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# **Tradeoffs for the implementation of a process-based catchment model in a poorly gauged, highly glacierized Himalayan headwater**

## **M. Konz** $^1$ **, S. Uhlenbrook** $^2$ **, L. Braun** $^3$ **, A. Shrestha** $^4$ **, and S. Demuth** $^5$

<sup>1</sup>University of Basel, Department of Environmental Sciences, Applied and Environmental Geology, Basel, Switzerland

 $2$ UNESCO-IHE, Department of Water Engineering, Delft, The Netherlands

<sup>3</sup>Bavarian Academy of Sciences, Commission of Glaciology, Munich, Germany

<sup>4</sup> Department of Hydrology and Meteorology, Snow and Glacier Hydrology Unit, Katmandu, **Nepal** 

<sup>5</sup>University of Freiburg, Institute of Hydrology, Freiburg, Germany, IHP/HWRP Secretariat, Federal Institute of Hydrology, Koblenz, Germany

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Correspondence to: M. Konz (markus.konz@stud.unibas.ch)

**HESSD**

3, 3473–3515, 2006

**Modeling approach for a poorly gauged Himalayan headwater**



#### **Abstract**

The paper presents a catchment modeling approach for remote glacierized Himalayan catchments. The distributed catchment model TAC*<sup>D</sup>* , which is widely based on the HBV model, was further developed for the application in highly glacierized catchments <sup>5</sup> on a daily timestep and applied to the Nepalese Himalayan headwater Langtang Khola (360 km<sup>2</sup>). Low laying reference stations are taken for temperature extrapolation applying a second order polynomial function. Probability based statistical methods enable bridging data gaps in daily precipitation time series and the redistribution of cumulated precipitation sums over the previous days. Snow and ice melt was calculated in <sup>10</sup> a distributed way based on the temperature-index method employing calculated daily potential sunshine durations. Different melting conditions of snow and ice and melting of ice under debris layers were considered. The spatial delineation of hydrological response units was achieved by taking topographic and physiographic information from maps and satellite images into account, and enabled to incorporate process knowledge

<sup>15</sup> into the model. Simulation results demonstrated that the model is able to simulate daily discharge for a period of 10 years and point glacier mass balances observed in the research area with an adequate reliability. The simple but robust data pre-processing and modeling approach enables the determination of the components of the water balance of a remote, data scarce catchment with a minimum of input data.

#### <sup>20</sup> **1 Introduction**

The prediction of runoff from Himalayan headwaters is a crucial element for sustainable development of the Himalayan countries (e.g. Tarar, 1982; Kattelmann, 1993; Viviroli et al., 2004). Therefore, in 1987 the German Agency for Technical Cooperation (GTZ) initiated a project to quantify climatological and hydrological conditions of Himalayan <sup>25</sup> headwaters in Nepal (Grabs and Pokhrel, 1993). The resulting data from the project are very valuable although they show gaps due to the harsh climate of the region.

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



In combination with adequate modeling approaches water resources assessment is possible as shown in this study.

Simulation of snow and ice melt and its impact on the water balance of a catchment is of vital interest of the scientific community (Verbunt et al., 2003). Sophisticated, <sup>5</sup> data intensive models have been developed for distributed modeling of glaciated catchments (e.g. Klok et al., 2001). However, classical approaches to simulate snow and ice melt, like application of the temperature-index method, are still used because of its robustness especially in data scarce regions (Hock, 2003; Zappa et al., 2003). First simulations of daily discharge of the Nepalese Himalayan Langtang Khola catchment

- <sup>10</sup> were conducted by Braun et al. (1993) using a conceptual precipitation-runoff model. In this study the authors had to apply a constant daily value to simulate the relatively high winter discharge. The value was found by calibration and added to the calculated daily runoff value. It turned out that with the lumped runoff routine of the model it was not possible to simulate the annual course of the hydrograph without this synthetic ad-
- <sup>15</sup> justment. It was concluded that a more detailed representation of runoff generation processes in the model is needed to improve simulation results and to enable simulations of daily discharge in both the monsoon and the non-monsoon season.

Therefore, the catchment model TAC*<sup>D</sup>* (tracer aided catchment model, distributed) which was originally developed for basins in the Black Forest Mountains, Germany

- <sup>20</sup> (Uhlenbrook et al., 2004; Ott and Uhlenbrook, 2004) was further developed for the Himalayan conditions. It is a fully distributed, modular catchment model, which at its core has a process-based runoff generation routine based on dominant process conceptualizations. The runoff generation routine uses a spatial delineation of units with the same dominating runoff generation processes; other modules for snow and soil processes
- 25 were adapted from the HBV model (Bergström, 1976, 1992). The uncertainty of the model and its reduction through the incorporation of additional data sources into the calibration process was studied previously (Uhlenbrook and Sieber, 2005). In particular, validating the model simulations using tracer data, i.e. dissolved silica and environmental isotopes, was previously investigated (e.g. Uhlenbrook et al., 2004; Wissmeier

## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



and Uhlenbrook, 2006).

Since data availability is limited in remote Himalayan headwaters suitable ways to regionalize input data and to conceptualize the dominant runoff generation processes have to be developed. The paper describes the first application of this process-based <sup>5</sup> model to a high mountain environment with very limited data and an added glacier module. The specific objectives are to describe the entire simulation procedure that consists of (i) input data pre-processing to bridge gaps in time series of precipitation and temperature, (ii) the delineation of hydrological response units based on topographic and physiographic data, and (iii) the process-based runoff modeling itself. The <sup>10</sup> results of this approach are illustrated for the Langtang Khola catchment in Nepal, and

- discussed with respect to process-based catchment modeling. The methods for input data preparation are described in detail with the relatively good data set of the Langtang Khola catchment to demonstrate the suitability and applicability of the methods. The developed tools should be applicable to much less intensively observed basins
- 15 then the Langtang Khola catchment.

#### **2 Study site**

The 360 km<sup>2</sup> high mountain Himalayan Langtang Khola headwater is located approx. 100 km north of Katmandu (Fig. 1, Table 1). The catchment reaches from 3800 m a.s.l. up to the Langtang Lirung at 7234 m a.s.l. with two other peaks above  $20.7000 \text{ m}$  a s l.

