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# BRYOCARB: A process-based model of thallose liverwort carbon isotope fractionation in response to $CO_2$ , $O_2$ , light and temperature

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#### Abstract

Evidence from laboratory experiments indicates that fractionation against the heavy stable isotope of carbon ( $\Delta^{13}$ C) by bryophytes (liverworts and mosses) is strongly dependent on atmospheric CO<sub>2</sub>. This physiological response may therefore provide the basis for developing a new terrestrial CO<sub>2</sub> proxy [Fletcher, B.J., Beerling, D.J., Brentnall, S.J., Royer, D.L., 2005. Fossil bryophytes as recorders of ancient CO<sub>2</sub> levels: experimental evidence and a Cretaceous case study. *Global Biogeochem. Cycles* **19**, GB3012]. Here, we establish a theoretical basis for the proxy by developing an extended model of bryophyte carbon isotope fractionation (BRYOCARB) that integrates the biochemical theory of photosynthetic CO<sub>2</sub> assimilation with controls on CO<sub>2</sub> supply by diffusion from the atmosphere. The BRYOCARB model is evaluated against measurements of the response of liverwort photosynthesis and  $\Delta^{13}$ C to variations in atmospheric O<sub>2</sub>, temperature and irradiance at different CO<sub>2</sub> concentrations. We show that the bryophyte proxy is at least as sensitive to variations in atmosphere CO<sub>2</sub> as the two other leading carbon isotope-based approaches to estimating palaeo-CO<sub>2</sub> levels ( $\delta^{13}$ C of phytoplankton and of paleosols). Mathematical inversion of BRYOCARB provides a mechanistic means of estimating atmospheric CO<sub>2</sub> levels from fossil bryophyte carbon that can explicitly account for the effects of past differences in O<sub>2</sub> and climate. © 2006 Elsevier Inc. All rights reserved.

#### 1. Introduction

The major end-products of mathematical models of the long-term carbon cycle, such as those pioneered by Berner (e.g., Berner, 1991, 1994; Berner and Kothavala, 2001), are Phanerozoic histories of a primary greenhouse gas, atmospheric CO<sub>2</sub> (Tajika, 1998; Wallmann, 2001; Kashiwagi and Shikazono, 2003; Berner, 2004; Bergman et al., 2004). These histories allow serious investigation of the link between CO<sub>2</sub> and climate change on geologic timescales. Critically, this endeavour also requires independent means of gauging levels of the gas in the ancient atmosphere. The four main pre-Quaternary proxies for this purpose are: the stable carbon isotope composition ( $\delta^{13}$ C, %) of pedogenic minerals (Cerling, 1991; Yapp and Poths, 1992), the  $\delta^{13}$ C

of phytoplankton (Freeman and Hayes, 1992; Pagani et al., 1999; Laws et al., 2002), the vascular plant stomatal index (Van der Burgh et al., 1993; McElwain and Chaloner, 1995; Royer et al., 2001b; Beerling and Royer, 2002), and the  $\delta^{11}$ B of fossilized calcium carbonate shells of planktonic foraminifera (Pearson and Palmer, 2000). Significant uncertainties are associated with estimates of past CO<sub>2</sub> concentrations using each of these (Royer et al., 2001a), indicating the continuing need to search for alternative methods of assaying the chemical composition of the ancient atmosphere.

Recent laboratory experiments have offered the basis for a new terrestrial CO<sub>2</sub> proxy by showing isotopic discrimination against the rarer of the two stable isotopes of carbon (termed  $\Delta^{13}$ C, in %) in bryophytes (liverworts and mosses) is strongly dependent upon the growth CO<sub>2</sub> concentration (Fletcher et al., 2005) (Fig. 1). Plant  $\Delta^{13}$ C is predominantly a function of the ratio of internal to external

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Fig. 1. The response of stable carbon isotope discrimination  $(\Delta^{13}C)$  in two liverwort species grown at different concentrations of atmospheric CO<sub>2</sub> in controlled environments. Values are means  $\pm$  standard error. Data from Fletcher et al. (2005).

 $CO_2$  concentration ( $C_i/C_a$ ), averaged over the lifetime of the tissue (Farquhar et al., 1989).  $\Delta^{13}$ C is therefore controlled by  $C_a$  (ppm), the kinetics of Rubisco (the primary carboxylating enzyme, ribulose-1,5-bisphosphate carboxylase/oxygenase), and the resistance to diffusion of  $CO_2$  into the photosynthetic tissues. The strong dependency of bryophyte  $\Delta^{13}$ C on CO<sub>2</sub> occurs because, unlike vascular plants, bryophytes lack stomata to regulate their resistance to inward CO<sub>2</sub> diffusion. As a result, the intercellular CO<sub>2</sub> concentration ( $C_i$ , ppm) is maintained at a concentration below  $C_a$  to an extent that is proportional to the rate of photosynthesis, a feature itself largely controlled by  $C_a$ (alongside other environmental factors).  $C_a$  can therefore be determined for a given  $\Delta^{13}$ C if the responses of photosynthesis (A) and resistance (r) to  $CO_2$  are known, assuming other environmental effects can be factored out (White et al., 1994; Figge and White, 1995). In effect, isotopic fractionation in astomatous liverworts and mosses can be regarded as the terrestrial equivalent of marine phytoplankton without carbon-concentrating mechanisms (CCMs) whose  $\Delta^{13}$ C is primarily controlled by the rate of uptake of CO<sub>2</sub> dissolved in seawater (Laws et al., 1995; Popp et al., 1998).

We originally investigated the utility of the proxy by developing and calibrating a model describing the dependency of bryophyte isotopic fractionation on atmospheric  $CO_2$  (Fletcher et al., 2005). In that isotopic model, we combined the responses of photosynthesis and resistance to  $CO_2$  into a single 'lumped' term,  $A \cdot r$ , that varied empirically with  $CO_2$  to influence  $C_i/C_a$ . However, a generalized mechanistic theory for the proxy is required to accurately assess how environmental factors interact with  $CO_2$ , and each other, to control the photosynthetic demand for  $CO_2$ , and the resistance to inward  $CO_2$  diffusion.

Here, therefore, we describe an extended mechanistic model of carbon isotope fractionation in liverworts (BRYOCARB, BRYOphyte CARBon isotope model) designed to achieve this aim. BRYOCARB incorporates a well-validated mechanistic model of  $CO_2$  assimilation in  $C_3$  plants describing the demand for  $CO_2$  (Farquahar et al., 1980; von Caemmerer and Farquhar, 1981) into the model of  $C_3$  plant carbon isotope discrimination (Farquhar et al., 1982, 1989; White et al., 1994; Fletcher et al., 2005). The coupled isotope-photosynthesis model includes the response of r to  $CO_2$  to describe the limitation on  $CO_2$  supply to the photosynthetic tissue.

We evaluate the performance of BRYOCARB by simulating the photosynthetic and  $\Delta^{13}$ C responses of liverworts to variations in O2, irradiance and temperature at two atmospheric CO<sub>2</sub> concentrations. Simulations are evaluated against gas exchange and  $\Delta^{13}$ C measurements of liverworts over a similar range of conditions. We next undertake a series of sensitivity analyses designed to (i) assess the likely impact of variations in O2, irradiance and temperature on the CO<sub>2</sub> dependency of  $\Delta^{13}$ C and (ii) model variations in liverwort  $\Delta^{13}$ C over the Phanerozoic by driving BRYOCARB with predicted changes in O<sub>2</sub> (Berner, 2001), CO<sub>2</sub> (Berner and Kothavala, 2001), and greenhouse forcing of climate. Finally, we analyze the utility of fossil liverwort  $\Delta^{13}$ C for reconstructing ancient  $CO_2$  levels, by comparing its sensitivity to  $CO_2$  with that of the CO<sub>2</sub> proxies based on the  $\delta^{13}$ C of paleosol (Cerling, 1991; Yapp and Poths, 1992) and phytoplankton (Freeman and Hayes, 1992; Pagani et al., 1999; Laws et al., 2002) fossil carbon.

