nu_1$ ,  $\nu_2$ , and  $\nu_3$  they lie at the extremes of the distribution of wave numbers that have been determined.

Because the value of the assumed frequencies can have a significant effect on the computed reduced partition function, the sensitivity of the computations to the choice of wave number was investigated. The effect of different assumptions about the  $\nu s$  was tested as follows. The computations of 1000 ln K by [Chacko et al. \(1991\)](#page-12-0) could be duplicated to within less than 0.1 with the algorithm developed for present work, using the frequencies and frequency shifts cited by [Chacko et al. \(1991\)](#page-12-0) assuming a Debye temperature of 409 K and an isotope effect of 0.997283 for it. [Chacko et al. \(1991\)](#page-12-0) do not state the Debye temperature value; however, a temperature of 400 K for calcite as well as aragonite was quoted by [Rubinson and Clayton \(1969\)](#page-13-0) and it is likely that [Chacko et al. \(1991\)](#page-12-0) used this value. Keeping all other inputs identical one frequency was changed at a time and the change in 1000 ln  $\beta$  at 300 K was noted; with increasing temperature these changes decline.

The frequency  $\nu_1$  has no effect on the computed reduced partition function. The effect of the choice of  $v_2$  is demonstrated in Figure A4. Using the frequency which has been reported most frequently, rather than that chosen by [Chacko et al. \(1991\)](#page-12-0) and [Bottinga \(1968\),](#page-12-0) lowers the reduced partition function computed by [Chacko et al. \(1991\)](#page-12-0) by about 0.3‰.

The  $\nu_3$  values, used by [Bottinga \(1968\)](#page-12-0) and [Chacko et al. \(1991\),](#page-12-0) are quoted as having been taken from the work of [Schroeder et al. \(1962\).](#page-13-0) Because the value for  $\nu_3$  used in these computations differs significantly from the value used by [Urey \(1947\)](#page-13-0) and [McCrea \(1950\)](#page-13-0) (see Table A4), and the range of values reported in the review of IR calcite spectra by [Gadsden \(1975\)](#page-12-0) (1435 to  $1410 \text{ cm}^{-1}$ ), the original publication of [Schroeder et al. \(1962\)](#page-13-0) was consulted. [Table 5](#page-11-0) of this publication lists frequencies for  $v_1$  (1070 cm<sup>-1</sup>),  $v_2$  (881 cm<sup>-1</sup>),  $v_4$  (712 cm<sup>-1</sup>)



Fig. A3. Compilation of data for internal vibrational modes of calcite, for sources of data see [Table 1.](#page-3-0)

<span id="page-19-0"></span>![](_page_19_Figure_1.jpeg)

Fig. A4. Effect of the choice of vibrational frequencies  $v_2$  and  $v_3$  on the size of the reduced partition function of calcite at 300 K.

but none for  $\nu_3$ . The authors comment on the determination of  $\nu_3$  as follows " $\nu_3$  is always observed but with such great intensity that it is not possible to determine whether it is a single or multiple band or even to locate its position with reliability." This explains the absence of a

value for this frequency in [table 5](#page-11-0) of [Schroeder et al. \(1962\).](#page-13-0) The source of the specific value for  $\nu_3$  used in the computations by [Bottinga](#page-12-0) [\(1968\)](#page-12-0) and [Chacko et al. \(1991\)](#page-12-0) is hence unclear. There is only one measurement of  $\nu_3$  (1467 cm<sup>-1</sup>), reported in the publications examined in conjunction with this work [\(Ross and Goldmith, 1964\)](#page-13-0) that is in the general vicinity of the value used for the earlier computations. Neither [Golyshev et al. \(1981\)](#page-12-0) nor [Chacko et al. \(1991\)](#page-12-0) comment on why they selected for this vibration a frequency number that differs considerably from those used by [Urey \(1947\)](#page-13-0) and [McCrea \(1950\)](#page-13-0) and the majority of the measured values. [Bottinga \(1968\),](#page-12-0) who considered frequency measurements from several authors, comments, concerning the selection of frequencies, "Calculations were carried out using both the set quoted by [Hexter \(1958\)](#page-13-0) ( $v_3 = 1432$ ) and that by Schroeder et al. ( $v_3$ )  $= 1460$ ). The latter set gave slightly better results (i.e., match to the low temperature experimental work), and the calculations reported in this paper have been done with these frequencies." [Bottinga \(1968\)](#page-12-0) does not state what his understanding (‰ difference) of "slight" is. As it turns out the assumption made about  $\nu_3$  has a very significant effect on the outcome of the computation of the reduced partition function of calcite (see also [Rubinson and Clayton, 1969\)](#page-13-0).