The average altitude is 5169 m a.s.l., 166 km $^2$  (46%) of the 360 km $^2$  catchment area are occupied by glaciers of which 32 km<sup>2</sup> (19%) are covered by debris, especially the glacier tongues below 5200 m a.s.l. The glacier area is different to the one published in Braun et al. (1993) where glacier area was determined to be 38%. They derived <sup>25</sup> the glacier area from the DAV map (Alpenvereinskartographie, 1990), whereas in this

paper the glacier inventory of the International Center of Integrated Mountain Development (ICIMOD), Katmandu, Nepal (UNEP/ICIMOD, 2002) was used.



**HESSD**

The main valley is dissected by the Langtang Khola River and it is typically U-shaped. Table 1 summarizes the main characteristics of the investigation area. Several sets of moraines occupy the valley bottom, which have been attributed to the Little Ice Age, Neoglacial and Late glacial (Heuberger et al., 1984; Ono, 1986). Boulders and scree

- <sup>5</sup> cover the steep slopes and high plateaus, while the occurrence of forest and grassland in the lower altitudes with less steep slopes along the river is limited to 1.5% of the catchment area. The riverbed consists of sand and gravel. The area is part of the Main Central Thrust Zone, and its geology consists of granite, gneiss and schist. Detailed soil information is not available for the catchment.
- 10 The characteristic feature of the climate of Nepal is the monsoon circulation with predominant easterly winds in the summer and westerly winds from October to May. In the Langtang Khola catchment, 74% of annual precipitation of 615 mm a<sup>-1</sup> at the Kyangjing station (3920 m a.s.l., Fig. 1) of the Snow and Glacier Hydrology Unit (SGHU) of the Department of Hydrology and Meteorology (DHM) falls during monsoon season
- <sup>15</sup> (May till October; mean over period from 1988 to 1998). From June to August total precipitation amounts are large and precipitation occurs almost every day. However, the daily amount generally does not exceed 20 mm d<sup>-1</sup>. In the later part of the monsoon season (September to October) the maximum daily amount is considerably higher, while the number of rainy days decreases gradually compared to previous months. In
- <sup>20</sup> dry season (November to May), precipitation (mainly snow) occurs on only a few days, it is produced by the occasional passage of westerly troughs ("western disturbances"; Ramage, 1971). In general, the precipitation amounts increase with altitude during both the monsoon and the dry season (Seko, 1987).

Mean daily air temperature is 0.2◦C from October to June, and 8.4◦C during the <sup>25</sup> monsoon season at the meteorological station (3920 m a.s.l.; Fig. 1). The long-term mean of air temperature amounts to 1.8◦C for the period 1988 to 1998 (Konz et al., 2006a). Shiraiwa et al. (1992) reported mean monthly air temperatures of approximately −10<sup>°</sup>C during winter periods at three temporary stations in the valley at altitudes between 5090 m a.s.l. and 5180 m a.s.l. and different expositions during their investi-

## **HESSD** 3, 3473–3515, 2006 **Modeling approach for a poorly gauged Himalayan headwater**



gation period from June 1989 to March 1991. Air temperature shows distinct altitude dependence with a mean annual air temperature from June 1989 to March 1991 of 1.9◦C at the SGHU station and <sup>−</sup>3.5◦C in 5090 m a.s.l. A mean annual elevation gradient can be estimated as <sup>−</sup>0.46◦C 100 m−<sup>1</sup> (Shiraiwa et al., 1992). Sakai et al. (2004) 5 observed a lapse rate of -0.5°C 100 m<sup>-1</sup> for the monsoon season 1996.

The discharge regime can be classified as glacial with maximum discharges in July and August and minimum discharges during the winter season. Winter discharge is characterized by a rather constant base flow with negligible inflows of rainwater or melt water as the air temperature is generally below the melting point. The mean discharge  $\frac{10}{10}$  from December to the end of April is 2.8 m<sup>3</sup> s<sup>-1</sup> which constitutes about 17% of the

annual discharge (Konz et al., 2006a).

#### **3 Data**

#### 3.1 Preparation of input data

There is only one meteorological station in the Langtang Khola catchment at <sup>15</sup> 3920 m a.s.l. Air temperature is measured and analyzed in 6 h intervals and published as maximum, minimum and mean daily air temperature. Precipitation is read manually and published as daily totals in yearbooks by the Ministry of Science and Technology, Department of Hydrology and Meteorology (DHM), Katmandu, Nepal. The data base for this study is the period 1987–1997 as further data are not available or of unreliable <sup>20</sup> quality. Intensive quality analyses of temperature and precipitation time series were conducted for each year under investigation. Daily air temperature data are available for 98% and daily sums of precipitation are available for 93% of the days during the investigation period. Most of the missing values occur during the dry season. For some of the data gaps of the precipitation time series sums over several days were recorded

<sup>25</sup> instead of daily values. Appropriate procedures to fill the missing values and to redistribute cumulated precipitation sums over the previous days had to be found based on 3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



records of reference stations of the Standard Data Meteorological Service Network of DHM in the vicinity (up to 60 km away from the catchment) of the Langtang valley. Table 2 summarizes the reference stations with elevation and conducted measurements. The approaches should also be applicable to catchments with worse data availability <sup>5</sup> then the Langtang Khola catchment.

*Air temperature*: The filling of missing temperature data  $(T_{SCHU})$  is carried out using a second order polynomial function, which depends only on temperature values of the reference stations  $(T_{\text{Ref}})$ :

 $T_{\text{Ref}} = -0.0286 T_{\text{SGHU}}^2 + 1.1984 T_{\text{SGHU}} + 15.18$  (1)

- <sup>10</sup> The coefficients of the equation are regressively determined from mean monthly air temperature values of the reference station and the SGHU station in the catchment. Only those months were considered where no or minimal (less than three values) data gaps occurred. Although the coefficients were determined for each year individually it can be stated that the coefficients only vary slightly from year to year. Therefore, an
- <sup>15</sup> average coefficient calculated for years with adequate data availability can be used for years with a large number of missing data. Data quality and availability of the reference station is highly important, thus we used the Katmandu airport station as reference station.