### 2. The BRYOCARB model

#### 2.1. Background

The well-established model for the isotopic composition for plants with the  $C_3$  photosynthetic pathway is defined as (Farquhar et al., 1982) (see Appendix A for notation and values not described in the text):

$$\delta^{13}C_p = \delta^{13}C_a - a - (b-a)\left(\frac{C_i}{C_a}\right) + \frac{\left(f\Gamma_* + \frac{er_d(C_i - \Gamma_*)}{A + r_d}\right)}{C_a},$$
(1)

where *a* and *b* are the fractionations associated with diffusion and carboxylation by Rubisco, respectively. The last term on the right-hand side of Eq. (1) exerts a minor influence on the difference between  $\delta^{13}C_p$  (plant stable carbon isotope composition,  $%_{00}$ ) and  $\delta^{13}C_a$  (air stable carbon isotope composition,  $\%_{00}$ ) which, with *a* and *b* taken as constants, means that  $C_i/C_a$  dominates. Bryophytes lack the stomata and thick cuticles of vascular plants and are unable to regulate CO<sub>2</sub> uptake and H<sub>2</sub>O loss. As a result,  $C_i/C_a$  is controlled by simple diffusion (Proctor, 1982) and depends on (i) the atmospheric CO<sub>2</sub> concentration ( $C_a$ ), and (ii) the difference between the external and ambient CO<sub>2</sub> concentrations ( $C_a - C_i$ ).  $C_a - C_i$  is a function of the rate of CO<sub>2</sub> consumption by photosynthesis

(*A*,  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) and the total resistance to inward CO<sub>2</sub> diffusion (*r*, m<sup>2</sup> s mol<sup>-1</sup>), as described by:

$$C_a - C_i = A \cdot r. \tag{2}$$

Re-arranging Eq. (2) for  $C_i$  and substituting into Eq. (1) gives:

$$\delta^{13}C_{p} = \delta^{13}C_{a} - a - (b - a)\left(1 - \frac{r \cdot A}{C_{a}}\right) + \frac{f \cdot \Gamma_{*} + e \cdot \frac{r_{d}}{\left(\frac{A + r_{d}}{C_{a} - r \cdot A - 1_{*}}\right)}}{C_{a}},$$
(3)

which provides a reasonably complete description of the main physical and biological factors influencing bryophyte  $\delta^{13}$ C. Eq. (3) has been calibrated against experimental observations of *rA* and inverted to predict  $C_a$  from any given pair of bryophyte  $\delta^{13}$ C and  $\delta^{13}$ C<sub>a</sub> values (Fletcher et al., 2005). Discrimination ( $\Delta^{13}$ C) is then calculated from  $\delta^{13}$ C<sub>a</sub> and  $\delta^{13}$ C<sub>p</sub> as:

$$\Delta^{13}C = \frac{\delta^{13}C_a - \delta^{13}C_p}{1 + \delta^{13}C_p/1000}.$$
(4)

### 2.2. Modelling liverwort photosynthetic CO<sub>2</sub> demand

A generalized process-based model of bryophyte  $\delta^{13}$ C with the capacity to account for the effect of other environmental parameters (irradiance, temperature and atmospheric O<sub>2</sub> and CO<sub>2</sub> concentrations) requires a mechanistic description of photosynthetic CO<sub>2</sub> uptake. We utilized a biochemical model of C<sub>3</sub> photosynthesis (Farquahar et al., 1980) in which net photosynthetic rate (*A*) is defined as the minimum of either two alternative rates  $A_c$  or  $A_j$ . When the rate of photosynthesis is primarily controlled by carboxylation by Rubisco,  $A_c$ , the rate is defined as:

$$A_c = \frac{\gamma V_m C_i}{C_i + k_c (1 + o_i/k_o)} - r_d, \tag{5}$$

where  $V_m$  is the maximum rate of carboxylation by Rubisco (µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>),  $k_c$  and  $k_o$  are the Michaelis coefficients for carboxylation and oxygenation of Rubisco respectively (dimensionless),  $r_d$  is the rate of respiration in the light attributed to processes other than photorespiration (µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>), and  $o_i$  is the oxygen concentration (ppm).

The rate of photosynthesis dependent upon the lightlimited rate of ribulose bisphosphate (RuBP) regeneration,  $A_i$ , depends on the rate of electron transport, J (µmol electrons m<sup>-2</sup> s<sup>-1</sup>), as:

$$A_i = \frac{\gamma J C_i}{4(C_i + o_i/\tau)} - r_d, \tag{6}$$

where  $\tau$  is the specificity factor of Rubisco for CO<sub>2</sub> relative to O<sub>2</sub>, and J is dependent upon irradiance, q (µmol PAR m<sup>-2</sup> s<sup>-1</sup>), and the rate of light-saturated electron transport,  $J_m$  (µmol electrons m<sup>-2</sup> s<sup>-1</sup>), as:

$$J = \frac{\alpha q J_m}{\sqrt{\alpha^2 q^2 + J_m^2}},\tag{7}$$

where  $\alpha$  is the efficiency of light conversion (0.18 mol electrons/mol photons) (Harley et al., 1992). Values of  $k_o$  and  $k_c$  have the general temperature dependences given by Harley et al. (1992). The temperature dependencies of  $V_m$  and  $J_m$  below 25 °C are given by Long (1991) and above 25 °C by Beerling and Woodward (2001). If  $V_{\rm cmax}$  and  $J_{\rm max}$  (µmol m<sup>-2</sup> s<sup>-1</sup>) are the values of  $V_m$  and  $J_m$  at 25 °C, then  $V_{\rm cmax}$  is a parameter to be determined by experiment, and  $J_{\rm max} = 1.64V_{\rm cmax} + 29.1$  (Wullschleger, 1993) (µmol m<sup>-2</sup> s<sup>-1</sup>).

### 2.3. Modelling $C_i$ and liverwort $CO_2$ supply as functions of $C_a$

Obtaining  $\delta^{13}C_p$  as a function of  $C_a$  (Eq. (1)) requires  $C_i$ as a function of  $C_a$  which is given by rearrangement of Eq. (2). Eq. (2) models the flux of CO<sub>2</sub> from the atmosphere into the thallus by analogy with Ohm's Law. The difference  $C_a - C_i$  between the external and internal CO<sub>2</sub> partial pressures plays the role of a potential difference driving the flow, with the photosynthesis rate A being a CO<sub>2</sub> sink term representing the 'current' or rate of gas flow into the thallus. The constant of proportionality between these two is the resistance r.

A as a function of  $C_i$  is given by Eqs. (5) (for Rubiscolimitation) and (6)–(7) (for light-limitation). On substituting these functions into (2) and rearranging, we find that  $C_i$  satisfies the quadratic equation

$$C_{i}^{2} + \left(rV_{m} - [rr_{d} + C_{a}] + k_{c}1 + \frac{o_{i}}{k_{o}}\right)C_{i} - \left(\frac{ro_{i}V_{m}}{2\tau} + [rr_{d} + C_{a}]k_{c}1 + \frac{o_{i}}{k_{o}}\right) = 0,$$
(8)

for the Rubisco-limited case, or

$$4C_i^2 + \left(rJ - 4[rr_d + C_a] + \frac{4o_i}{\tau}\right)C_i - \left(\frac{ro_iJ}{2\tau} + [rr_d + C_a]\frac{4o_i}{\tau}\right) = 0,$$
(9)

for the light-limited rate of electron transport case. The positive roots of these equations are the candidates for  $C_i$ , namely:

$$C_i = \frac{-w + \sqrt{w^2 - 4x}}{2},$$
(10)

where:

$$w = rV_m - (rr_d + C_a) + k_c \left(1 + \frac{o_i}{k_o}\right),$$
(11)

and:

$$x = -\left(\frac{ro_i V_m}{2\tau} + (rr_d + C_a)k_c \left(1 + \frac{o_i}{k_o}\right)\right),\tag{12}$$

for the Rubisco-limited case, or

$$C_i = \frac{-y + \sqrt{y^2 - 16z}}{8},\tag{13}$$

where:

$$y = rJ - 4(rr_d + C_a) + \frac{4o_i}{\tau},$$
 (14)

and

$$z = -\left(\frac{ro_i J}{2\tau} + (rr_d + C_a)\frac{4o_i}{\tau}\right),\tag{15}$$

for the light-limited rate of electron transport case, the final value of  $C_i$  chosen being the one that gives the smallest photosynthesis rate when substituted back into Eq. (5) or (6) (for the solution of Eq. (10) or (13), respectively).