Figure A4 also demonstrates how 1000 ln  $\beta$  (300 K) changes with varying assumptions about  $\nu_3$ , and indicates that at ambient temperatures the reduced partition function for calcite is probably by several permil lower than the earlier computations indicate. The computations of [Bottinga \(1968\)](#page-12-0) were duplicated. Keeping all parameters constant, except changing  $v_3$  to the value assumed by Urey, this reduces 1000 ln K by about 4‰ at 300 K. The same result was obtained when the computations of [Golyshev et al. \(1981\)](#page-12-0) and [Chacko et al. \(1991\)](#page-12-0) were duplicated and this change in  $\nu_3$  was made.

The range of wave numbers reported for frequency  $\nu_4$  is much more restricted and the value used in the earlier computations falls within one wave number of the most frequently cited value.

The choice of values for  $\nu_2$  and  $\nu_3$  at the extremes of the observed frequency distributions leads to values of 1000 ln  $\beta$  for calcite that are systematically higher compared to results that are obtained when values more central to the  $\nu$  distributions are used. Combining the effects of  $\nu$ , and  $\nu_3$ , the differences at 300 K can be expected to be on the order of 4‰ or larger.

The computations of the shifts in vibrational frequency which occur when  ${}^{13}C$  is substituted for  ${}^{12}C$  in the mineral lattice require a model for the vibrations. The frequency shifts for the internal modes used by different authors vary significantly (up to 8‰) depending on the frequency (see [Table A2\)](#page-14-0). Computations on the isotope fractionation behavior of the carbonate were first reported by [Urey and Greiff \(1935\).](#page-13-0) The details of the computation of the frequency shifts were not given. The authors state "Fundamental frequencies  ${}^{12}CO_3$  estimated for us by Dr. Jennie Rosenthal. Frequencies of isotopic  $^{13}CO_3$  calculated in

White (1974)		Guilotto and Loinger (1951)			Bottinga (1968)	This work		
Notation		Wave number $(cm^{-1})$			Wave number	Frequency	Wave number	Frequency
	Notation	Observed	Computed	Deg.	$(cm^{-1})$	shift	$(cm^{-1})$	shift
v <sub>5</sub>	v	295			294.6	1.0000	294	
v6	v2'	106	106		106.1	1.0000	106	0.999695
v7	v1'	357	397		397.2	0.9970	397	0.997080
v8	v1''	330	344	$\overline{2}$	345.3	0.9988	342	0.998946
v9	v3''	182	260	$\overline{2}$	256.5	0.9984	259	0.998034
v10	v2''	106	106	$\mathcal{L}$	110.9	0.9973	118	0.999721
v11	v1'''		269		269.1	0.9937	269	0.993715
v12	v2'''		99		99.1	0.9980	99	0.998014
v13	v1	282	282	$\mathbf{\Omega}$ ∠	228.2	0.9989	282	0.998903
v14	v2		155	$\overline{2}$	155.1	0.9929	155	0.992831

Table A5. Summary of wave numbers of external modes for calcite used in previous studies and this work.<sup>a</sup>