*Precipitation*: Low lying reference stations (Table 2) are used for filling the missing <sup>20</sup> data. These are the only stations in the region which provide daily precipitation data. The procedure of filling the missing data and redistributing the cumulated values is based on a statistical method, developed by Weber (1997; quoted in Braun et al., 1998). Precipitation data of SGHU station and the reference stations were analyzed according to the following criteria:

- <sup>25</sup> i. the occurrence probability of precipitation events for each station and for each month;
	- ii. the joint probability of precipitation occurrence at the SGHU station and the DHM reference stations;

### **HESSD**

3, 3473–3515, 2006

**Modeling approach for a poorly gauged Himalayan headwater**

M. Konz et al.



**FGU** 

- iii. the mean ratio of monthly sums of precipitation at the SGHU station and the DHM reference stations, and
- iv. the "true" monthly sums of precipitation at the SGHU station.

These information are incorporated in a weighting function (Eq. 2) containing the mean <sup>5</sup> ratio of amount of precipitation between reference station *n* and SGHU station of the month *m* (*Fn,m*) and the weighting of reference station *n* according to the probability of joint occurrence of precipitation at the target station and reference station for the month  $m (W_{n,m})$ . The last step (iv, in the listing above) often involves estimations if missing data occur. The value is used to match the simulated daily precipitation value to a more <sup>10</sup> realistic value.

$$
P_{\text{SGHU}} = \frac{1}{N} \cdot \sum_{n=1}^{N} (P_n \cdot F_{n,m} \cdot W_{n,m})
$$

 $P_{\sf SGHU}$ : extrapolated precipitation at SGHU station (mm d<sup>−1</sup>)

 $P_n$ : precipitation at reference station (mm d<sup>-1</sup>)

*N*: Number of reference stations (–)

<sup>15</sup> *Other spatial information*: Topographic information was derived from digital maps of the Survey Department of Nepal and of the topographical map of the Deutscher Alpenverein (DAV) (Alpenvereinskartographie, 1990). Glacier covered area was taken from maps published in the glacier inventory of the International Center of Integrated Mountain Development (ICIMOD), Katmandu, Nepal (UNEP/ICIMOD, 2002). The provided <sup>20</sup> digital river network covers the glacier free part of the catchment. However, it has to

be assumed that a sub-glacial channel network exists. Field visits have substantiated this assumption. Therefore, the river network was manually extended below glaciers (Fig. 2). This caused a significant improvement of the simulation of the dynamics of the hydrograph.

## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**

M. Konz et al.

(2)



3.2 Hydrological and glaciological data for model calibration and validation

Tracer dilution methods are used to measure discharge as described by Spreafico and Grabs (1993). SGHU continuously performs these measurements several times per year during the dry and rainy seasons. Daily mean discharge (*Q*) is derived from water <sup>5</sup> level readings (8 h) and stage-discharge relations. The following Eq. (3) was found to be most suitable for calculation of discharge values ( $R^2$ =0.92).

 $Q = 8.409(h - 0.078)^{1.334}$  (3)

Glacier mass balances were observed from 19 May 1996 to 6 October 1996 by Fujita et al. (1998) in altitudes from 5150 m a.s.l. to 5390 m a.s.l. (Table 3) on debris-free Yala <sup>10</sup> glacier (Fig. 1) in the Langtang Khola catchment. Braun et al. (1993) published mass balances for the period 28 March 1991 to 17 March 1992 of the same glacier.

#### **4 The modeling approach**

The modeling approach is based on the model TAC*<sup>D</sup>* (tracer aided catchment model, distributed), which is a process-based catchment model with a modular structure. It <sup>15</sup> can be seen as a modified, fully distributed version of the well-know HBV-model with a more process-based runoff generation routine, that uses a spatial delineation of units with the same dominating runoff generation processes. A detailed model description is given by Uhlenbrook et al. (2004) or Uhlenbrook and Sieber (2005). In this study  $200\times200$  m<sup>2</sup> grid cells and daily time steps were used. The model routes the water <sup>20</sup> laterally by applying the single-flow direction algorithm (O'Callaghan and Mark, 1984). The model is coded within the geographical information system PC-Raster (Karssenberg et al., 2001), which offers a dynamic modeling language. Since the highly sophisticated original TAC-D model cannot be applied to catchments with only temperature and precipitation data, substantial modifications of the model were necessary. The <sup>25</sup> model was further simplified and ideas of the HBV-ETH model presented in Braun et



**FGU** 

al. (1993) were implemented. However, major differences in the spatial discretization and in the simulation of the runoff generation processes remain in comparison to the HBV-ETH model. The glacier module with a distributed snow and ice melt calculation was newly introduced into the model.

#### <sup>5</sup> 4.1 Regionalization of meteorological input data

Regionalization of the pre-processed input data is done in the model and is based on vertical and horizontal gradients taken from the literature (Sakai et al., 2004; Shiraiwa et al. 1992). According to Shiraiwa et al. (1992) precipitation decreases from the west to the upper parts of the valley in the north-east due to a mountain barrier running <sup>10</sup> west-east at the southern side of the valley, which causes rain shadowing effects in the northern part. This is considered in the model using a horizontal precipitation gradient of -3.0% 1000 m<sup>-1</sup> calculated from the data published by Shiraiwa et al. (1992). In order to compensate for systematic errors in data collection and the lack of representativity of the climatic station, an empirical precipitation correction factor *PCF* (–) for <sup>15</sup> rain was introduced. Air temperature is distributed with elevation by applying a fixed lapse rate as given by Sakai et al. (2004) ( $T_{\text{Grad}}$  (−0.5°C 100 m<sup>-1</sup>); see section above). Maximum potential evapotranspiration is defined by the parameter  $ET_{max}$  (mm d<sup>-1</sup>) peaking on 1 August. *ET* max is the amplitude of a sinusoidal function with a minimum of 0 mm d<sup>-1</sup> on 1 February, thus the seasonal variations of the evapotranspiration could <sup>20</sup> be simulated. Potential evapotranspiration is regionalized using a fixed, vertical lapse rate of −1.0% 100 m<sup>-1</sup> (Sakai et al., 2004).