It remains to specify the resistance r. For this we use the following linear function of  $C_a$ :

$$r = 0.013C_a + 27. (16)$$

Eq. (16) (Fig. 2 upper panel) was obtained by iteration, as follows. A first guess at the slope and offset were used to fit the physiological parameters  $V_{\rm cmax}$  and  $r_d$  as per Section 2.4 below. A set of measurements of rA, at different values of  $C_a$ , independent of the photosynthesis measurements used to fit  $V_{\rm cmax}$  and  $r_d$  (Fletcher et al., 2005) provide a crosscheck on Eq. (16) (Fig. 2 middle panel). Eqs. (5)–(7) were used, via Eqs. (8) and (9), to calculate values of A at the  $C_a$  values in question, and the results divided into the measured rA values to give 'measured' values of the resistance r, which compared favourably ( $R^2 = 92.5\%$ , n = 18, P < 0.001) with the values as given by Eq. (16).

### 2.4. Method of calculation

#### 2.4.1. Photosynthesis parameters

From the  $A/C_a$  measurements (Section 3; Fig. 3), and given r as a function of  $C_a$  (Eq. (16)), the parameters describing A as a function of  $C_i$  can be fitted to the  $(A, C_a)$  data pairs because we now have  $C_i$  as a function of  $C_a$  (Eqs. (8) and (9)). We included the constraint  $r_d \ge V_{\text{cmax}}/100$  as  $r_d$  is approximately an order of magnitude lower than A (note that in Section 2.1 it was treated as being a fixed fraction, 0.06, of A). If  $r_d$  was treated as a free parameter the least squares fit gave an unrealistic negative value for  $r_d$ . Our procedure gives  $r_d = 0.34 \,\mu\text{mol m}^{-2} \,\text{s}^{-1}$  and  $V_{\text{cmax}} = 34 \,\mu\text{mol m}^{-2} \,\text{s}^{-1}$ . Crosschecking indicated that the fully coupled photosynthesis-isotope model adequately explained the response of  $\Delta^{13}$ C displayed by the original dataset (Fig. 2, lower panel).

#### 2.4.2. For given environmental conditions

Given a set of environmental parameters (atmospheric  $CO_2$  and  $O_2$  concentrations, irradiance and temperature)



Fig. 2. Effect of growth CO<sub>2</sub> concentration on (top) resistance, (middle) [photosynthesis × resistance] or  $[C_a - C_i]$ , and (bottom) discrimination against <sup>13</sup>C ( $\Delta^{13}$ C). Data points in the top two panels are calculated from those in the bottom panel, and lines are the model best fit.



Fig. 3. The response of photosynthesis (A) to variations in atmospheric CO<sub>2</sub> ( $C_a$ ) in *Marchantia polymorpha* grown at two atmospheric CO<sub>2</sub> concentrations. Data are means  $\pm$  standard error (n = 3 plants).

and our fitted physiological parameters  $V_{\text{cmax}}$  (hence  $J_{\text{max}}$ ) and  $r_d$ , we first calculate the CO<sub>2</sub> concentration inside the thallus,  $C_i$  using Eqs. (8) and (9), as described above. This value is then substituted into Eq. (1) to give the predicted value of  $\delta^{13}C_p$ ; this requires knowledge of the corresponding atmospheric value  $\delta^{13}C_a$ , which is either measured in experiments, or obtained from the marine carbonate record. Isotopic discrimination is calculated as given in Eq. (4). See Appendix A for the calculation procedure required to determine atmospheric CO<sub>2</sub> concentration from  $\Delta^{13}C$ .

### 3. Gas exchange and $\Delta^{13}$ C measurements

We calculated  $V_{\rm cmax}$  (and hence  $J_{\rm max}$ ) and  $r_d$  for BRYOCARB from a series of CO<sub>2</sub> assimilation measurements on liverworts grown in conditions similar to those described previously (Fletcher et al., 2005). *Marchantia polymorpha* L. was propagated from gemmae collected from the University of Sheffield Tapton Experimental Gardens. Gemmae were sown on pots of moist potting compost and grown for 6 weeks in a pair of controlled environment cabinets (Conviron, Winnipeg, Canada) providing 200 ± 10 µmol light m<sup>-2</sup> s<sup>-1</sup> for 12 h per day, at 20 °C, 75% RH, and either 387 or 1624 ppm CO<sub>2</sub> (Ciras-1 IRGA, PP Systems). Plants and their associated CO<sub>2</sub> settings were rotated between cabinets once a week.

Photosynthesis was measured on  $\sim 4 \text{ cm}^2$  of detached thallus, rinsed in distilled water and blotted dry, and placed in a Parkinson narrow leaf cuvette (PLC) (PP Systems, Hoddesdon, UK) connected to a portable infra-red gas analyzer (Ciras-1) housed in a controlled environment cabinet (Fig. 3). Photosynthesis-CO<sub>2</sub> response curves were constructed by making measurements by decreasing CO<sub>2</sub> progressively from 400 ppm down to 20 ppm, and then increasing it from 400 ppm up to 2000 ppm. Gas exchange parameters were measured every 5 min when steady-state fluxes were evident at  $20 \pm 1$  °C with  $250 \pm 5 \mu mol$  PAR m<sup>-2</sup> s<sup>-1</sup>. Measurements were then corrected for area as determined by an area meter mk2 (Delta-T devices, Cambridge, UK). Measurements are the mean of three thalli. Bulk thallus nitrogen content of dried, ground M. polymorpha and Lunularia cruciata (L.) Dum. ex Lindb grown at both CO<sub>2</sub> concentrations was determined with an isotopic ratio mass spectrometer (ANCA GSL preparation module, 20-20 stable isotope analyser, PDZ Europa, Cheshire, UK).

Measurements of the response of thallose photosynthetic rate were conducted as described above on 1-year-old populations of *M. polymorpha* and *L. cruciata* grown outdoors on pots of sand and vermiculite (3:2) at Tapton Experimental Gardens. Measurements were made on approximately 1–2 cm<sup>2</sup> of thallus at a range of irradiances, temperatures and O<sub>2</sub> levels. Temperature and O<sub>2</sub> measurements were conducted at light-saturating levels of 200 µmol PAR m<sup>-2</sup> s<sup>-1</sup> for *L. cruciata* or 600 µmol PAR m<sup>-2</sup> s<sup>-1</sup> for *M. polymorpha*. Temperature and irradiance were controlled by the controlled environment cabinet, *C<sub>a</sub>* by the IRGA, and O<sub>2</sub> by supplying N<sub>2</sub> and O<sub>2</sub> (BOC gases, Surrey, UK) mixed by mass-flow controllers (Brooks instruments B. V., Veenendaal, Holland).

To test BRYOCARB predictions of the effect of light and CO<sub>2</sub>, both separately and interactively, on  $\Delta^{13}$ C L. cruciata and M. polymorpha were grown at two CO<sub>2</sub> levels and a range of irradiances in a factorial experiment with 5 plants per treatment. Growth conditions were as described above, with growth cabinets supplying 398 ppm (ambient) or 791 ppm (elevated) CO<sub>2</sub>, and 400, 200, 100 or 50  $\mu$ mol PAR m<sup>-2</sup> provided by varying the distance between the lamps and the plants, and shading some with reflective scrim (Lee filters, Andover, UK). Whole-plant  $\delta^{13}$ C was measured on bulk organic matter after six weeks' growth using the same instrument (PDZ Europa) as before.  $\delta^{13}C_a$  was measured four times during the course of a single representative day, giving  $\delta^{13}C_a$  of -9.0% in the ambient CO<sub>2</sub> cabinet and -21.2% in the elevated CO<sub>2</sub> cabinet. We employed a simple mass-balance calculation to provide an additional cross-check on the elevated  $\delta^{13}C_a$  measurement, constrained by measuring the  $\delta^{13}C_a$  of CO<sub>2</sub> in the ambient treatment and pure CO<sub>2</sub> from the cylinders:

$$\begin{split} \delta^{13} \mathbf{C}_{\text{elevated}} &= \left( \frac{[\mathbf{CO}_2]_{\text{ambient}}}{[\mathbf{CO}_2]_{\text{elevated}}} \cdot \delta^{13} \mathbf{C}_{\text{ambient}} \right) \\ &+ \left( \frac{[\mathbf{CO}_2]_{\text{elevated}} - [\mathbf{CO}_2]_{\text{ambient}}}{[\mathbf{CO}_2]_{\text{elevated}}} \cdot \delta^{13} \mathbf{C}_{\text{cylinder}} \right), \end{split}$$

$$(17)$$

which gave the  $\delta^{13}C_a$  in the elevated treatment as  $-22.9_{00}^{\circ}$ , reasonably close to the measured value.