<sup>a</sup> Deg. = Degeneracy. For the computations of the four force constants the wave numbers v1, v2, v1', v2', v2'' were assumed, the remainder of the frequencies were computed based on the model of [Giulotto and Loinger \(1951\).](#page-12-0)

accordance with relationships she has derived." The shifts used by [Urey](#page-13-0) [and Greiff \(1935\)](#page-13-0) are given in [Table A2.](#page-14-0) The results of new isotope effect computations were reported by [Urey \(1947\)](#page-13-0) who, concerning the isotope shifts, refer to the paper by [Urey and Greiff \(1935\),](#page-13-0) but actually use a different set of shifts (see [Table A2\)](#page-14-0). Subsequently [McCrea](#page-13-0) [\(1950\)](#page-13-0) carried out further computations using the frequencies cited in [Table A4](#page-18-0) and frequency shifts given in [Table A2,](#page-14-0) which agree, in part, with those of [Urey \(1947\).](#page-13-0) McCrea does not give the details of his frequency shift computations. More recent computations have been based on the vibrational models for the carbonate group by [Heath and](#page-13-0) [Linnett \(1948\).](#page-13-0) [Herzberg \(1945\)](#page-13-0) had reported earlier relationships between the internal vibrational frequencies of planar  $XY_3$  molecules and their force constants, which permit the computation of frequency shifts. For the internal frequencies of calcite, used in this study, results based on the equations of [Herzberg \(1945\)](#page-13-0) and those of [Heath and](#page-13-0) [Linnett \(1948\)](#page-13-0) were compared. The difference between the frequency shifts computed by the two approached was less than 0.13‰. The equations of [Heath and Linnett \(1948\)](#page-13-0) were used throughout the present computations.

The frequency shifts of [Chacko et al. \(1991\)](#page-12-0) are slightly different from those used by [Golyshev et al. \(1981\)](#page-12-0) and [Bottinga \(1968\).](#page-12-0) [Chacko](#page-12-0) [et al. \(1991\)](#page-12-0) note that although the same equations were used by them as by [Bottinga \(1968\)](#page-12-0) a slight, but significant, difference is found. No explanation for the cause of the difference was offered. The frequency shift factors computed by the algorithm used in this work for the frequencies assumed by [Chacko et al. \(1991\), Golyshev et al. \(1981\)](#page-12-0) and [Bottinga \(1968\)](#page-12-0) match those of the latter two sets of authors but not those of [Chacko et al. \(1991\).](#page-12-0) Apparently the approach to determine the frequency shifts used by [Chacko et al. \(1991\)](#page-12-0) differs from those documented in the literature.

At 300 K the value of 1000 ln  $\beta$  found by [Chacko et al. \(1991\)](#page-12-0) is reduced from 183.75 to 182.59, i.e., by 1.16‰ if the frequency shift factors of [Bottinga \(1968\), Golyshev et al. \(1981\)](#page-12-0) or those computed in this study are used. Combining the effects of frequency choice and frequency shift leads to the conclusion that at 300 K there will be a difference of over 5‰ between the results of [Chacko et al. \(1991\)](#page-12-0) and computations based on more representative IR frequencies, and the frequency shift factors that are confirmed by three independent studies.

The last parameter that has an effect on the computations is the assumed Debye temperature. The temperature assumed by [Chacko et](#page-12-0) [al. \(1991\)](#page-12-0) was not stated, however, it is probably close to 400 K, the value assumed by [Rubinson and Clayton \(1969\).](#page-13-0) In the present work the heat capacity data were fitted, after accounting for the contributions of the internal vibrations, with a Debye function. The sum of squares for the deviations of the observations form this function was then computed. The Debye temperature was chosen so that the sum of squares (ssq) was minimized. A minimum ssq = 6.4 was found for  $\theta_{\rm D}$ = 369, for a  $\theta_{\rm D}$  = 400 it was slightly larger (ssq = 11.8). The effect of changing the assumed Debye temperature from 409 to 369 was tested. At 300 K the value of 1000lnK was reduced by a further 0.4‰.

The combined effects of choice of frequencies, frequency shifts and Debye temperature will hence lead to a lowering of the partition function reported by [Chacko et al. \(1991\)](#page-12-0) by about 5.5‰ at 300 K, at higher temperatures this shift will be smaller.