#### 4.2 Snow and glacier routine

The state of precipitation (liquid or solid) is determined based on a temperature threshold *TT* (°C), such that at each grid cell the precipitation is modeled as snow if the air <sup>25</sup> temperature is below *TT* and as rain if the air temperature is above *TT*. A snowfall correction factor *SFCF* (–), analogue to *PCF*, is introduced that accounts for system-



atic measurement errors during snowfall. Glaciers are considered as infinite water storages. To simulate snow and ice melt we use the well-known temperature-index method (Zingg, 1951; Braun et al., 1993; Hock, 2003) in a distributed way as shown in Eq. (4).

 $Q_{\text{melt}} = CFMAX \times (Temp - TT)R_{\text{exolMap}}R_{\text{ice}}R_{\text{debris}}$  (4)

 $Q_{\mathsf{melt}}$ : Melt water (mm d<sup>1</sup>) CFMAX: Degree-day factor (mm d<sup>-1</sup> °C<sup>-1</sup>) Temp: Daily mean air temperature (◦C) *TT*: Threshold value of temperature (◦C)

<sup>10</sup>  $R_{\text{exndan}}$ : Temporal and spatial variable multiplicative factor  $(-)$  $R_{\text{debris}}$ : Parameter for reduced melt water production under debris cover  $(-)$ .  $R_{\text{ice}}$ : Parameter for accelerated melt of ice compared to snow  $(-)$ 

Emphasis is placed on modeling the temporal, spatial distribution of melt water <sup>15</sup> production as a function of sunshine duration of a day per grid cell. Consequently, the degree-day factor is temporally and spatially distributed according to sunshine duration. This conceptual solution of adapting Hock's idea (Hock, 1999) allows us to simulate melt in a distributed way without the need of additional observations.

Potential sunshine duration is calculated using the model POTRAD (Dam, 2000). <sub>20</sub> This model calculates the potential sunshine duration on each grid cell for the given time step of one day, taking topography (slope, aspect, and shadowing effects) and solar geometry (declination of the sun, latitude, and azimuth angle). A linear relation is used for the transformation of potential sunshine duration into the multiplicative factor for the temperature-index method  $R_{\text{exnMan}}$  (–) (Eq. 5).

25  $R_{\text{exonMan}} = ((R_{\text{ex}} - (1/R_{\text{ex}}))/(\text{Shade}_{\text{max}} - \text{Shade}_{\text{min}})) \times (\text{Shade} - \text{Shade}_{\text{min}}) + (1/R_{\text{ex}})(5)$ 

 $R_{exp}$ : Factor for cells with maximum potential sunshine duration  $(-)$ Shade<sub>max</sub>: Maximum potential sunshine duration (h/day): here 13 h

## **HESSD**

3, 3473–3515, 2006

**Modeling approach for a poorly gauged Himalayan headwater**



Shade<sub>min</sub>: Minimum potential sunshine duration (h/day): here 0 h Shade: Map stack of potential sunshine durations (h/day)

The calibration parameter  $R_{\text{ex}}$  (–) is used to define the range of the temporal  $5$  and spatial variable  $R_{\text{exolMap}}$  which is calculated for each day and each grid cell.

Following Braun et al. (1993) the dimensionless parameter  $R_{ice}$  (>1, -) is introduced to the temperature-index method for the simulation of ice melt of the snow-free parts of glaciers. Melt conditions of ice under debris-cover must be considered separately from the conditions of debris-free glaciers. Depending on the thickness of the debris <sup>10</sup> cover, melting can be decoupled from the current meteorological situation. Rana et al. (1996) reported an average thickness of debris cover of 0.5–1.0 m for the ablation area of Lirung glacier and Langtang glacier (Fig. 1) and therefore a reduction of melt is assumed (see Popovnin and Rozova, 2002). The additional parameter  $R_{\text{debris}}$  (<1, -) in Eq. (4) was introduced following Braun et al. (1993).  $R_{\text{debris}}$  and  $R_{\text{ice}}$  are set 1.0 if snow 15 melt is calculated or melt of debris-free glaciers is simulated  $(R_{\text{debris}}=1.0, R_{\text{ice}}>1.0)$ .

Melting of firn ("old snow" stored in the snowpack) is calculated the same way as melting of the seasonal snowpack. The model does not account for the movement of ice.

For each cell with a glacier cover, the mass balance is calculated based on the simulated snow accumulation and the simulated snow melt and ice melt. The annual <sup>20</sup> mass balance of the entire glacier is the arithmetic mean of the mass balances of all cells belonging to the same glacier.

#### 4.3 Soil routine

Typical soils with clearly identifiable horizons are rare in the catchment, thus, the main function of the soil routine is to store near-surface water and allow evaporation before <sup>25</sup> water reaches the runoff generation routine. The output of the snow routine is the input into the soil routine, which was adopted from the HBV model (Bergström, 1976, 1992). This routine was not changed compared to previous application of TAC*<sup>D</sup>* .

## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



#### 4.4 Runoff generation routine and runoff routing

Units with similar dominating runoff generation behavior (hydrologic response units, HRUs) were delineated using maps of topography and land use, aerial photographs and a DEM as well as experiences gained during field visits. The delineation is the <sup>5</sup> basis for translating the dominating runoff generation processes into the runoff generation routine of the model, as it defines the model structure and parameterization. Four units were distinguished (Fig. 2):

- 1. non-glacier area (nRGType 1, 53% of catchment area),
- 2. glacier area (nRGType 2, 45%),
- $10$  3. glacier area with an inclination of less than 3 $^{\circ}$  and debris cover (nRGType 3, 1%), and,
	- 4. valley bottoms with an inclination of less than  $8^{\circ}$  (nRGType 4, 1%).

Sequentially connected or overflowing reservoirs simulate the runoff processes of unit types 1 and 2. In these units upper and lower storages exist which are vertically linked <sup>15</sup> via a constant percolation rate. For conceptualization of the runoff generation processes of the third unit type (nRGType 3), only a single storage is used. Storage capacity is limited by an upper limit. Runoff of this storage is computed by applying a storage coefficient with additional water if the storage content exceeds the storage capacity. This conceptualization is based on the assumption that the large valley glaciers <sup>20</sup> can store a great amount of water in pools or small sub- and supra-glacial lakes (Jansson et al., 2002). Thus, the upper limit of the storage capacity is larger than the upper limits of the storages of unit types 1, 2 or 4.