To test BRYOCARB modelled response of  $\Delta^{13}$ C to O2, M. polymorpha was grown for six weeks in 4-L Perspex growth chambers (n = 5 per O<sub>2</sub> concentration), supplied with pressure-regulated compressed air (control: 21% O<sub>2</sub>, 404 ppm CO<sub>2</sub>), or air mixed with O<sub>2</sub> and 5% CO<sub>2</sub> in air (elevated O<sub>2</sub>:  $30 \pm 1\%$  O<sub>2</sub>, 402 ppm CO<sub>2</sub>) (BOC gases, Surrey, UK) by mass-flow controllers as described previously (Fletcher et al., 2005). Needle valves regulated a  $500 \pm 50$  ml min<sup>-1</sup> air supply to each chamber. The chambers were housed in a laboratory flow hood fitted with 400 W lamps (Metalarc HSI metal halide lamps, Sylvania, Shipley, UK) providing  $196 \pm 50$  $\mu$ mol PAR m<sup>-2</sup> s<sup>-1</sup> for 18 h per day, at 24 °C (PAR sensor, Skye Instruments, Powys, UK; Squirrel datalogger, Grant Instruments, Cambridgeshire, UK). All gas exchange and  $\delta^{13}C$  measurements were carried out as described above.  $\delta^{13}C_a$  was  $-8.8\%_0$  in the control, and -10.6% in the elevated oxygen treatment. A simple mass-balance calculation was used to provide an additional check on the elevated-O<sub>2</sub>  $\delta^{13}C_a$  measurement, constrained by measuring the  $\delta^{13}C_a$  of CO<sub>2</sub> in the ambient  $O_2$  treatment, and  $CO_2$  from the 5%  $CO_2$  cylinder:

$$\frac{[\text{CO}_{2 \text{ elevated}}] - \left(\frac{100 - \%\text{O}_{2 \text{ control}}}{100 - \%\text{O}_{2 \text{ control}}} \cdot [\text{CO}_{2 \text{ control}}]\right)}{[\text{CO}_{2 \text{ elevated}}]} \cdot \delta^{13}\text{C}_{\text{cyclinder}} + \frac{\left(\frac{100 - \%\text{O}_{2 \text{ elevated}}}{100 - \%\text{O}_{2 \text{ control}}} \cdot [\text{CO}_{2 \text{ control}}]\right)}{[\text{CO}_{2 \text{ elevated}}]} \cdot \delta^{13}\text{C}_{a \text{ control}}, \quad (18)$$

which estimated air in the elevated  $O_2$  treatment as -10.9%, close to that of the measured value.

We conducted an isotopic survey of three rural garden populations of liverworts by making paired  $\delta^{13}$ C measurements of bulk organic matter and air to estimate  $\Delta^{13}$ C and test the utility of BRYOCARB for predicting  $\Delta^{13}$ C in natural habitats rather than controlled environment conditions. Bulk organic matter was analyzed from five *L. cruciata* individuals from each population of three suburban garden sites in Sheffield, UK (Table 1).  $\delta^{13}C_a$  was measured close to the ground surface at the growth site in a morning and an afternoon (5 replicate measurements each time interval). The degree of shading was assessed by spot-measuring PAR on at least three parts of the patch of liverworts as well as incident PAR in the open.

### 4. Results and discussion

### 4.1. Simulating liverwort photosynthesis in response to environmental change

We simulated changes in photosynthetic  $CO_2$  assimilation with BRYOCARB and evaluated the results against measurements made on two species of field-grown liverworts (*M. polymorpha* and *L. cruciata*). Photosynthesis measurements were made under a range of irradiances, atmospheric O<sub>2</sub> concentrations and temperatures, at two  $CO_2$  concentrations.

The model accurately simulates the light-response of photosynthesis at atmospheric CO<sub>2</sub> concentrations of 400 and 1000 ppm, in agreement with our measurements (Fig. 4 upper panel) and previous observations (Green and Snelgar, 1983; Marschall and Proctor, 2004). Simulated light-saturated photosynthesis rates of ~2 µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup> at 400 ppm CO<sub>2</sub> increased to 3 µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup> at 1000 ppm CO<sub>2</sub>, with rates slightly higher in *M. polymorpha* than in *L. cruciata*. These rates of photosynthesis are consistent with  $V_{\rm cmax}$  values lower than those of laboratory-grown populations;  $V_{\rm cmax} = 8$  µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup> for *L. cruciata* and 8.5 µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup> for *M. polymorpha*.

Table 1

Ground level light,  $\delta^{13}C_a$ , and *Lunularia cruciata*  $\Delta^{13}C$  in semi-rural Sheffield gardens

Site	Light (% PAR in open)	$\delta^{13}C_a$ (%)	Δ <sup>13</sup> C (‰)
Overgrown gravel path Turf on shady bank Turf under hedge	$\begin{array}{c} 35\pm3.5\\8\pm7\\6\pm6\end{array}$	$\begin{array}{c} -9.2\pm 0.2 \\ -11.1\pm 0.5 \\ -10.8\pm 0.7 \end{array}$	$\begin{array}{c} 21.7 \pm 0.1 \\ 19.5 \pm 0.3 \\ 21.0 \pm 0.1 \end{array}$
Mean	$16\pm9$	$-10.3\pm0.6$	$20.7\pm0.6$

Data are  $\pm$  standard error.

The slope of the response of A to irradiance defines the quantum efficiency ( $\alpha$ ) and implies a value of 0.1 rather than 0.18 used in the generalized BRYOCARB model, an observation consistent with a low photosystem II efficiency in liverworts (Gimeno and Deltoro, 2000). For mosses, the leaf and shoot structures can reduce light absorption giving even lower  $\alpha$  values of 0.04–0.015 (Swanson and Flanagan, 2001; Williams and Flanagan, 1998).

Simulations of the photosynthetic response to  $O_2$  shows the expected inhibition that occurs as  $O_2$  out-competes  $CO_2$ for the active binding site in the acceptor molecule RuBP and leads to catalysis of the oxygenation reaction by Rubisco and release of  $CO_2$  (i.e., photorespiration) (Lawlor, 1993). The simulated responses of A to  $O_2$  are in close agreement with measurements made on both liverwort species (Fig. 4, middle panels) and are consistent with earlier observations on bryophytes (Green and Lange, 1995) and vascular plants (Beerling et al., 2002). The model also accurately captures the suppression of  $O_2$ -driven photorespiration in a  $CO_2$ -enriched atmosphere (Fig. 4, right middle panel).

The generalized temperature responses of photosynthetic physiology within BRYOCARB allow it to account for the effect of temperature on A. This occurs largely by altering the relative solubility of CO<sub>2</sub> and O<sub>2</sub> and the specificity of Rubisco for CO<sub>2</sub> (Lawlor, 1993). Photosynthesis (A) is simulated to increase from 15 °C towards an optimum around 25 °C, above which it then declines. Measurements of A show a similar pattern, with those of M. polymorpha reaching an optimum  $\sim 25 \,^{\circ}\text{C}$  and of L. cruciata of  $\sim$ 20 °C (Fig. 4, lower panels). The strong synergistic effect of temperature in high  $CO_2$  seen in bryophytes (Fig. 4) and vascular plants (Long, 1991) is also reproduced in the simulations. This effect results from the suppression of oxygenation by high  $CO_2$  and can raise the optimum temperature for A (Long, 1991), as shown by measurements on L. cruciata and to a lesser extent M. polymorpha (Fig. 4, middle panels). Although BRYOCARB captures the response of liverwort A to temperature and its interaction with  $CO_2$ , modelled A rates are compared to observations either too low in the case of *M. polymorpha* or too high in the case of L. cruciata. The fit-to-data could clearly be improved by developing species-specific temperature response functions for A, but this is not an aim of the current model.