A fourth HRU (nRGType 4) was identified based on information acquired during field visits and on aerial photographs. At the valley bottom with an inclination of less than 8◦ <sup>25</sup> it is considered to exist an aquifer made of glacial moraine and gravel beds where water

## **HESSD**

3, 3473–3515, 2006

**Modeling approach for a poorly gauged Himalayan headwater**



can be stored. The same structure of storage as in nRGType 3 is used to simulate the hydrological processes but with different parameterization for different flow dynamics.

Once water fluxes have reached stream cells, the generated runoff is routed to the outlet of the catchment during the same time step. Since the time step is one day this  $5<sub>5</sub>$  can be justified in alpine environments where river flows are turbulent and fast.

#### **5 Model application**

The model was tested in the validation period 1987–1993 with the best parameter set obtained in the calibration period 1993–1997 by manual trial and error technique. The later period was chosen for model calibration because the quality of meteorological <sup>10</sup> data is better during this period. In addition to the visual inspection of the simulated hydrographs four different objective evaluation criteria were used: The model efficiency  $R_{\text{eff}}$  (Nash and Sutcliffe, 1970), the model efficiency using logarithmic discharge values log $R_{\rm eff}$ , the coefficient of determination  $R^2$ , and the volume error *VE*, which is the accumulated difference between simulated and measured discharge of a defined pe- $15$  riod. The log $R_{\rm eff}$  is particularly useful to evaluate low flows as it emphasizes on low

discharges.

The initial model parameter set was estimated according to basin characteristics, available data from literature (e.g. snow routine) or was derived based on experiences from previous TAC<sup>D</sup>-applications to other basins. In particular, the parameter values of <sup>20</sup> the soil routine and runoff generation routine were defined such that our understanding of the dominant process behavior is represented. Thus, for instance the outflow parameters of the reservoirs of the runoff generation routine (cf. Fig. 2) were estimated that the simulated runoff dynamics agree with our process knowledge. To get reasonable initial storage volumes the years before the calibration period were modeled <sup>25</sup> using meteorological data of the validation period. Calibration runs were performed by adjusting only parameters of the snow and glacier routine and the precipitation input

# **HESSD** 3, 3473–3515, 2006 **Modeling approach for a poorly gauged Himalayan headwater** M. Konz et al. Title Page Abstract Introduction Conclusions References Tables Figures ◭ ◮ ◭ ◮ Back Close Full Screen / Esc Printer-friendly Version Interactive Discussion

generation routine were not further adjusted as they were defined to represent our process understanding.

Calibration of conceptual rainfall-runoff models in highly glacierized catchments is quite tricky. Glaciers are sources of runoff water, but their contribution is hard to esti-

- <sup>5</sup> mate from discharge and scarce meteorological data alone. An overestimation of melt water production can, for instance, compensate for underestimated basin precipitation, or vice versa. Therefore, good simulation results can occur for the wrong reasons. In order to improve the simulations, data about the glacier mass balances in addition to discharge were considered in the calibration procedure to find the best parameter set
- <sup>10</sup> (Table 4) of the snow and glacier routine. A parameter fine-tuning or an investigation of the model uncertainty (equifinality problem etc.) was beyond the scope of this investigation. It is worth noting in this respect that the simulation of e.g. the calibration period (5 years) took about 30 min (desktop PC, CPU 1.6 Ghz), because of the integration of the model into the GIS.

#### <sup>15</sup> **6 Simulation results**

The model results show that about 47% of the basin precipitation falls as snow and 53% as rain, even though 74% of precipitation fall in the relatively warm monsoon period. This demonstrates the important role of snow and ice storage and melt for the water balance in this basin with a glacierization of about 46%. Basin precipitation (432

- $20 \text{ to } 668 \text{ mm/a}$  and discharge (470 to 694 mm/a) are by far the largest components, followed by glacier melt (274 to 422 mm/a). The change in storage (135 to 279 mm/a) summarizes water storage in groundwater, snow pack or ice and soil. Actual evapotranspiration accounts for 12 to 17% of the input precipitation. The first two hydrological years of the calibration period show a good agreement of the simulated and measured
- <sup>25</sup> discharge (Table 5). The dynamics of the hydrograph are reproduced satisfactorily using the modified TAC*<sup>D</sup>* . From the hydrological year 1995/96 onwards the model overestimates discharge. Figures 7 and 8 show comparisons of simulated and measured

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



discharge data.

The winter discharge is simulated well in the years 1993/94, 1994/95 and 1996/97 with logarithmic model efficiencies (log $R_{\rm eff}$ ) from 0.75 to 0.84 (Table 5). However, the simulated onset of discharge in May and June is delayed in most of the years by

<sup>5</sup> some 10 days and, consequently, discharge is underestimated at the beginning of the melt/monsoon period. Additionally, discharge is generally overestimated in the second half of the melt/monsoon period.

The glacier mass balances are calculated for the cells in which the point measurements took place. Figure 3 shows that the balances generally are underestimated both <sup>10</sup> in the ablation area and in the accumulation area.

However, the simulated balances are in the right order of magnitude. Fujita et al. (1998) determined the aerial average mass balance of Yala glacier for the investigation period of about 20 weeks as −357 mm; the simulated mass balance for entire Yala glacier is −332 mm for the same period, which compares favorably considering <sup>15</sup> the accuracy of mass balance measurements.

Measured winter discharge is higher in the validation period, with an average from November to the end of April of 3.35 m<sup>3</sup>/s compared to the average of 2.73 m<sup>3</sup>/s in the calibration period. The modified TAC<sup>D</sup> underestimates winter discharge in all hydrological years of the validation period and tends to overestimate discharge in the <sup>20</sup> melt/monsoon period. These compensating effects are the reason for the relatively

- small volume errors (Table 5).  $R_{\rm eff}$  and log $R_{\rm eff}$ , however, show a drop in performance during the validation period compared to the calibration period, whereas the coefficient of determination indicates a strong connection between measured and simulated hydrograph.
- <sup>25</sup> The comparison of measured (−2240 mm at 5250 m a.s.l. and 510 mm at 5560 m a.s.l., on Yala glacier, Braun et al., 1993) and simulated (−1872 mm at 5250 m a.s.l. and 486 mm at 5560 m a.s.l.) mass balances of the validation period is satisfactory and points towards that the parameterization of the snow and glacier routine of the calibration period is representative for the entire simulation period.

## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



#### **7 Discussion**

#### 7.1 Pre-processing of input data

The second order polynomial function used to bridge gaps in temperature time series can reproduce the measured temperature course and even single patterns were mod-<sup>5</sup> eled realistically. The simulation of the monsoon season is generally better than the simulation of the dry season. The coefficients of determination  $(R^2)$  of the years in which the method was applied varied between 0.70 and 0.91. Note that the simulated values were used only to fill the missing values in the time series.

The measured precipitation values are compared with the simulated values of June <sup>10</sup> and July 1997 in Fig. 4 for the illustration of the precipitation simulation results.

- Some of the precipitation sums are reproduced well, e.g. around 27 July 1997; others are redistributed over several days, e.g. around 29 June 1997. However, there are some significant deviations between the measured and the simulated precipitation values. The reconstruction of the precipitation sums is based on probabilities and it is not
- <sup>15</sup> an extrapolation like the temperature extrapolation where reliable correlations between SGHU station and reference stations are a prerequisite. Nevertheless, it is concluded that the extrapolated time series are able to describe the actual situation adequately and the main patterns, e.g. timing and magnitude, of the measured precipitation sums are reproduced well by the algorithm. The simulated daily precipitation sums were
- <sup>20</sup> adjusted to the actual precipitation sums using the ratio of calculated and measured monthly precipitation sums and therefore the deviations of the monthly amounts of precipitation are not significant. If data availability is not sufficient, monthly precipitation sums had to be estimated from other years. The temporal shift of the simulated precipitation sums does not necessarily influence the discharge simulation. If the storage
- <sup>25</sup> capacities of snow pack or of the storages of the runoff generation routine are not exceeded, additional water is added to these storages and contributes to runoff generation with some retardation. Thus, errors in temporal assignment of simulated daily precipitation values can be tolerated by the model too some extent. It must be fur-

## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



ther considered that precipitation events in the same region do not always occur at the same time or are stationary throughout the event. If precipitation occurs at a reference station at one day the algorithm implies that there is rain- or snowfall at the SGHU station on the same day, whereas the precipitation event might have occurred in reality

<sup>5</sup> on the following day at the SGHU station. This causes temporal inaccuracies of the simulated precipitation sums even if there is a strong connection of the meteorological conditions between the region and the target station (SGHU station).

A comparison of the simulated hydrographs calculated based on measured daily precipitation sums and on the synthetic daily precipitation sums in Fig. 5 shows that <sup>10</sup> the differences are small for most of the times.

The hydrological year 1995/96 was chosen for that comparison because this year shows neither missing values nor accumulated precipitation sums over several days in the original measured time series. Thus, no pre-processing was applied for this year and therefore it is applicable for the evaluation of the precipitation pre-processing

- <sup>15</sup> method. The measured precipitation event of 25 July 1996 amounts to 25 mm while the method to fill the missing values distributes this amount over previous days as the measured precipitation sums of the reference stations implies. The discharge peak calculated with the measured precipitation sums is therefore not found in the simulated discharge with synthetic precipitation input.
- <sup>20</sup> To summarize, the pre-processing methods for temperature and precipitation data are generally appropriate for filling missing values in the time series and for redistributing precipitation sums. They can further be used for reliability assessment of measured data.
	- 7.2 Regionalization of input data and evapotranspiration
- $25$  The regionalization of air temperature and precipitation in the model itself with fixed vertical and horizontal gradients seems more problematic because seasonal variations of the gradients are not considered. As precipitation and air temperature measurements are available for only one station in the catchment the use of sophisticated regionaliza-



tion methods (e.g. Kriging or Inverse Distance Weighting) for the meteorological input data are prevented. The introduction of the horizontal gradient that is substantiated by the topographic situation (Shiraiwa et al., 1992), however, enables a more realistic distribution of the basin precipitation with less precipitation in the north of the catch-

- <sup>5</sup> ment. There is only one station in the Langtang Khola catchment itself (located in the main valley close to the outlet!) which is meant to represent the entire meteorological situation of the catchment. The absence of further data makes the evaluation of the representativity of this station hard and an independent evaluation of the spatial and temporal extrapolation of the precipitation data impossible.
- <sup>10</sup> For the calculation of the potential evapotranspiration a simple sinusoidal approach was chosen (Hottelet et al., 1993). Only 14.6% of the entire catchment area is below 4500 m and as evaporation generally decreases with elevation and with the presence of snow and ice covers, this term plays a minor role in the water balance (Lang, 1981). Further, no additional data are required to simulate evapotranspiration following this <sup>15</sup> approach.
	- 7.3 Temporally and spatially distributed modeling of snow and ice melt

The multiplicative factor for the temperature-index method  $(R_{\text{evnMan}})$  accounts for temporal and spatial variations in the degree-day factor, which finally accounts for variations of the short-wave radiation supply of each grid cell. Figure 6 shows the spatial 20 distribution of  $R_{\text{exon,loop}}$  for the winter and the summer solstice.