The different temperature optima for photosynthesis in *M. polymorpha* and *L. cruciata* at ambient CO<sub>2</sub> may help explain earlier apparently contradictory responses of  $\Delta^{13}$ C to a 4 °C warming in these species. In a laboratory experiment, Fletcher et al. (2005) showed that  $\Delta^{13}$ C in *M. polymorpha* decreased by ~0.4‰ per °C in response to a 4 °C warming, whereas in *L. cruciata* it increased by approximately 0.2‰ per °C. For *M. polymorpha* with a temperature optimum of 25 °C, a 4 °C increase above 20 °C increases photosynthesis, decreasing  $C_i$ ,  $C_i/C_a$  and  $\Delta^{13}$ C. In contrast, for *L. cruciata* the additional warming of 4 °C reduces photosynthetic rates, increasing  $C_i$ ,  $C_i/C_a$  and  $\Delta^{13}$ C (Fletcher et al., 2005).



Fig. 4. Effects of irradiance (top), atmospheric O<sub>2</sub> (middle), and temperature (bottom) on the photosynthetic rates of two liverworts measured at two CO<sub>2</sub> concentrations. Points indicate measurements, lines indicate model fit. Measurement data are means  $\pm$  standard error (n = 3 plants).

Overall, these results indicate that BRYOCARB accurately describes the balance between  $CO_2$  demand by the thallose photosynthetic tissues and the resistance to its supply from the atmosphere under a wide variety of environ-

mental conditions. It is therefore assumed that we can predict  $C_i$  with reasonable confidence for a given set of conditions (Section 2.3). This establishes a secure basis for investigating how possible variations in physiology and

environmental conditions may influence the critical dependency of  $\Delta^{13}$ C on CO<sub>2</sub>, which underpins the potential for bryophytes to act as a CO<sub>2</sub> proxy.

### 4.2. Sensitivity of BRYOCARB to variations in photosynthetic physiology

One of the main determinants of liverwort CO<sub>2</sub> assimilation under a given set of environmental conditions is the maximum rate of carboxylation by Rubisco ( $V_{cmax}$ ) (Eq. (5)). We calculate a value for *M. polymorpha* (34 µmol m<sup>-2</sup> s<sup>-1</sup>) matching that determined from the  $A-C_a$  response reported by Griffiths et al. (2004), given 411 mg chlorophyll m<sup>-2</sup> (B. Fletcher, unpublished) and our estimates of *r* and  $r_d$ . These  $V_{cmax}$  values are at the low end of the range (6–194 µmol m<sup>-2</sup> s<sup>-1</sup>) of vascular plants (Wullschleger, 1993) and imply a low investment of nitrogen in Rubisco. This is supported by the relatively low nitrogen contents of *M. polymorpha* and *L. cruciata*, 1.3 and 1.2 mmol g<sup>-1</sup>, respectively, compared to vascular plants (0.5–5.0 mmol g<sup>-1</sup>; Field and Mooney, 1986).

However,  $V_{\text{cmax}}$  determinations from  $A-C_a$  responses of field populations of *M. polymorpha* and *L. cruciata* indicate values can be as low as ~8 µmol m<sup>-2</sup> s<sup>-1</sup>, suggesting a degree of phentotypic plasticity in this feature of bryophytes. We therefore assessed the influence of variations in  $V_{\text{cmax}}$ such as may be caused by adaptation, interspecific differences or evolutionary change over geologic time. According to our simulations, if  $V_{\rm cmax}$  (and hence  $J_{\rm max}$ ) is increased, A rises (Fig. 5, upper left panel), depleting internal CO<sub>2</sub> and decreasing  $\Delta^{13}$ C (Fig. 5, upper right panel). Doubling  $V_{\rm cmax}$  decreases  $\Delta^{13}$ C by ~0.9%; halving  $V_{\rm cmax}$ increases it by ~1.2% and by slightly more below 600 ppm, as  $V_{\rm cmax}$  now further limits A, increasing  $C_i$ . Variations in  $r_d$  exerted generally minor effects on A and  $\Delta^{13}$ C (Fig. 5, lower left panels). Doubling it increased  $\Delta^{13}$ C by <1% at 400 ppm and <0.4% above 1000 ppm, decreasing it had a even smaller effect; with  $r_d$  at one-tenth the fitted value,  $\Delta^{13}$ C is only <0.3% lower. The very small effect of changes in  $r_d$  is mainly due to the re-fractionation of already fractionated respired CO<sub>2</sub>; respired CO<sub>2</sub> being diluted at higher external CO<sub>2</sub> levels.

Based on these analyses, uncertainties in the photosynthetic physiology of liverworts in the past may exert minor effects on the dependency of  $\Delta^{13}$ C on CO<sub>2</sub>. Although this suggestion is supported by the similar response of the two species grown across a wide range of CO<sub>2</sub> concentration, it requires further investigation. Furthermore, we found no evidence of down-regulation in the maximum rate of carboxylation ( $V_{cmax}$ ) or differences in nitrogen content of *M. polymorpha* when grown under elevated CO<sub>2</sub>, responses often seen in vascular land plants cultivated in a CO<sub>2</sub>-enriched atmosphere (Long et al., 2004). This suggests the nitrogen investment in the photosynthetic proteins by liverworts in the geologic past when atmospheric CO<sub>2</sub> was higher may not have been markedly different from now.





# 4.3. Simulated sensitivity of $\Delta^{13}C$ dependency on $CO_2$ to temperature, irradiance and $O_2$

We examined the simulated sensitivity of liverwort organic matter  $\Delta^{13}$ C to the same three environmental variables used in our gas exchange analyses (O<sub>2</sub>, temperature and irradiance) to determine their potential to influence the dependency of  $\Delta^{13}$ C on CO<sub>2</sub> and compared the results with those from experiments and field surveys.

In growth experiments,  $\Delta^{13}$ C in *M. polymorpha* at 400 ppm atmospheric CO<sub>2</sub> increased by 1.1‰ (Fig. 6, upper panel) between 21% and 30% O<sub>2</sub>, a range representative of that likely experienced by plants during the Phanerozoic (Berner, 2001) (Fig. 9, middle panel). In order to simulate this response, we determined the  $V_{\rm cmax}$  for plants grown at the two O<sub>2</sub> levels (Fig. 6, lower panel). Utilizing these values of  $V_{\rm cmax}$ , allowed BRYOCARB to closely reproduce



the observed increase (+1.0<sub>00</sub>; Fig. 6, upper panel) caused by increasing rates of photorespiration, the reduction of photosynthetic CO<sub>2</sub> fixation by competitive O<sub>2</sub> fixation (Fig. 6, subplot) (Section 4.1). Additionally, photorespiration due to high O<sub>2</sub> has an isotopic effect increasing the apparent  $\Delta^{13}$ C, as C is re-fractionated (Gillon and Griffiths, 1997). Further simulations with BROCARB indicate the effect of rising O<sub>2</sub> on  $\Delta^{13}$ C is diminished at higher CO<sub>2</sub> concentrations (Fig. 6, upper panel) because of the suppression of photorespiration, as observed in red algae (Kübler et al., 1999) and vascular plants (Berner et al., 2000; Beerling et al., 2002).