The spatial distribution of  $R_{\text{exoman}}$  shows realistic patterns: on 21 December the lowest values of  $R_{\text{expMap}}$  can be found at the north-oriented slopes at the valley bottom, whereas the highest values occur at the peaks and at highly elevated south-oriented plateaus. The zenith angle of the sun is close to 0° in the Langtang valley at the sum-<sub>25</sub> mer solstice due to its location close to the Tropic of Cancer (23.5<sup>°</sup> N). Thus, the map of 21 June shows the highest values at cells with northern and southern orientation and at the peaks or ridges. The lowest values are at east- or west-oriented slopes. To summarize, the introduction of  $R_{\text{exonMan}}$  enabled spatial and temporal distributed mod-



eling of snow- and ice melt based on topographic and astronomic information. The approach seems to deliver realistic melt rates; however, for final evaluation remote sensing data sets (i.e. aerial photos, satellite images) of the snow cover distribution and tracer-based hydrograph separations (separating precipitation, groundwater melt

- 5 water from snow and ice) would be advantageous. The temporal distribution of  $R_{\text{exnMan}}$ follows a sinusoidal course with its maximum on 21 June and its minimum on 21 December. A sinusoidal annual course of the degree-day factor is proposed by Braun et al. (1993). They determined the sinusoidal oscillation of the degree-day factor with two model parameters for the minimum and maximum of the curve. In this study the sinu-
- <sup>10</sup> soidal course of the degree-day factor is determined by astronomic and topographic information and not estimated through calibration parameters. Clouds reduce the sunshine duration, especially during the monsoon season. The clouds are mainly convective, characterized by a large temporal and spatial variability (Kappenberger et al., 1993). Correction of the potential sunshine duration using measurements of the actual <sup>15</sup> sunshine duration was not possible due to the lack of measurements.

The estimated degree-day factor (product of *CFMAX*,  $R_{\text{exolMap}}$ ,  $R_{\text{ice}}$  and  $R_{\text{debris}}$ ) is about twice as high as in degree-day model applications in catchments in the European Alps (e.g. Braun and Aellen, 1990). Observations of the surface glacier melt rates are reported for the Yala glacier at 5100 m a.s.l. (Fig. 1) by Motoyama and Ya-<sup>20</sup> mada (1989) for the period 23 August–3 September 1987, and a value of 12.7 mm °C<sup>-1</sup> d<sup>-1</sup> (based on hourly values) was observed. Here, our simulated value (debris free glacier,  $R_{\text{debris}}=1$ ) for the same area amounts to 11.9 mm  $^{\circ}C^{-1}d^{-1}$  and compares well with the observations. Further literature values of surface glacier melt rates on Khumbu glacier (Mount Everest region) were even larger with  $16.9$  mm  $°C^{-1}d^{-1}$  for <sup>25</sup> bare ice (Kayastha et al., 2000). Kayastha (2001) reported a degree-day factor for bare ice (5–10% snow ablation) of 10.1 mm  $^{\circ}$ C<sup>-1</sup> d<sup>-1</sup> for Yala glacier at 5270 m a.s.l. from 1 June to 31 July 1996. For the same period our simulated degree-day factor for the area where the measurements at Yala glacier were conducted amounts to 8.5 mm °C<sup>-1</sup> d<sup>-1</sup>. Here the limitation of the temperature-index method for simulation of ablation

## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



in higher elevations gets obvious. Low air temperature and high solar radiation may result in the large observed degree-day factor. In higher altitudes sublimation becomes more relevant for ablation than in lower elevations. This is one of the reasons for unrealistic simulations of snow pack in elevations higher than about 6000 m a.m.s.l. using <sup>5</sup> the temperature index method, when temperature is constantly below the temperature

threshold parameter *TT*.

About 19% of the glaciers in the Langtang Khola catchment are covered by debris, most of which lie in the lowest parts of the basin. The parameter  $R_{\text{multi}}$  was introduced to account for the reduction of ice melt beneath the debris layer. The sensitivity <sup>10</sup> analysis (Fig. 7) of this parameter shows that discharge is generally underestimated if melt is suppressed totally  $(R_{\text{multi}}=0.0)$  and overestimated if debris-covered glaciers are treated the same way as clean glaciers  $(R_{\text{multi}}=1.0)$ .

In the sample year 1994/95 volume losses of 100 mm/a occur when setting  $R_{\text{multi}} = 0.0$ , discharge is overestimated by about 269 mm/a if  $R_{\text{multi}} = 1.0$ .  $R_{\text{multi}} = 0.3$ <sup>15</sup> was chosen based on the experiences of Popovnin et al. (2002) at Djankuat glacier,

Caucasus. They found a reduction of melt under debris layers of 50 to 70 cm of approximately 70% compared to the melt rate of bare ice.

#### 7.4 Goodness of simulation of discharge and glacier mass balances

The overall performance of the model for the hydrological years 1993/94 and 1994/95 <sup>20</sup> can be considered as good (e.g. Rango, 1992). However, there are various reasons for partly insufficient simulation results (i.e. simulation of onset of monsoon period, simulation of single peaks, and simulation of glacial mass balances) that will be discussed in the following.

First, one reason for the differences of the simulated and measured glacier mass  $_{25}$  balances is the cell size of 200×200 m<sup>2</sup> used in the model. That means that point measurements are compared with simulated mass balances of an area of 40 000 m<sup>2</sup>. The altitudes of the cells and of the point measurements differ, because the altitude of the grid cells is an average value of the 40 000  $\text{m}^2$  area. Therefore, different meteorological

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



conditions prevail at the cells and at the observation points.

Second, the spatial resolution of 200 $\times$ 200 m<sup>2</sup> and the relatively large daily time step causes a damping of the fast runoff components. A reduction of the time step from daily to hourly intervals was not possible because measurements were only available

<sup>5</sup> in daily resolution. A coarser spatial resolution would improve the simulation of fast runoff components, because traveling distance is larger per time step. This is, however, problematic for the simulation of snow- and ice melt because detailed physiographic information gets lost with a coarser spatial resolution.

Third, the simulation of the onset of discharge at the beginning of the monsoon <sup>10</sup> period is delayed by some ten days. Redistribution of snow via possible avalanches and wind drift must also have a significant impact on the melting conditions of the early monsoon period. If snow, which has fallen during winter period is redistributed into lower altitudes it will start melting earlier causing higher runoff generation in the beginning of the monsoon season. However, mass movements through avalanches <sup>15</sup> and wind drift could not be considered in the model. In the future, remote sensing data might help to estimate the impact of such processes on the aerial redistribution of snow

and, consequently, lead to more realistic input data sets. The additional glacier mass balance data of the calibration and validation period en-

abled a more reliable adjustment of the parameter of the snow and glacier routine.