The simulated effect of a warming of 10 °C, the approximate mean global range through the Phanerozoic (Fig. 9, lower panel), is small. In contrast to the effects of O<sub>2</sub>, the effect of variation in temperature on A is minimal at low CO<sub>2</sub> but greater at high CO<sub>2</sub> (Fig. 7, upper panel). At low CO<sub>2</sub> (<500 ppm), increasing the temperature from 15 to 25 °C slightly decreases A, by lowering the specificity of Rubisco for CO<sub>2</sub> relative to O<sub>2</sub>, thus favouring the competitive oxygenation reaction. At higher CO<sub>2</sub>, oxygenation is sufficiently suppressed to allow the kinetic effects of increasing temperature to increase A. Small differences in physiological variables shift the CO<sub>2</sub> level at which this changeover occurs (compare Fig. 4, bottom panel, model, where, at 400 ppm, A increases from 15 to 25 °C), but as the effect on  $\Delta^{13}$ C of this 10 °C range is quite small,



Fig. 6. Sensitivity of the dependency of photosynthesis (A) and  $\Delta^{13}$ C on CO<sub>2</sub> in *M. polymorpha* in response to changes in atmospheric O<sub>2</sub>. Measurements of  $\Delta^{13}$ C (upper panel, points) and gas exchange at saturating irradiance, allowing accurate determination of  $V_{\rm cmax}$ , (lower panel) made on plants grown at 400 ppm CO<sub>2</sub> and 21 or 30% O<sub>2</sub>. This  $V_{\rm cmax}$  was used to simulate *A* (inset) and  $\Delta^{13}$ C (upper panel, lines) at growth irradiance.

Fig. 7. Simulated sensitivity of the dependency of photosynthesis (A) and  $\Delta^{13}$ C on CO<sub>2</sub> in response to three different temperatures. Also shown (lower panel) are the average ( $\pm$ SE)  $\Delta^{13}$ C responses of 3 species calculated from earlier  $\delta^{13}C_p$  measurements (Fletcher et al., 2005) and  $\delta^{13}C_a$  of -10.3% (Table 1).

<0.6% above 375 ppm (Fig. 7, upper panel), this is a relatively minor source of error. This is in agreement with earlier measurements on three liverwort species (Fletcher et al., 2005) over a 4 °C increase, in which  $\Delta^{13}C$  ( $\delta^{13}C_a$  value from Table 1) increased by <0.1‰ on average (Fig. 7, lower panel).

The influence of irradiance on the relationship between  $\Delta^{13}$ C and CO<sub>2</sub> was investigated by growing *M. polymorpha* and *L. cruciata* at two CO<sub>2</sub> levels and a wide range of light levels (Fig. 8, middle panels), bracketing those typically experienced by liverworts in the field (Fig. 8, lower panel). The observed interaction between CO<sub>2</sub> and irradiance on



Fig. 8. Sensitivity of the dependency of  $\Delta^{13}$ C on CO<sub>2</sub> in response to changes in irradiance. Simulated  $\Delta^{13}$ C response (upper and middle panels, lines) derived from the model fit, with a  $V_{\rm cmax}$  of 25, to observed  $\Delta^{13}$ C at 400 and 800 ppm CO<sub>2</sub> (upper and middle panels, points). Irradiance levels used in the experiments (mean  $\pm$  s.e., n = 5) represent varying degrees of shading of average incident summer sunlight (lower panel, solid line) (Mitchell, 1992), and include light levels well above and below typical field settings such as gaps in midsummer woodland canopy (lower panel, dashed line), (Mitchell, 1992).

 $\Delta^{13}$ C in two species of liverworts is in general agreement with that predicted by BRYOCARB (Fig. 8). In the model,  $\Delta^{13}$ C is reduced between the extreme low and high irradiances by 8.5‰ at 400 ppm CO<sub>2</sub> to 7.9‰ at 800 ppm CO<sub>2</sub> and by  $\leq 4‰$  at high CO<sub>2</sub> (>3300 ppm CO<sub>2</sub>). However, observed reductions in  $\Delta^{13}$ C between the extreme low and high irradiances are smaller, 6.5–6.4‰ in *L. cruciata* and 5.8–4.8‰ in *M. polymorpha*, which may indicate a degree of photosynthetic acclimation. The modelled direction of change in  $\Delta^{13}$ C, but not the magnitude, is also consistent with observations on the moss *Tortula ruralis* showing  $\Delta^{13}$ C decreased by 2‰ between a shade (50–250 µmol PAR m<sup>-2</sup> s<sup>-1</sup>) and sun (150–900 µmol PAR m<sup>-2</sup> s<sup>-1</sup>) at a field site (Hamerlynck et al., 2002).

We emphasize that although irradiance exerts a strong influence on  $\Delta^{13}$ C, the range employed in our experiments likely exceeds that experienced in the field. Observations around the Sheffield region on sunny days suggest that liverworts usually occupy partially shaded sites with 150-250  $\mu$ mol PAR m<sup>-2</sup> s<sup>-1</sup> or lower; less shaded growth sites experiencing  $\sim$ 350 µmol PAR m<sup>-2</sup> s<sup>-1</sup> ( $\sim$ 30% full sun) (Mitchell, 1992; B. Fletcher, unpublished). Another difference between the experiments and field conditions is that in the latter situation, the quantity of PAR varies through the day (Fig. 8, lower panel). The southern UK ( $\sim$ 52°N) receives on average  $\sim 40 \text{ mol PAR m}^{-2} \text{ d}^{-1}$  incident light at midsummer, equating to midday values  $\sim 1200 \,\mu mol$ PAR  $m^{-2} s^{-1}$  when integrated over the course of a day. Riparian woodland margins, locations commonly colonized by liverworts, typically receive  $\sim 5 \text{ mol PAR m}^{-2} \text{ d}^{-1}$ (i.e.,  $\sim 88\%$  shading; Mitchell, 1992), equating to midday values  $\sim 150 \ \mu mol \ PAR \ m^{-2} \ s^{-1}$  given the same distribution through the day (Fig. 8). As relatively more carbon will be fixed around midday due to the higher light and warmer temperatures, typical midday irradiance values of ~150–250  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> provide a closer approximation to that suitable for simulating  $\Delta^{13}$ C. Indeed, additional variation caused by the movement of the sun casting shade or sunflecks at different times of the day, and variation in cloud cover, make accurate A rate-weighted integration of  $\Delta^{13}$ C over the course of a growing season impractical.

These analyses suggest that variation in irradiance rather than  $O_2$  or temperature is the most likely environmental factor confounding any  $CO_2$  signal preserved in fossil liverwort specimens. Water availability has a large effect on moss, but not liverwort  $\Delta^{13}C$ . This is thought to be because liverworts grow where a relatively constant water content can be maintained, whilst mosses conduct large amounts of water externally in wet conditions, leading to large variations in *r* and hence *A* and  $\Delta^{13}C$  (Fletcher et al., 2005, and references therein).

### 4.4. Field survey

In our survey of the isotopic composition of field-grown populations and atmospheric CO<sub>2</sub>, we found  $\delta^{13}C_a$  to be

2.2‰ more negative than the value of -8.1% measured at the Pacific island of Mauna Loa in 2002 (see http://cdiac. esd.ornl.gov/trends/co2/iso-sio/data/iso-data.html). The offset may reflect isotopically light soil respired CO<sub>2</sub>, and/or a partial contribution from anthropogenic CO<sub>2</sub> emissions. The paired organic matter and CO<sub>2</sub> $\delta^{13}$ C measurements gave a  $\Delta^{13}$ C for field-grown plants of 20.7 ± 0.6‰, a value that closely matches the model prediction of 20.1‰ at 200 µmol PAR m<sup>-2</sup> s<sup>-1</sup>. This is consistent with the use of irradiance values 80–90% below mean summer midday irradiances to calculate  $\Delta^{13}$ C for field plants, as 200 µmol PAR m<sup>-2</sup> s<sup>-1</sup> (Fig. 8; Mitchell, 1992) with 84% shading at the growth sites (Table 1).

Assuming  $\delta^{13}C_a$  in field situations to be 1.98‰ more negative than in pristine air above the Pacific ocean yields a mean  $\Delta^{13}C$  (n = 25 measurements, 8 species) for a compilation of thallose liverworts in the field (Fletcher et al., 2004; Fletcher et al., 2005) of 19.6 ± 0.5‰. The close agreement, within 0.5‰ of the model, suggests BRYOCARB captures the 'average' value of field populations across a range of species.

# 4.5. Simulated variations in liverwort $\Delta^{13}C$ through the Phanerozoic

We used BRYOCARB to simulate the separate and combined effects of Phanerozoic (up to 542 million years ago [Ma]) changes in CO<sub>2</sub> (Berner and Kothavala, 2001),  $O_2$  (Berner, 2001) and temperature on liverwort  $\Delta^{13}C$ (Fig. 9) to assess the likely departure expected in fossil materials compared to modern plants. Global temperature change through the Phanerozoic (Fig. 9, lower panel) was calculated by modifying a simple zero-dimensional model of planetary energy balance that collapses latitude, altitude and longitude to give a single global mean value for a given atmospheric CO<sub>2</sub> concentration (Beerling and Woodward, 2001). These calculations include the effects of  $CO_2$ , via the atmospheric greenhouse effect, and the effect of the estimated  $\sim$ 5% increase in the Sun's output over the past 500 Myr (Caldeira and Kasting, 1992). The model was run with the experimentally determined  $V_{\rm cmax}$  of 34.0, and an estimated average  $V_{\rm cmax}$  of 21.7 + 6.5/-1.6 derived from natural populations of liverworts.

Variations in O<sub>2</sub> influenced  $\Delta^{13}$ C most strongly during the rise in the Permo-Carboniferous (359.2–251 Ma), and from the Cretaceous onwards (145.5–0 Ma) by depressing *A* through increased photorespiration (Fig. 10, upper left). This increased  $C_i$  and therefore  $C_i/C_a$  and  $\Delta^{13}$ C by ~4% in the Permian (299–251 Ma) and ~2% in the Late Cretaceous (99.6–65.5 Ma) (Fig. 10, top right); the effect of high O<sub>2</sub> with lower  $V_{\text{cmax}}$  is slightly reduced. The relatively low CO<sub>2</sub> during these periods also lowered *A* (Fig. 10, upper middle left). However, because the decrease is proportionally less than the decrease in  $C_a$ ,  $C_i/C_a$  and  $\Delta^{13}$ C both fall, producing an exaggerated near mirror image of the O<sub>2</sub>  $\Delta^{13}$ C response (Fig. 10, upper middle right). This CO<sub>2</sub> re-



Fig. 9. Variation in (top) atmospheric CO<sub>2</sub>, (middle) O<sub>2</sub>, and (bottom) global mean temperature through the Phanerozoic. CO<sub>2</sub> data from Berner and Kothavala (2001), O<sub>2</sub> data from Berner (2001), and temperature after Beerling and Woodward (2001). Two temperature curves are shown, one based on the effects of CO<sub>2</sub>, via the atmospheric greenhouse effect (dotted line), and the other on the effect of CO<sub>2</sub> and a 5% increase in the sun's output over the past 500 Myr (solid line).

sponse is damped rather than enhanced by the response of r to CO<sub>2</sub>. It is not yet established whether r responds to O<sub>2</sub>. The  $\Delta^{13}$ C response to CO<sub>2</sub> at lower  $V_{\text{cmax}}$  is similar, shifted by ~0.6% for most of the Phanerozoic, except for the low CO<sub>2</sub> periods, when the shift is  $\leq 0.85\%$ , representing a small reduction in CO<sub>2</sub> sensitivity.

As expected from earlier simulations, the effect of temperature is small in comparison with CO<sub>2</sub> and O<sub>2</sub>, causing variation of <0.6‰ when the effect of increasing solar radiation on climate is taken into consideration (Fig. 10, lower middle panels). The effect at lower  $V_{\rm cmax}$  is slightly greater, and in the opposite direction due to a shift in the balance of the effect of temperature on  $V_m$  and photorespiration. Although there is some inconsistency in the use of GEO-CARB III CO<sub>2</sub> levels to estimate temperature, CO<sub>2</sub>-independent methods such as the  $\delta^{18}$ O record could be used; alternatively the model could be run iteratively until a



Fig. 10. Simulated separate and combined effects of variations in environmental conditions through the Phanerozoic on liverwort photosynthesis and  $\Delta^{13}$ C. The effect of O<sub>2</sub>, CO<sub>2</sub> (*r* also responsive), and temperature CO<sub>2</sub> separately are shown in the top six panels, and that of all three factors combined in the lower two panels. Simulations are shown with temperature controlled (dotted line) by the effects of CO<sub>2</sub>, via the atmospheric greenhouse effect, and (solid line) by both the effect of CO<sub>2</sub> and a 5% increase in the sun's output over the past 500 Myr. Standard conditions were 350 ppm CO<sub>2</sub>, 21% O<sub>2</sub>, 20 °C, 250 µmol PAR m<sup>-2</sup> s<sup>-1</sup>.

temperature-CO<sub>2</sub> consensus was reached. However, given the small effect of temperature on  $\Delta^{13}$ C, this is not likely to provide a significant source of error.

The simulated combined influence of CO<sub>2</sub>, O<sub>2</sub> and temperature drive substantial variations in liverwort  $\Delta^{13}$ C over the Phanerozoic, with values generally higher in

those of modern populations (Fig. 10, bottom right panel). This result implies that the CO<sub>2</sub> signal in fossil bryophyte  $\delta^{13}$ C could be discernable after accounting for other environmental conditions during the Phanerozoic. The extent of the increase in  $\Delta^{13}$ C relative to the present-day varies with changes in atmospheric composition and climate over time, being +5 to 7% in the Triassic, Jurassic and Cretaceous (251–65.5 Ma),  $\sim +2\%$  during the Permian and Late Carboniferous (318.1–251 Ma), and  $\sim 8\%$ higher prior to the Middle Devonian (>397.5 Ma). At lower  $V_{\rm cmax}$ ,  $\Delta^{13}$ C is higher during the low CO<sub>2</sub> periods and so these increases are  $\sim 1\%$  reduced; for example,  $\Delta^{13}$ C increases by ~5% between 1 and 100 Ma, instead of by ~6% with a  $V_{\rm cmax}$  of 34. Estimates of  $\Delta^{13}$ C from isotopic measurements on the fossil carbon of Cretaceous liverworts support these predictions, with values exceeding those of thallose liverworts in the Sheffield region by +5.1% (Fletcher et al., 2005). Clearly, however, the model is less sensitive to predicted CO<sub>2</sub> changes during the Silurian (443.7–416 Ma), where  $CO_2$  is estimated to range between  $\sim 3000$  and 5000 ppm CO<sub>2</sub> ( $\sim 0.6\%$ ), and in the Ordovician (488.3-443.7 Ma). However, the predictions of CO<sub>2</sub> at that time are highly uncertain, encompassing a very wide error range (Berner, 2004), and have to date only been compared with estimates from a single proxy (Yapp and Poths, 1992).

### 4.6. Comparative sensitivity of different stable carbon isotope-based CO<sub>2</sub> proxies

We compared the CO<sub>2</sub> sensitivity of liverwort  $\Delta^{13}$ C with that of two leading CO<sub>2</sub> proxies based on  $\delta^{13}$ C of fossil carbon of phytoplankton (Freeman and Hayes, 1992) and paleosols (Cerling, 1999), defined as  $0^{13}_{00}$ C discrimination per ppm CO<sub>2</sub>, over the range of CO<sub>2</sub> experienced over the Phanerozoic. To facilitate comparisons between proxies, we expressed the change in  $\delta^{13}$ C from 300 to 3000 ppm in  $\Delta^{13}$ C (Fig. 11, left hand side). In each case, we varied one parameter to assess whether CO<sub>2</sub> sensitivity was affected. The variation between liverwort species' response of  $\Delta^{13}$ C to CO<sub>2</sub> was simulated with a range of  $V_{\rm cmax}$ , the primary variable determining differences in photosynthetic rate (Fig. 11, top panels). The liverwort  $\Delta^{13}$ C sensitivity was compared with that for the phytoplankton species Skeletonema costatum grown at three temperatures (Hinga et al., 1994) (Fig. 11, middle panels). We converted  $[CO_2]_{aq}$  to  $C_q$  using a linear fit based on the relationships