<sup>20</sup> Thus, the mass balance data were taken as valuable additional criteria beside discharge data for calibration and validation of the model.

#### 7.5 Analysis on dominant runoff components

The intra-annual distribution of water with the spatially distributed reservoir concepts of the model enabled good simulation results as expressed by the objective evaluation <sup>25</sup> criteria. The composition of runoff shows seasonal variations (Fig. 8).

The high flow season is dominated by the outflow from the upper storages. The low flow is mainly a superposition of runoff of the lower storages of nRGType 1 and 2 and of the storages of nRGTypes 3 and 4. Outflow from the storages of nRGTypes 3 and 4



are important components for the maintenance of winter discharge although the area of these HRUs is small (2%) compared to the contribution areas of nRGType 1 or 2. Water coming from units 1 or 2 has to pass units 3 or 4 on its lateral flow and thus fills these storages beside precipitation and snow melt.

- <sup>5</sup> A conceptual storage approach that is not too complex but represents the main runoff generation processes of the Langtang Khola catchment can be considered as suitable. With these sequentially linked storages it was possible to simulate water storage in glaciers and the underlying hard rock with different hydraulic behavior. Thus, it was not necessary to apply a synthetic constant value for base flow as in Braun et al. (1993).
- <sup>10</sup> The topographic information combined with land use and surface characteristics for the delineation of hydrological response units enabled a more realistic runoff generation simulation in the remote study area, where additional information (e.g. tracer experiments) were not available.

#### **8 Concluding remarks**

- <sup>15</sup> The study demonstrates a suitable way to simulate daily discharge values by incorporating basic process knowledge from remote Himalayan headwaters with limited data availability. The distributed, conceptual hydrological modified TAC*<sup>D</sup>* model has proven to be useful in such a data-scarce, high-alpine region. The combination of statistical data pre-processing methods for temperature and precipitation with simple
- <sup>20</sup> but robust modeling approaches enables a widely process-based, distributed simulation of the water balance what is a clear improvement to previous modeling efforts. In comparison to previous applications of conceptual precipitation-runoff models to the Langtang-Khola catchment, we are now able to simulate the entire annual hydrograph without empirical adjustments like the application of constant base flow values. The
- <sup>25</sup> more process-based character of the newly introduced runoff generation and glacier routines enables the redistribution of water which is stored during monsoon season to simulate the relatively high winter discharge. The model uses topographical and phys-

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



iographical information for the simulation of discharge and runoff generation processes to compensate for the lack of measurements, e.g. the use of calculated potential sunshine duration to compensate for the lack of further climatic stations in the catchment.

- The analysis of the observed climate and discharge data together with the model <sup>5</sup> application sharpened our questions for a number of needed process research studies in this environment: (i) The origin of the constant winter base flow needs to be better understood. In particular the role of groundwater versus melt water stored in the intraand sub-glacial systems should be investigated using combined tracer and geophysical techniques. (ii) The role of redistribution of snow through and wind drift and avalanches <sup>10</sup> that results in more snow in the lower valley need to be quantified. A combined remote
- sensing and ground mapping approach seems to be promising to observe the space and time variability of the snow cover.

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### **HESSD**

3, 3473–3515, 2006

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M. Konz et al.



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3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



**Table 1.** Main characteristics of the investigated catchment during the investigation period 1987–1998.



## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**

M. Konz et al.



**Table 2.** Summary of DHM reference stations in the Langtang region used for data processing (P: precipitation, T: temperature).



#### **Table 3.** Glacier mass balances in the Langtang Khola catchment during March 1991–March 1992 (Braun et al., 1993) and May–October 1996 (Fujita et al., 1998).



**HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



#### **Table 4.** Optimized parameter set of TAC*<sup>D</sup>* for the Langtang Khola catchment.



## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**

M. Konz et al.



EGU

#### **Table 4.** Continued.



## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**



#### **Table 4.** Continued.



## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**

M. Konz et al.



EGU

#### **Table 4.** Continued.



## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**





1995/96 0.53 0.31 0.72 92 1996/97 0.46 0.84 0.76 −8

**Table 5.** Evaluation criteria of simulations with TAC*<sup>D</sup>* . Calibration period, 1993–1997, and validation period, 1987–1993.

## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**





**Fig. 1.** Nepal and the Langtang Khola catchment.

## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**





**Fig. 2.** Conceptualization of reservoirs of the different units of runoff generation types with extended river network.

## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**





**Fig. 3.** Comparison of simulated glacier mass balances with the TAC*<sup>D</sup>* model and measured glacier mass balances at different altitudes on Yala glacier from 19 May 1996 to 6 October 1996 (Fig. 1). The black bars are behind the gray bars and both start at 0.00 mm. First numbers of x-axis are the altitudes of the 200 $\times$ 200 m<sup>2</sup> cells in which the balance was measured. Second numbers show the altitudes of the observation points.

## **HESSD** 3, 3473–3515, 2006 **Modeling approach for a poorly gauged Himalayan headwater** M. Konz et al. Title Page Abstract Introduction Conclusions References Tables Figures ◭ ◮ ◭ ◮ Back Close Full Screen / Esc Printer-friendly Version Interactive Discussion

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**Fig. 4.** Comparison of measured daily precipitation sums (red bars) and simulated daily precipitation sums (green bars).

## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**





**Fig. 5.** Comparison of the influences of different precipitation inputs on the discharge simulation. The hydrological year 1995/96 was chosen because of the data availability.



3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**





**Fig. 6.** Spatial distribution of the correction factor for the degree-day method  $R_{\text{exolMap}}(-)$  at the winter solstice (21 December, left) and the summer solstice (21 June, right).

## **HESSD**

3, 3473–3515, 2006

**Modeling approach for a poorly gauged Himalayan headwater**





**Fig. 7.** Sensitivity of the parameter  $R_{\text{multi}}$ , Langtang Khola catchment.

## **HESSD**

3, 3473–3515, 2006

#### **Modeling approach for a poorly gauged Himalayan headwater**







**Modeling approach for a poorly gauged Himalayan headwater** M. Konz et al.

**HESSD**

3, 3473–3515, 2006



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