Fig. 11. A comparison of the sensitivity of proxy measures of CO<sub>2</sub> derived from the stable carbon isotope composition of liverworts (top), phytoplankton (middle), and paleosols (bottom), standardised as discrimination against  ${}^{13}C$  ( ${}^{\infty}_{0}\Delta^{13}C$ ), and as  ${}^{\infty}_{0}\Delta^{13}C$  100 ppm<sup>-1</sup>. A comparison of variables which can effect sensitivity is provided by varying the maximum rate of carboxylation in liverwort photosynthesis (from 34 µmol m<sup>2</sup> s<sup>-1</sup> to 21.5 +6.5/-1.5 µmol m<sup>2</sup> s<sup>-1</sup>); growth temperature in phytoplankton (from 9 to 15 °C), and the biologically respired soil CO<sub>2</sub> concentration in paleosols (from 3000 to 9000 ppm).

described in earlier studies (Freeman and Hayes, 1992), a conversion averaging out the effect of temperature and salinity. The comparison is intended to be illustrative for the phytoplankton rather than exhaustive. The response of paleosols was calculated from Cerling (1999) with three typical values for a major uncertainty S(z), the concentration of CO<sub>2</sub> in the soil contributed by biological respiration (Cerling, 1999, see also Royer et al., 2001a).

The absolute response of these proxies over the range 300-3000 ppm CO<sub>2</sub> is in the order phytoplankton (12%) > liverworts (7%) > paleosols (5%). However, as all of these proxies rely on proportional CO<sub>2</sub> changes, all are relatively more sensitive at low CO<sub>2</sub> (Fig. 11, righthand side). Paleosols show the least the sensitivity, with a relatively constant response of 0.1-0.2% per 100 ppm change in CO<sub>2</sub>, and phytoplankton the greatest, falling from  $\sim 1\%$  per 100 ppm at around 500 ppm CO<sub>2</sub> to  $\sim 0.5\%$  per 100 ppm at 1000 ppm and 0.25% per 100 ppm at 2000 ppm. The liverwort CO<sub>2</sub> proxy is intermediate between the two with rate of response declining from  $\sim 0.8\%$  per 100 ppm at around 500 ppm CO<sub>2</sub> to  $\sim 0.4\%$  per 100 ppm at 1000 ppm and 0.15% per 100 ppm at 2000 ppm; meaning that at high (>1000 ppm) CO<sub>2</sub>, sensitivity is  $\sim 80\%$  that of phytoplankton and  $\sim 2\times$  that of paleosols. Although  $V_{\rm cmax}$  may vary between laboratory growth experiments and field populations, affecting absolute  $CO_2$  predictions, this change does not lessen the  $CO_2$ sensitivity (Fig. 11, upper right panel) as, for example, increasing S(z) does in paleosols (Fig. 11, lower right panel).

Clearly, estimates of parameters which affect CO<sub>2</sub> predictions such as temperature and O<sub>2</sub> have associated errors, and this is common to all proxies (reviewed by Royer et al., 2001a). Integrating all these possible sources of error and their probabilities into a measurement of error for a given CO<sub>2</sub> prediction may be achieved by using Bayesian methods to describe the proxy model followed by a Monte Carlo analysis. These methods include the errors associated with fitting parameters such as  $V_{cmax}$  and r, and produce a model which can be run thousands of times with all parameters allowed to vary, within a given range and probability, to produce a probability density function for CO<sub>2</sub> (Kennedy et al., in press). This type of Bayesian analysis is necessarily extensive in nature and will be addressed in a forthcoming paper.

### 5. Conclusion

Our aim was to develop a process-based model of liverwort isotope fractionation with the capacity to mechanistically account for the effects of CO<sub>2</sub>, O<sub>2</sub>, temperature and irradiance. The BRYOCARB model achieves this aim and is well validated against measurement of photosynthesis and  $\Delta^{13}$ C under a range of conditions at different atmospheric CO<sub>2</sub> concentrations. BRYOCARB indicates that despite variations in environmental conditions and phenotypic plasticity in the photosynthetic physiology, CO<sub>2</sub> dominates isotopic discrimination in these bryophytes. Inversion of BRYOCARB (Appendix A) provides a mechanistic means of estimating of paleo-atmospheric CO<sub>2</sub> concentrations from fossil liverwort  $\delta^{13}$ C measurements. Two key advantages of this proxy over its marine equivalent are first that it directly senses the atmospheric CO<sub>2</sub> concentration, and second that the signal can be reconstructed after mechanistically accounting for the effects of O<sub>2</sub> and temperature.

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### Appendix A. Determining CO<sub>2</sub> from liverwort $\Delta^{13}$ C

An analytical solution of Eqs. (1)–(16) to determine the  $C_a$  given estimates of  $\delta^{13}C_p$ ,  $\delta^{13}C_a$ , T,  $o_i$ ; q,  $V_{cmax}$ , and  $r_d$  is not possible (see text for data sources). Here,  $\delta^{13}C_p$  is taken as fossil liverwort  $\delta^{13}C$  minus 1.85%, to account for diagenesis (Fletcher et al., 2005). Therefore  $C_a$  is obtained by bisection. For a given value of  $\Delta^{13}C$ , the method involves calculating  $\Delta^{13}C$  in the first instance from two seed values of  $C_a$ , 250 ppm ( $C_{a \text{ low}}$ ) and 25,000 ppm ( $C_{a \text{ high}}$ ).

The appropriate order of equation to obtain a solution is as follows: obtain r from Eq. (16), and  $\tau$ , given by Eq. (A1):

Given  $J_{\text{max}} = 1.64V_{\text{cmax}} + 29.1$  (Wullschleger, 1993), calculate  $V_m$  and  $J_m$ , and  $k_c$  and  $k_o$  (ppm) from the temperature dependent equations, as described earlier. The Rubiscolimited rate of photosynthesis ( $A_c$ ) is then determined by first calculating  $C_i$  from Eqs. (12), (11) and (10), and the simplifying factor  $\gamma$  from Eq. (A2):

$$y = 1 - \frac{o_i}{2\tau C_i},\tag{A2}$$

and Eq. (5). The corresponding electron-transport limited rate of photosynthesis ( $A_i$ ) is determined by first calculating  $C_i$  from Eqs. (15), (14) and (13), and J from Eq. (7), then Eq. (6). The minimum value  $A_c$  and  $A_i$ , together with its associated  $C_i$  is then used to determine  $\delta^{13}C_p$  from Eq. (1), given the temperature sensitive CO<sub>2</sub> compensation point,  $\Gamma_*$  (ppm) (Brooks and Farquhar, 1985), and fractionation due to molecular diffusion, a (4.4‰), photosynthesis effects, b (30‰), dark respiration, e (7‰), and photorespiration, f (2‰). Finally, Eq. (4) can be used to calculate  $\Delta^{13}$ C.

The next set of calculations are used to obtain values of  $C_a$  closer to that implied by the value of fossil liverwort  $\Delta^{13}$ C. Determine  $\Delta^{13}C_{mean}$  as  $\Delta^{13}C$  given  $C_a$  half way between the two initial values ( $C_{a mean} = 0.5[C_{a low} + C_{a high}]$ ); if  $\Delta_{13}C_{mean} > \text{fossil} \Delta^{13}$ C, let  $C_{a high} = C_{a mean}$ ; if  $\Delta^{13}C_{mean} < \text{fossil} \Delta^{13}$ C, let  $C_{a low} = C_{a mean}$ ; repeat until  $C_{a high} - C_{a low} < 1$  ppm (at least >~16 times). This procedure assumes  $\Delta^{13}$ C increases as  $C_a$  increases when  $250 < C_a < 25,000$  which appears to hold true for most sensible combinations of parameters (known exceptions: very high q; very high r). The alternative is to calculate  $\Delta^{13}$ C at  $\leq 1$  ppm intervals for the given set of environmental conditions and physiological properties and 'read off'  $C_a$  from  $\Delta^{13}C_{fossil}$ . This process can be repeated for  $\Delta^{13}C_{fossil} \pm 1$  s.e. where >1 specimen is analyzed to obtain the estimated within-population error.

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